

Using clay to control harmful algal blooms:
deposition and resuspension of clay/algal flocs

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1 **Abstract**

2 Harmful algal blooms (HABs) may be legitimate targets for direct control or mitigation,
3 due to their impacts on commercial fisheries and public health. One promising control strategy
4 is the rapid sedimentation of HABs through flocculation with clay. The objective of this study
5 was to evaluate flow environments in which such a control strategy might be effective in
6 removing harmful algae from the water column and depositing a layer of clay/algal flocs on the
7 sea floor. We simulated the natural environment in two laboratory flumes: a straight-channel
8 “17-m flume” in which flocs settled in a still water column and a “racetrack flume” in which
9 flocs settled in flow. The 17-m flume experiments were designed to estimate the critical bed
10 shear stress for resuspension of flocs that had settled for different time periods. The racetrack
11 flume experiments were designed to examine the deposition and repeated resuspension of flocs
12 in a system with tidal increases in flow speed. All flume runs were conducted with the non-toxic
13 dinoflagellate *Heterocapsa triquetra* and phosphatic clay (IMC-P4). We repeated the
14 experiments with a coagulant, polyaluminum hydroxychloride (PAC), expected to enhance the
15 removal efficiency of the clay. Our experiments indicated that at low flow speeds ($\leq 10 \text{ cm s}^{-1}$),
16 phosphatic clay was effective at removing algal cells from the water column, even after repeated
17 resuspension. Once a layer of flocs accumulated on the bed, the consolidation, or dewatering, of
18 the layer over time increased the critical shear stress for resuspension (i.e. decreased erodibility).
19 Resuspension of a 2-mm thick layer that settled for 3 hours in relatively low flow speeds ($\leq 3 \text{ cm}$
20 s^{-1}) would be expected at bed shear stress of $\sim 0.06\text{-}0.07 \text{ Pa}$, as compared to up to 0.09 Pa for a
21 layer that was undisturbed for 9 or 24 hours. For the same experimental conditions, the addition
22 of PAC decreased the removal efficiency of algal cells in flow and increased the erodibility of
23 flocs from the bottom. By increasing the likelihood that flocs remain in suspension, the addition

1 of PAC in field trials of clay dispersal might have greater impact on sensitive, filter-feeding
2 organisms. Overall, our experiments suggest that the flow environment should be considered
3 before using clay as a control strategy for HABs in coastal waters.

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5

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Key words

7 flume, harmful algal bloom, *Heterocapsa triquetra*, phosphatic clay, polyaluminum

8 hydroxychloride, resuspension, sedimentation

Introduction

1 Harmful algal blooms (HABs) pose a serious threat to commercial fisheries and
2 aquaculture, human health, and coastal aesthetics. These phenomena are caused by rapid
3 population growth of microscopic algae, some of which produce toxins. Because of the
4 potentially significant impacts of HABs, these phenomena may be legitimate targets for direct
5 control or mitigation. Perhaps the most promising control strategy, with respect to maximizing
6 effectiveness and minimizing costs and environmental impacts, is flocculation and sedimentation
7 of HABs with clay (Anderson, 1997; Boesch et al., 1997; Anderson et al., 2001; Sengco, 2001).
8 Over the past 25 years, clays have been investigated in several countries as a means of removing
9 harmful algae from the water column (e.g. Shirota, 1989; Yu et al., 1994; Bae et al., 1998).
10 Japan (Shirota, 1989), South Korea (Choi et al., 1998), and Australia (Atkins et al., 2001) already
11 have attempted clay dispersal to control HABs in the field.

12 Rapid sedimentation of algal cells may be caused by the flocculation (i.e. coagulation;
13 Jackson and Lochmann, 1993) of clay particles with algal cells, the entrainment of algal cells
14 into settling clay flocs, and/or the loss of algal cell motility due to physico-chemical interactions
15 with the clay particles. Upon accumulation in a floc layer on the sea floor, algal cells would be
16 trapped among clay particles, likely resulting in cell mortality (Sengco et al., 2001; A. Li et al.,
17 unpub. data; but see Burkholder, 1992 for formation of temporary cysts). A wide variety of
18 laboratory studies in static (i.e. still water) systems have confirmed that clays can effectively
19 remove algal cells from the water column. Early test-tube studies demonstrated that clay/algal
20 flocculation was influenced by mineral type, algal species, and both algal and clay particle
21 concentration (Avnimelech et al., 1982; Soballe and Threlkeld, 1988). Laboratory studies of
22 harmful algal species again showed that some clay minerals were more effective at removing
23

1 cells from the water column (e.g. Yu et al., 1995; Sengco et al., 2001), with species-specific
2 variability in removal efficiency (Sengco, 2001).

3 Although the above studies indicated that clays can be effective in removing algal cells
4 from a static water column, controlled experiments simulating natural flow conditions must be
5 performed to facilitate estimates of the effectiveness of clay application in the field. A recent
6 series of laboratory flume experiments with phosphatic clay indicated that the removal efficiency
7 (RE) of the dinoflagellate *Heterocapsa triquetra* was enhanced in low flow ($\sim 2 \text{ cm s}^{-1}$) and
8 greatly reduced in higher flow ($\sim 13 \text{ cm s}^{-1}$) conditions (Archambault et al., 2003). Flow
9 conditions would influence four major processes that must be considered in evaluating whether
10 clay can mitigate HABs: flocculation, advection, deposition, and resuspension (Fig. 1).
11 Flocculation, or the formation of clay/algal aggregates (flocs), would result from particle contact
12 (collision) followed by adhesion. The rate of particle contact, in the case of clay/algal
13 flocculation, would be influenced by turbulence intensity, differential settling of particles and
14 flocs, and motility of the algal cells (Jackson and Lochmann, 1993; Sengco, 2001). High rates of
15 shear might also limit the maximum size of flocs, thus limiting settling velocity (e.g. Hill, 1998).
16 Flocs with lower settling velocities would have a higher probability of remaining suspended in
17 the water column and would be subject to advection, or lateral transport, by currents. These
18 processes, flocculation and advection, were not examined directly in this study. Here, we
19 examined the deposition of clay/algal flocs in flowing water and the potential for flocs to be
20 resuspended after deposition (Events 3 and 4 in Fig. 1).

21 By inhibiting deposition and/or promoting resuspension, physical forcing from currents
22 and waves has the potential to prolong the residence time of clay/algal flocs in the water column.
23 Initial flume experiments conducted with juvenile bivalves indicated that suspended clay/algal

1 flocs negatively impacted growth of these sensitive filter-feeding organisms (Archambault et al.,
2 2002). Resuspension also potentially would re-seed the water column with viable cells. In test-
3 tube experiments with *Karenia brevis* and phosphatic clay, cell mortality was not observed after
4 2.5 hrs in clay loadings up to 0.5 g L^{-1} (thickness of pellet not noted; Sengco et al., 2001). On
5 the other hand, in low flow conditions, a mass deposition of flocs potentially would create a layer
6 of flocs on the sea floor. Organic material in the layer would decompose, potentially leading to
7 anoxia and deleterious effects on benthic fauna. Use of clay in the field would involve a balance
8 between removing harmful algae from the water column and minimizing environmental impacts
9 on benthic fauna. Some laboratory studies in static systems have indicated that cell RE can be
10 enhanced and clay loadings decreased by dispersing coagulants such as polyaluminum
11 hydroxychloride (PAC) in very low concentrations prior to the application of clay (Yu et al.,
12 1995; Sengco et al., 2001). For similar RE of *K. brevis*, pretreatment with 5 ppm PAC reduced
13 clay loadings by an order of magnitude in still water (Sengco et al., 2001).

14 In the natural environment, the concentration of suspended particles in the benthic
15 boundary layer would be influenced by deposition, bed consolidation (or dewatering), and
16 erosion (Mehta, 1989). In accordance with sediment transport theory, the processes of
17 deposition and resuspension (erosion) of clay/algal flocs would be controlled by the bottom, or
18 bed, shear stress (τ_0) induced by the boundary-layer flow. Bed shear stress (τ_0) often is described
19 in terms of shear velocity (u^*), given by $\tau_0 = \rho u^{*2}$ (in which ρ is fluid density). Critical shear
20 stress (τ_{0crit}) and critical shear velocity (u^*_{crit}) can be defined at the threshold(s) of particle
21 transport (e.g. Self et al., 1989; Sanford and Halka, 1993). Once deposited on the sea floor,
22 entrainment into the water column would be a function of the flocs (density, size, shape, packing,
23 sorting, and stickiness), larger-scale bed roughness, the fluid (density and viscosity), and the

1 flow conditions (mean flow, oscillatory flow, turbulence; list modified from Miller et al., 1977).
2 Because of the cohesive nature and heterogeneous composition of clay/algal flocs, critical shear
3 stresses must be determined empirically. Typical threshold curves (e.g. Shields diagram) for
4 initial motion of sediment assume non-cohesive sediment grains of a single size and
5 homogeneous composition (Miller et al., 1977; Middleton and Southard, 1984).

6 The flume experiments in this study were designed to estimate critical shear stresses for
7 deposition and resuspension of clay/algal flocs, as well as to further study RE in flow. Our main
8 objective was to predict flow environments in which a layer of clay/algal flocs would accumulate
9 on the sea floor. An additional objective was to determine the effect of PAC on the deposition
10 and resuspension of clay/algal flocs. We employed two approaches: 1) settling in a still water
11 column (as might occur during slack tide or in a protected embayment), and 2) settling in flow.
12 These flume studies are an important intermediate step between experiments with test tubes and
13 settling columns in the laboratory and the actual dispersal of clay in the field.

14

15 **Methods**

16 At the Coastal Research Laboratory of Woods Hole Oceanographic Institution, two
17 different flumes were used in the two separate sets of experiments described below. Fries and
18 Trowbridge (2003) illustrate and describe the mechanics of both of the flumes (for photographs
19 of the flumes, see http://www.whoi.edu/institutes/coi/facilities/coastal_lab.htm). Smooth-
20 turbulent benthic boundary layers developed in both flumes (fully-developed at the test sections).
21 Due to concerns about toxins in the flumes, experiments were conducted with the non-toxic
22 dinoflagellate *Heterocapsa triquetra*. This species is easy to culture in the laboratory, has a
23 similar size (14.7 μm equivalent spherical diameter; Archambault et al., 2003) and shape to

1 several well-studied harmful algal species (e.g. *Karenia brevis*; Sengco, 2001), and other species
2 within this genus are known to cause HABs (Matsuyama et al., 2001). Cultures were maintained
3 in 15-L carboys in *f/2* medium with filtered seawater at constant, high light intensity at 20° C.
4 All flume runs were conducted at ~20° C so as not to shock the algae (Table 1). Phosphatic clay
5 was used in the flume experiments (IMC-P4; IMC Phosphates Company, Lake Forest, IL,
6 U.S.A.). Phosphatic clay, a by-product of phosphate mining operations, is a mixture of clays
7 (esp. montmorillonite) and other minerals (Bromwell, 1982), and, in practice, may be relatively
8 inexpensive, easy to work with, and locally available in the case of HABs of the Florida coast.
9 Phosphatic clay (< 2 µm equivalent spherical diameter; Archambault et al., 2003) showed high
10 removal efficiency (RE) for a broad range of algal taxa in test-tube experiments (Sengco, 2001).
11 Flume experiments were repeated with the addition of polyaluminum hydroxychloride, or PAC
12 (Superfloc 9001, Cytec Industries, Inc., West Paterson, NJ, USA), a coagulant used in treatment
13 of drinking water. Neither the clay nor PAC had acute or chronic toxic effects on common
14 estuarine invertebrates and fish (Lewis et al., 2003).

15

16 **Flocs settling in still water**

17 **17-m flume experimental design.** The first set of experiments was conducted in a 17-m long,
18 60-cm wide, straight, open-channel flume (“17-m flume;” Butman and Chapman, 1989). The
19 17-m flume experiments were designed to compare the critical bed shear stress (τ_{0crit}) for
20 resuspension of clay/algal flocs that settled for different time periods. A removable bottom panel
21 with a recessed 20 x 20 x 2-cm deep box was placed in the flume, centered at 12.9 m
22 downstream. The box was filled with sieved sand (250-500 µm), leveled ~2 mm below flush to
23 create the test bed. At the beginning of each experiment, the flume was filled to 12-cm water

1 depth with 10- μm filtered seawater, moving at the slowest possible flow speed ($\sim 3 \text{ cm s}^{-1}$). An
2 acrylic fence, covered with plastic wrap (or extending above the water surface in the experiments
3 with PAC), was placed around the test bed. A wide-mouthed pipette was used to introduce algae
4 (then PAC) then clay to the enclosed volume of water over the test bed, and flocculation
5 occurred in a relatively still water column. Before introducing 100 mL of algal culture into the
6 enclosure, 1 mL was removed and preserved for cell counts at 200X under a compound
7 microscope. Resulting cell concentrations, determined from triplicate counts of 0.1 mL aliquots,
8 were $\sim 2 \times 10^3 \text{ mL}^{-1}$ in the enclosed volume (Table 1). For a final concentration of 5 ppm (mg L^{-1})
9 PAC in the enclosure, stock solution was first diluted with 100 mL of deionized water to
10 prevent precipitation which occurs in high concentrations in seawater. The clay was prepared in
11 200 mL of filtered seawater by blending and straining through a 63- μm sieve. The clay
12 concentrations used in the flume runs necessarily differed among treatments (0.28 - 0.71 g L^{-1} ;
13 Table 1), for two reasons. First, in static conditions the thickness of a floc layer decreased with
14 time as the layer dewatered. Exploratory flume runs were conducted to determine the mass of
15 clay necessary for a flush layer on the test bed (Anderson et al., 2003). Second, an objective of
16 these experiments with PAC was to simulate lower clay concentrations in the water column, with
17 the same total mass depositing on the test bed (to control for the thickness of the layer on the
18 bed). In all experiments the areal loadings of clay were less than the 200 g m^{-2} suggested by
19 Shirota (1989) but more appropriate for such a shallow water column (33 - 57 g m^{-2} ; Table 1).
20 For all of the algal and clay concentrations tested, $\sim 80\%$ RE was expected based on previous
21 laboratory tests in still water (Sengco, 2001).

22 During each experiment a floc layer accumulated on the test bed and consolidated over
23 the treatment period (3, 9, or 24 hr). Overall, the experimental design was a 3 x 2 factorial

1 design with three replicate flume runs for each of the six treatments (Table 1). The analysis of
 2 critical shear stress (τ_{0crit}) began when the fence was removed, exposing the floc layer to the
 3 overlying flow. The test bed surface was illuminated from above with a light sheet (~2-cm wide
 4 at the bed), and a video camera recorded a section of the flow directly above the test bed. As the
 5 flow speed was increased in the flume, the following visual criteria were used for determining
 6 three stages of particle transport: initial motion-- when particles (i.e. clay/algal flocs) started
 7 rolling on the test bed; bedload-- when most particles were rolling and some were saltating; and
 8 resuspension-- when particles were continuously lifting from and not returning to the bed (for
 9 video clips of particle transport, see <http://www.whoi.edu/science/B/people/sbeaulieu/FIPR/>).
 10 For each mode of particle transport, a Laser Doppler Velocimeter (LDV) was used to record a 4-
 11 min record of along-channel velocity at 8 cm above bottom (a.b.).

12 **Data analyses for 17-m flume experiments.** Bed shear stress (τ_0) in the 17-m flume derived
 13 mainly from the mean flow in combination with a standing wave created by flow rebounding
 14 against the weir at the downstream end. Detailed methods for estimating mean shear stress ($\bar{\tau}_0$)
 15 and time series of wave-forced shear stress $\tilde{\tau}_0(t)$ in the 17-m flume are described by Beaulieu
 16 (2003). Based on the analysis of flow profiles previously measured in the 17-m flume, the mean
 17 flow at 8 cm a.b. (\bar{u}_8) was related to shear velocity (u_*) via a drag law for both the mean current
 18 ($\bar{u}_* = 0.0419 \bar{u}_8 + 0.0574$; n = 85 profiles, $r^2 = 0.99$) and wave boundary layers ($\tilde{u}_* = 0.005 \bar{u}_8 +$
 19 0.204 ; n = 39 records at 1.2 cm a.b., $r^2 = 0.78$). Critical shear velocity at each stage of particle
 20 transport then was estimated as $u_{*crit} = \left((\bar{u}_*)^2 + (\tilde{u}_*)^2 \right)^{1/2}$, with critical bed shear stress estimated
 21 as $\tau_{0crit} = \rho u_{*crit}^2$. The technique for estimating u_{*crit} was checked by running additional
 22 experiments with the test bed filled with sand of the following grain sizes: 125-250 μm , 250-500

1 μm , and 500-1000 μm . Estimates of u^*_{crit} for initial motion of sand compared well to the
2 expected threshold values (Miller et al., 1977). The critical bed shear stress (τ_{0crit}) for
3 resuspension is best described as a “resuspension stress” corresponding to a high rate of erosion,
4 rather than a threshold of motion.

5 Two-way analysis of variance (ANOVA) was used to compare τ_{0crit} among the six
6 treatments. We used the least significant difference (LSD) procedure for planned multiple
7 comparisons of the mean τ_{0crit} values. Assumptions of ANOVA were checked with normal
8 probability plots and the Hartley F_{max} -test for homogeneity of variances. Statistics were
9 conducted with Statistica 5.1 software, tested for significance at $\alpha = 0.05$, and are described by
10 Sokal and Rohlf (1981).

11

12 **Flocs settling in flow**

13 **Racetrack flume experimental design.** In the second set of experiments, the natural
14 environment was simulated by dispersing clay on the surface of flowing water seeded with
15 dinoflagellates and then increasing/decreasing flow speed. These experiments were designed to
16 estimate the critical bed shear stresses for deposition and erosion of flocs, as well as to study
17 removal efficiency (RE) in flow. The experiments were conducted in an oval, open-channel
18 flume with channel width 76 cm and plan area 17.1 m^2 (“racetrack flume”). Flow in the
19 racetrack flume is controlled by paddles which enter the water vertically. The total of six
20 experiments explored three different initial flow speeds with and without PAC (Table 1).
21 Replication was not possible due to the need for large culture volumes to seed the 2052-L flume
22 volume. To reduce variability in our measurements (such as algal cell loss or changes in bottom
23 roughness), the test bed was solely the plastic bottom panels.

1 Prior to each experiment, the flume was filled to 12-cm water depth with 10- μ m filtered
2 seawater. We placed optical backscatter sensors (OBS-3; D&A Instrument Company, Port
3 Townsend, WA, U.S.A.) at 3.4 and 8 cm above bottom to measure suspended mass in the water
4 column every 10 seconds during the entire flume run. Due to turbidity in the flume, visual
5 criteria could not be used to determine critical shear stresses. Prior to placement in the flume,
6 the OBS sensors were calibrated to suspended mass by mixing clay in five increments of 0.07 g
7 L⁻¹ in a separate tank filled with filtered seawater (see Bunt et al., 1999 for discussion of OBS
8 calibration). Water samples (10- to 50-mL) from the tank were filtered over pre-weighed GFF
9 filters, rinsed with Milli-Q water, and dried overnight at 60° C before re-weighing for dry mass.
10 Also, during the flume runs a “syringe sampler” was directed upstream into the flow at 3.4 and 8
11 cm a.b. to collect water samples (10- to 50-mL, depending on clay concentration) to check the
12 OBS data with actual measurements of dry mass. The syringe sampler consisted of a PVC
13 support with draw cord that held a 60-mL syringe horizontal above the flume bed (2-mm diam.
14 sample opening).

15 To begin each experiment, several carboys of algal culture (50 L) were added to the
16 flume, with paddle speed at 10 cm s⁻¹. After ~30 minutes, syringe samples (50 mL) were
17 collected at 6 cm a.b. and preserved to determine the initial cell concentration via triplicate
18 counts of 1-mL aliquots under a compound microscope. Initial algal concentrations in the
19 racetrack flume were similar but slightly less than in the 17-m flume experiments (Table 1). For
20 the flume runs with PAC, stock PAC solution was diluted in 18 L of deionized water and sprayed
21 at 5 L min⁻¹ on the water surface for a final concentration of 5 ppm in the flume. The PAC
22 solution was dispersed in a sweeping motion over ~1/3 of the plan area of the water surface
23 using a sump pump, hose, and "flat" spray nozzle. The paddle speed then was set to 3 or 20 cm

1 s^{-1} (or remained at 10 cm s^{-1}) for 30 minutes prior to adding clay to the flume. For a final
2 concentration of 0.28 g L^{-1} in the flume, 1.2 kg (wet; 47.33% dry mass) of IMC-P4 clay was
3 blended, strained through a $63\text{-}\mu\text{m}$ sieve, suspended in a total of 54 L of $10\text{-}\mu\text{m}$ filtered seawater,
4 and sprayed (as above) at 5 L min^{-1} on the water surface.

5 For the experiments that started at 3 or 10 cm s^{-1} , flocs settled for 3 hours prior to the first
6 resuspension “event” in which paddle speed was increased every five minutes in 1 cm s^{-1}
7 increments to 25 cm s^{-1} . The second and third resuspension “events” occurred after 3- and 9-hr
8 settling periods, respectively. For the experiments initially at 20 cm s^{-1} , the paddle speed was
9 stepped down by 2 cm s^{-1} every 3 hours. Because turbidity in the flume during these
10 experiments precluded the use of an LDV to measure flow speeds, flow profiles at paddle speeds
11 $3 - 25 \text{ cm s}^{-1}$ were recorded after the flume was cleaned and filled to 12-cm depth with seawater
12 at 20° C . Also due to turbidity, we were unable to determine the size spectra of flocs during the
13 experiments with a Laser In Situ Scattering and Transmissometry instrument (LISST-100;
14 Sequoia Scientific, Inc., Bellevue, WA, U.S.A.). Water samples for cell counts were withdrawn
15 (as above) just prior to the addition of clay and just prior to each resuspension event. Aliquots
16 (10 to 25 mL) of samples with low cell counts were settled in Utermöhl chambers and counted
17 on an inverted microscope. We did not have enough culture to run a control experiment for a 24-
18 hr period without the addition of PAC or clay.

19 **Data analyses for racetrack flume experiments.** As shown for other cohesive sediments at
20 concentrations $< 0.5 \text{ g L}^{-1}$ (Maa et al., 1992), OBS output voltages were linearly proportional to
21 suspended mass at the concentrations used in the racetrack flume experiments ($r^2 = 0.99$ for tank
22 calibrations). The OBS values also were well-correlated with suspended mass measured from
23 syringe samples during the flume runs ($n = 24$, $r = 0.95$, $p < 0.01$). Therefore, we used the raw

1 data from the OBS time series to determine the time during each resuspension event at which: 1)
 2 suspended sediment concentration first increased above background level, and 2) maximum
 3 input of sediment to the water column occurred, prior to limitation of sediment on the bed
 4 (indicated by maximum slope in the OBS record). We calculated the slope of the OBS data via
 5 linear fits to all 2-min segments of the time-series records. We assumed that shear stress during
 6 1) above was the critical shear stress (τ_{0crit}) for erosion of the flocs, and shear stress during 2)
 7 above was the stress (τ_b) at which the maximum erosion rate occurs before supply limitation.
 8 Similarly, τ_{0crit} for deposition, or τ_d , of flocs was estimated when suspended sediment
 9 concentration first decreased during the flume runs in which flow was stepped down from 20 cm
 10 s^{-1} , with the minimum slope in the OBS record corresponding to the maximum rate of deposition.

11 To calculate bed shear stress during the above observations, the paddle speed (u_p) was
 12 associated to both a mean (\bar{u}_*) and wave-forced (\tilde{u}_*) shear velocity via the following drag laws:
 13 $\bar{u}_* = 0.04 u_p + 0.0726$ ($n = 10$ profiles, $r^2 = 0.99$) and $\tilde{u}_* = 0.023 u_p + 0.1081$ ($n = 11$ records at
 14 1.2 cm a.b., $r^2 = 0.97$). Time series of wave-forced shear stress were determined at frequencies
 15 based on the paddle speed divided by spacing between the paddles. Total shear velocity (u_*) and
 16 bed shear stress (τ_0) then were determined using the equations given previously. For each of the
 17 resuspension events, we fit the data to a standard linear erosion model, given by $E = M(\tau_b/\tau_{0crit} -$
 18 $1)$, in which E is erosion rate ($kg\ m^{-2}\ s^{-1}$) and M is an empirical constant (Sanford and Halka,
 19 1993; Sanford and Maa, 2001). Although shear stress is not equal across the entire area of the
 20 flume bottom (e.g. near the walls), at 3 cm s^{-1} a floc layer accumulated everywhere except for a
 21 ~15-cm section immediately underneath the paddle that extended closest to the bottom. To
 22 calculate removal efficiency (RE) of algal cells from the water column, we used the following

1 equation: $\%RE = 100 \times (C_0 - C_t) / C_0$, in which C_0 is the cell concentration just prior to clay
 2 addition and C_t is cell concentration at a later time (e.g. after a 3-hr settling period).

3

4

Results

5 **Flocs settling in still water**

6 During the 17-m flume experiments, the bulk of the flocs in the enclosed volume settled
 7 to the test bed in ~15 minutes. Once exposed to flow, initial motion occurred at about 25% the
 8 resuspension stress (half the flow speed) and bedload at about 40% the resuspension stress
 9 (Table 2). Overall, resuspension stress ranged by a factor of 1.5, from 0.059 Pa ($u^* = 0.76 \text{ cm s}^{-1}$;
 10 3-hr settling with PAC) to 0.088 Pa ($u^* = 0.93 \text{ cm s}^{-1}$; 24-hr settling without PAC). Critical
 11 shear stresses (τ_{0crit}) for bedload and resuspension of clay/algal flocs differed among the six
 12 experimental treatments (Table 2). Both factors (settling time and PAC) were significant in the
 13 two-way ANOVA for resuspension stress (with no interaction effect; Table 3). Multiple
 14 comparisons of the mean values for resuspension stress (plotted in Fig. 2) revealed the following,
 15 with treatments listed in order of τ_{0crit} increasing to the right and nonsignificant differences
 16 connected with an underline:

17 3PAC < 9PAC 3 24PAC < 9 24. These results suggest that the greatest increase in
 18 consolidation for the 2-mm thick layer occurred between 3 and 9 hours. For a given settling
 19 time, τ_{0crit} was lower in the runs with PAC, although this factor was not significant in a two-way
 20 ANOVA of τ_{0crit} for bedload ($p = 0.08$).

21

22 **Flocs settling in flow**

1 A floc layer accumulated on the racetrack flume bed during experiments with the paddle
2 speed at 3 and 10 cm s⁻¹. For these experiments with subsequent increases in flow speed to
3 simulate tides, OBS records clearly show three periods of settling followed by resuspension
4 “events” (Fig. 3A-D; only one of the OBS sensors yielded accurate data without drift). At 3 cm
5 s⁻¹ the bulk of the flocs settled in < 1 hour. At 10 cm s⁻¹ many of the flocs had not settled even
6 after 9 hours (especially evident in the run with PAC). As an example of OBS “slope analysis,”
7 Figure 4 shows how τ_{0crit} and τ_b at the maximum erosion rate were determined during the first
8 resuspension event for the flume run that started at 3 cm s⁻¹ without PAC. The first increase in
9 slope in Figure 4 occurred when $\tau_{0crit} = 0.040$ Pa ($u_{*crit} = 0.63$ cm s⁻¹; paddle speed 11 cm s⁻¹).
10 The maximum slope in Figure 4, indicating maximum erosion rate prior to sediment limitation
11 on the bed, occurred at $\tau_b = 0.060$ Pa ($u_* = 0.76$ cm s⁻¹; paddle speed 14 cm s⁻¹). Table 4 lists
12 these shear stresses for all the resuspension events during the racetrack flume experiments, as
13 well as the slope, M, calculated for the linear erosion rate model. For each experimental
14 treatment, there was very little to no difference in τ_{0crit} among the three resuspension events. In
15 general, the τ_{0crit} values during the runs that started at 3 cm s⁻¹ were slightly greater than τ_{0crit} for
16 bedload in the 17-m flume experiments, and the τ_{0crit} values during the runs that started at 10 cm
17 s⁻¹ were slightly less than resuspension stress in the 17-m flume experiments (compare to Table
18 2). For the runs that started at 3 cm s⁻¹, although there was no difference in τ_{0crit} with
19 presence/absence of PAC, the addition of PAC yielded maximum erosion rates at lower shear
20 stresses (higher values for M; Table 4). In contrast, for the runs that started at 10 cm s⁻¹, the
21 addition of PAC lowered τ_{0crit} and also lowered the erosion rate constant, M (however, note the
22 much lower increase in suspended sediment concentration due to reduced deposition during the
23 run with PAC; Table 4).

1 For the experiments that started at 20 cm s^{-1} , significant deposition did not occur until the
2 paddle speed was stepped down to 12 cm s^{-1} (arrow in Fig. 3E; one of the experiments ended
3 prematurely with a power outage). At 12 cm s^{-1} , a layer began to accumulate on the bed,
4 indicating τ_{0crit} for deposition, or $\tau_d = 0.046 \text{ Pa}$ ($u_{*crit} = 0.67 \text{ cm s}^{-1}$; 14-18% mass deposition).
5 Very little (2-8%) mass deposited while the paddle speed was at 14 cm s^{-1} ($\tau_0 = 0.060 \text{ Pa}$; $u_* =$
6 0.76 cm s^{-1}). With the paddle speed at 10 cm s^{-1} , the minimum slope of the OBS record indicated
7 the maximum deposition rate at $\tau_b = 0.034 \text{ Pa}$ ($u_* = 0.58 \text{ cm s}^{-1}$; 51% mass deposition). After the
8 3-hr settling period at 8 cm s^{-1} , 84% of the mass deposited.

9 In the absence of PAC at both 3 and 10 cm s^{-1} , algal cell counts were greatly reduced
10 during all settling periods, with removal efficiencies (RE) exceeding $\sim 80\%$ (Fig. 5 and Table 5).
11 However, removal efficiencies were considerably lower when the experiments were repeated
12 with PAC (15-78%; Table 5). In the absence of PAC, algal cell RE was slightly greater than the
13 percentage of clay mass that deposited to the flume bed (Table 5). In contrast, in the presence of
14 PAC, algal cell RE tended to be less than the percentage of mass deposited (Table 5). Both
15 experiments at 3 cm s^{-1} had the same percentage of clay mass deposited, but mass deposition was
16 lower in the presence of PAC in the experiments at 10 cm s^{-1} . Among the three settling periods
17 there was little change in either the percentage of clay deposited or RE in the absence of PAC.
18 In contrast, during the runs with PAC there were large differences in RE among the settling
19 periods, with far more cells removed during the first settling period for the run at 3 cm s^{-1} and
20 during the final settling period for the run at 10 cm s^{-1} . Although no mass was deposited with the
21 flow at 20 cm s^{-1} , cell counts were considerably lower 3 hours after clay addition, possibly
22 because clay in the water sample precluded accurate cell counts.

23

Discussion

Deposition of clay/algal flocs

The τ_{0crit} for deposition, or τ_d , can be used to estimate an upper limit for mean flow speeds that would enable the accumulation of a floc layer on the sea floor. With $\tau_d = 0.046$ Pa ($u_{*crit} = 0.67$ cm s⁻¹) and assuming a typical quadratic drag coefficient ($C_D = \bar{u}_*^2 / \bar{u}^2 = 0.0025$), deposition would be expected at mean horizontal flow speeds ≤ 13 cm s⁻¹ in the field (measured just above the bottom boundary layer). Mean flow speed would need to be even lower for significant accumulation of flocs that formed in the presence of PAC. Such flow speeds would be encountered in protected harbors, coastal embayments with muddy bottoms, and in more energetic environments at slack tide. In environments with flow speeds generally > 13 cm s⁻¹ ($\sim 1/4$ knot), such as sandy nearshore systems or tidal channels, clay/algal flocs likely would remain in suspension.

Settling would be expected if the settling velocity (w_s) of flocs scaled to (or was larger than) vertical fluctuations in flow velocity (an indication of turbulence in the water column), and deposition would be expected if w_s scaled to (or was larger than) the shear velocity at the bed (Middleton and Southard, 1984). Although not measured during the flume experiments, a very rough estimate of w_s is 2 mm s⁻¹ (12 cm / 15 min) during the 17-m flume runs (in still water) and 0.5 mm s⁻¹ (12 cm / 1 hr) during the racetrack flume runs at 3 cm s⁻¹. With $w_s = 0.5 - 2$ mm s⁻¹, flocs would settle through a still water column with depth 5.4 - 21.6 m in three hours (e.g. during slack tide). These rough estimates for w_s for clay/algal flocs compare well to the range (0.3 - 4 mm s⁻¹) determined empirically for phosphatic clay at 0.25 g L⁻¹ and *Karenia brevis* at 10⁴ cells mL⁻¹ in settling columns in our laboratory (Anderson et al., 2003). As an additional estimate of w_s , we used a deposition rate model (Sanford and Halka, 1993), $D = w_s c (1 - \tau_b / \tau_d)$, in which c is

1 suspended mass concentration prior to stepping down the paddle speed in the racetrack flume
2 from 12 to 10 cm s⁻¹. The model yielded a much lower estimate of w_s (0.03 mm s⁻¹).

3 In flowing water, turbulence potentially would limit the maximum size of flocs, therefore
4 limiting maximum w_s (e.g. Hill, 1998). The size of flocs would be a balance between
5 aggregation (coagulation as a result of shear, differential sedimentation, and algal motility;
6 Jackson and Lochmann, 1993) and disaggregation (due to higher shear as well as biological
7 processes; e.g. Alldredge et al., 1990; Milligan and Hill, 1998). However, floc disaggregation
8 due to turbulence occurs only in very high levels of shear in the marine environment (Alldredge
9 et al., 1990; Hill et al., 2001). Shear rates in the coastal ocean range on the order of 0.01 - 10 s⁻¹
10 (Alldredge et al., 1990), with high values in turbulent benthic boundary layers (e.g. Shaw et al.,
11 2001) and tidal channels (e.g. Lueck and Huang, 1999). Although we did not specifically
12 measure turbulence in the racetrack flume, we can estimate the rate of turbulent energy
13 dissipation (ε) as $\varepsilon \approx [\bar{u}_*^3 / (\kappa z)] (1-z/h)$, in which κ is von Karman's constant (0.41), z is height
14 above bottom (we chose half the water depth) and h is water depth in the depth-limited boundary
15 layer (Nezu and Nakagawa, 1993). Shear rate (G) then can be estimated as $G \approx (\varepsilon/\nu)^{1/2}$ (e.g.
16 Alldredge et al., 1990; Milligan and Hill, 1998). Shear rate (G) during the racetrack flume
17 experiments ranged an order of magnitude from 0.4 - 5 s⁻¹ (for paddle speeds 3 - 25 cm s⁻¹),
18 which is representative of natural conditions and likely did not limit floc size. Size spectra and
19 porosity of flocs were not measured during the racetrack flume experiments. In other flume
20 experiments with phosphatic clay and *H. triquetra*, floc size ranged two orders of magnitude
21 from 10⁰ - 10² μm (Archambault et al., 2003). Calculations using Stokes Law and empirical
22 values for w_s yielded a similar size range for flocs in settling column experiments with
23 phosphatic clay and *K. brevis* (Anderson et al., 2003). More porous aggregates would lead to

1 weaker dependence of size to settling velocity predicted by Stokes Law (e.g. Hill, 1998). This
2 may be the case for flocs that form in the presence of PAC, in which “the bridge linkage of PAC
3 increases effective diameter of clay particles” (translation of Yu et al., 1995).

4 Interestingly, the critical stress for deposition (τ_d) was greater than the critical stress for
5 erosion (τ_{0crit}) in the racetrack flume experiments (0.046 vs. 0.034 Pa), an observation sometimes
6 reported in field studies of cohesive sediments (Sanford and Halka, 1993). Some deposition also
7 occurred at the shear stress that yielded maximum erosion rates in the experiment without PAC
8 at 3 cm s^{-1} (0.060 Pa; Table 4); however, this may be a result of deposition near the walls of the
9 flume in lower shear stress. In practice in the field, spatial (e.g. depressions on the sea floor) and
10 temporal (e.g. waves) variability in shear stress must be considered in predicting deposition of
11 clay/algal flocs.

12 We must acknowledge some limitations in interpreting results of settling and deposition
13 in the racetrack flume. More shear would be expected in the turbulent boundary layer of the
14 flume than in the upper water column where initial flocculation would occur in the field. In the
15 field larger flocs might form in the less turbulent upper water column and settle more rapidly
16 than in the flume at equivalent mean flow speeds. Also, in the field deposition of the flocs might
17 not be required for cell mortality (or decreased cell growth) if the settling is effective in
18 transporting algae to darker conditions lower in the water column or if merely being trapped in a
19 floc decreases cell viability. Finally, due to the shallow water depth in the flume, deposition of
20 flocs to the flume bed might be enhanced over natural conditions, since deposition rate is a
21 function of concentration just above the sediment/water interface (Sanford and Halka, 1993).

22

23 **Resuspension of clay/algal flocs**

1 Once deposited on the flume bed, clay/algal flocs were more difficult to resuspend the
2 longer they remained in a layer on the bottom. The decrease in erodibility with settling time (3
3 vs. 9 and 24 hr) likely was due to consolidation (dewatering) of the floc layer. Dewatering,
4 which decreases the porosity of the floc layer, has been shown in other studies to effectively
5 increase τ_{0crit} of sediments (Mehta, 1989; Sanford and Maa, 2001). Assuming a quadratic drag
6 coefficient as above, resuspension of a 2-mm thick floc layer that settled for 3 hours at relatively
7 low flow speeds ($\leq 3 \text{ cm s}^{-1}$) would be expected at 15-16 cm s^{-1} in the field, as compared to 18-
8 19 cm s^{-1} for a layer that accumulated in 9 or 24 hours (in the absence of PAC). Results for
9 initial motion suggested that the layer would not erode at all if mean flow speeds were $< 8 \text{ cm s}^{-1}$
10 (again, using the quadratic drag coefficient). Resuspension of flocs that settled in faster flow
11 conditions (i.e. $\sim 10 \text{ cm s}^{-1}$) would be expected at faster mean flow speeds, likely due to hydraulic
12 sorting in which the flocs with greater settling velocity (i.e. larger flocs) are deposited while
13 smaller flocs remained in suspension (Table 5). However, for all of these estimates it is
14 important to consider waves, and not just the mean flow speed, when determining whether a
15 material will erode from the sea floor in many coastal areas. It is also important to consider the
16 thickness of the layer that accumulates on the sea floor. In general, τ_{0crit} increases with depth of
17 erosion in a layer of consolidated sediment (Mehta, 1989; Sanford and Maa, 2001).

18 When flocs settled in low flow ($\leq 3 \text{ cm s}^{-1}$), PAC enhanced the entrainment (lowered the
19 resuspension stress and increased the erosion rate) of clay/algal flocs into the water column.
20 Resuspension of flocs that settled with PAC would be expected at slightly lower mean flow
21 speeds (e.g. 14-15 cm s^{-1} for flocs that settled in low flow and consolidated for three hours on the
22 sea floor). PAC may have increased the porosity of flocs, which would translate to increased

1 porosity of the layer that accumulated on the bed, and, consequently, enhanced erosion rates at
2 lower bed shear stresses (e.g. Mehta, 1989; Sanford and Maa, 2001).

3 Interestingly, values for resuspension stress for clay/algal flocs in the 17-m flume
4 experiments were consistent with values estimated in other studies of “fluff” layers composed of
5 a mixture of sediment and phytodetritus (listed by Beaulieu, 2003). Also, values for the erosion
6 rate constant, M , during the racetrack flume runs without PAC (Table 4) were consistent with
7 field studies of cohesive sediments (e.g. 2.8 - 12 mg cm⁻² hr⁻¹ in Sanford and Halka, 1993).

8 However, we must acknowledge limitations of both flumes in predicting resuspension of flocs in
9 the field. Estimates of τ_{0crit} for flocs in the 17-m flume experiments may have been biased by the
10 lack of turbulence during settling and the lack of wave energy during consolidation. Values for
11 shear stress in the racetrack flume may be biased due to calibration to paddle speed without clay
12 in the flume. However, stratification effects on bed shear stress should be negligible due to the
13 low value of depth-averaged sediment concentration during our flume runs (e.g. Piedra-Cueva et
14 al., 1997). Estimates of τ_{0crit} and erosion rate for flocs in the racetrack flume may have been
15 biased by lower cohesion of the floc layer to the plastic test bed vs. a natural sediment surface.
16 In addition, in the field bed roughness such as ripples would lead to spatial variability in shear
17 stress, and consequently, spatial variability in erosion of flocs from the sea floor. Also, the flume
18 experiments lacked biota such as microphytobenthos which might stabilize the bed (e.g.
19 Sutherland et al., 1998) and benthic fauna whose activities (bioturbation) might enhance mixing
20 of clay/algal flocs with ambient sediment or enhance resuspension by destabilizing bed sediment
21 (e.g. Willows et al., 1998).

22
23 **Algal removal efficiency in flow**

1 Overall, experiments in the racetrack flume suggest that clay can be effective at removing
2 algal cells from the water column in flows up to $\sim 10 \text{ cm s}^{-1}$ (in the absence of PAC). The
3 extremely high cell removal efficiency (RE) at low flow (essentially 100% at 3 cm s^{-1}) far
4 exceeded expectations for such low cell concentrations, based on still-water experiments with *H.*
5 *triquetra* at phosphatic clay loadings of 0.25 g L^{-1} ($\sim 30\%$; Sengco, 2001). This enhancement
6 likely is due to enhanced particle contact rate due to shear in the flowing water (e.g. Mehta,
7 1989; Jackson and Lochmann, 1993). Other flume experiments also found that $\sim 100\%$ of *H.*
8 *triquetra* cells were removed by phosphatic clay in a low flow ($\sim 2 \text{ cm s}^{-1}$) regime, while cells
9 remained in the water column in a higher flow regime ($\sim 13 \text{ cm s}^{-1}$; Archambault et al., 2003).
10 These results suggest that if flow speeds are low, high cell RE may be achieved with relatively
11 low clay loadings in the field, potentially reducing costs and environmental impacts.

12 Still-water experiments indicated that PAC also might be a means to enhance RE and
13 reduce clay loadings (e.g. Yu et al., 1995; Sengco et al., 2001). However, when racetrack flume
14 experiments were repeated with PAC, RE was much lower and more variable. A tentative
15 explanation for this result is that PAC enhances clay/clay flocculation into porous aggregates that
16 sweep algal cells as they settle through the water column. During the flume run in which initial
17 flocculation occurred at 3 cm s^{-1} , porous clay/clay aggregates might have enabled cells to
18 “escape” following the first resuspension event. However, this would not explain how during
19 the experiment at 10 cm s^{-1} with PAC, the greatest RE occurred during the 3rd settling period.
20 Perhaps some cells were damaged due to increased particle collisions at higher shear (which
21 might also explain why some cell removal was observed during the flume run at 20 cm s^{-1}).

22 Although our results are suggestive of increased clay/algal flocculation in shear flow, we
23 did not determine the exact mechanism for the enhanced RE. Microscopic observations during

1 other flume experiments (with flow driven by a propeller) did not find evidence for clay/algal
2 flocculation, and the mechanism of cell removal from the water column was undetermined
3 (Archambault et al., 2003). However, microscopic examinations in still-water studies showed
4 clay and algae in flocs (Avnimelech et al., 1982; Shirota, 1989; Burkholder, 1992) and/or
5 mineral particles attached to algal cells (Soballe and Threlkeld, 1988; Yu et al., 1994; Bae et al.,
6 1998). Future flume studies should check for motility and viability of cells flocculating with
7 clay or swept by clay aggregates. Although some studies have shown that cells trapped in a floc
8 layer for three hours were killed ($> 85\%$ of *Pfiesteria piscicida*; A. Li et al., unpub. data),
9 Burkholder (1992) found that some dinoflagellates can survive episodic sediment loading by
10 forming temporary cysts. Firm conclusions about the process of clay/algal flocculation in flow
11 and RE in flow must be drawn from replicated experiments, with controls, and with appropriate
12 microscopic examination of fresh samples.

13

14 **Implications for using clay to control HABs**

15 A conservative look at our results suggests that in environments with low mean flow (\leq
16 10 cm s^{-1}) and low bed shear stress ($\leq 0.034 \text{ Pa}$), the application of phosphatic clay would lead to
17 HAB cell sedimentation and the accumulation of a clay/algal floc layer on the sea floor. Using
18 the resuspension stress as an upper limit, some deposition of phosphatic clay would be expected
19 with mean flow up to $\sim 15 \text{ cm s}^{-1}$ and bed shear stress up to 0.060 Pa ; however, we did not
20 adequately test for algal RE at mean flow $> 10 \text{ cm s}^{-1}$. In higher flow regimes a floc layer may
21 not accumulate on the sea floor, but cells may still be trapped in flocs in the water column,
22 potentially impairing cell viability. Future studies should be conducted to determine whether

1 clay and algae flocculate and remain aggregated in suspension at higher flow speeds (e.g. 10 -
2 100 cm s⁻¹).

3 As a natural component of marine sediments, clay might be expected to cause fewer
4 environmental impacts than other direct control strategies for HABs (e.g. chemical or biological
5 control; Anderson, 1997; Boesch et al., 1997; Anderson et al., 2001). However, clay/algal
6 flocculation, settling, and deposition (Fig. 1) would be likely to affect other planktonic species in
7 the water column as well as organisms on the sea floor. During flocculation and settling, the
8 clay might adhere to organisms other than the targeted harmful algal species. Turbidity in the
9 water column might decrease primary productivity of benign algal species and also decrease
10 feeding activity of visual predators such as larval fish. Although fish exposed to suspended clay
11 for short time periods (< 1 hr) were not adversely affected (Shirota, 1989), clay may have effects
12 over longer time periods if flocs remain in suspension. For example, chronic suspension of
13 clay/algal flocs had detrimental effects on suspension-feeding bivalves in a 14-day exposure,
14 although these same organisms were not negatively affected (smothered) by deposition of a layer
15 in low flow (they extended their siphons through the floc layer; Archambault et al., 2002).
16 Deposition of clay/algal flocs would cause at least a temporary change in the physical and
17 chemical composition of the sediments that might affect deposit feeders, settlement of larvae,
18 and chemical fluxes across the sediment/water interface. Depending upon the biological oxygen
19 demand of the deposit, decomposition might lead to anoxia. Experiments with sediment cores
20 taken from the field have shown, however, that the micro- and meio-benthos of sandy sediment
21 can quickly adapt (~1 week) to the deposition of a 2.5-mm layer of carbon-rich silt on the
22 sediment surface, with benthic diatoms restoring the oxygen in the uppermost sediments (Wulff
23 et al., 1997).

1 In practice, clays have been used to control HABs in Japan, South Korea, and Australia.
2 Limited details of clay dispersal and measurement of algal RE in Japanese and Korean field trials
3 have been translated into English. In general, Japan and Korea used clay to protect fish
4 mariculture facilities. Trials in Japan led to the only published observations of the accumulation
5 of clay on the sea floor, in which a diver observed clay on the sea floor at 20-m depth after clay
6 dispersal at 1 kg m^{-2} (corresponds to homogeneous loading of 0.05 g L^{-1} in $1 \times 1 \text{ m}$ column)
7 during flow at 5 cm s^{-1} (Shirota, 1989; photos of vertical sections of sediment cores in Shirota,
8 1998). In South Korea, dispersal of 10 g L^{-1} yellow loess in the vicinity of fish farms yielded 19
9 - 58% reduction in chlorophyll *a* (Bae et al., 1998) and RE ~80% for *Cochlodinium* sp. (Choi et
10 al., 1998). Korea dispersed on the order of 10^5 tons of yellow loess in 1996 and 1997 (Anderson
11 et al., 2001) and 2002 (Raloff, 2002) to combat HABs. In Australia, application of bentonite
12 clay mixed with PAC appeared to be “promising” in treating a cyanobacteria (*Microcystis*
13 *aeruginosa*) bloom (no details on clay loading or algal RE; Atkins et al., 2001).

14 Direct control of HABs is a sensitive issue (e.g. Anderson, 1997; Boesch et al., 1997).
15 Arguments against controlling HABs, discussed by Anderson et al. (2001), include the concern
16 that controlling HABs through a human-introduced disturbance might cause more harm to the
17 environment than it is intended to prevent. However, in some cases short-term, negative impacts
18 might be tolerable when compared to long-term economic and ecosystem impacts, such as those
19 occurring if a bloom persists and affects other locations through time. Small-scale clay dispersal
20 in the vicinity of aquaculture facilities or in a restricted area might be effective in mitigating
21 HABs, particularly with repeated applications. Such a control effort could be justified if
22 sufficient information on procedures, appropriate clay loadings, and environmental impacts were
23 obtained from flume and mesocosm experiments. In evaluating the flume experiments in this

1 paper, we must acknowledge that results are specific to *Heterocapsa triquetra* and phosphatic
2 clay, at the concentrations tested. In still-water experiments, RE varied with clay composition,
3 algal species, and clay and cell concentrations (e.g. Avnimelech et al., 1982; Yu et al., 1994,
4 1995; Sengco et al., 2001). Additional flume experiments would be required to evaluate the
5 critical bed shear stresses and RE of other clays in flow.

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Table 1. Summary of flume experiments. All experiments were conducted with IMC-P4 clay and the dinoflagellate *Heterocapsa triquetra*. Clay loadings are reported as dry mass. n/a means not applicable.

Experiment description	Experiment run #	Settling time (hr)	Flow speed (cm s ⁻¹) during settling	T (°C)	Salinity (ppt)	<i>Heterocapsa</i> cells mL ⁻¹ x 10 ³	PAC (µL L ⁻¹)	Areal loading of clay (g m ⁻²)	Concentration of clay (g L ⁻¹)
17-m flume									
Clay	1	3	0	21.5	33	2.00	n/a	33.13	0.41
Clay	2	3	0	21.5	33	2.00	n/a	33.13	0.41
Clay	3	3	0	21.5	33	2.00	n/a	33.13	0.41
Clay	1	9	0	22	33	1.89	n/a	44.96	0.56
Clay	2	9	0	22	33	2.53	n/a	44.96	0.56
Clay	3	9	0	22	33	2.43	n/a	38.22	0.48
Clay	1	24	0	23	33	2.35	n/a	56.80	0.71
Clay	2	24	0	23	33	3.28	n/a	47.33	0.59
Clay	3	24	0	23	33	3.23	n/a	47.33	0.59
Clay + PAC	1	3	0	23.5	33	2.68	5	33.13	0.28
Clay + PAC	2	3	0	23.5	33	1.64	5	33.13	0.28
Clay + PAC	3	3	0	23.5	33	1.64	5	33.13	0.28
Clay + PAC	1	9	0	23.5	33	1.80	5	37.86	0.32
Clay + PAC	2	9	0	23.5	33.5	2.46	5	37.86	0.32
Clay + PAC	3	9	0	23.5	33.5	2.43	5	37.86	0.32
Clay + PAC	1	24	0	24	33.5	2.39	5	47.33	0.39
Clay + PAC	2	24	0	24	33.5	2.77	5	47.33	0.39
Clay + PAC	3	24	0	24	33.5	3.36	5	47.33	0.39
Racetrack flume									
Clay	1	3, 3, 9	3	23	30	0.75	n/a	33.21	0.28
Clay + PAC	1	3, 3, 9	3	23	30	0.33	5	33.21	0.28
Clay	1	3, 3, 9	10	21	30	0.51	n/a	33.21	0.28
Clay + PAC	1	3, 3, 9	10	22	30	0.70	5	33.21	0.28
Clay	2*	3	20**	20.5	30	0.15	n/a	33.21	0.28

* Run 1 lost due to power outage.

** Initially at 20 cm s⁻¹ and then decreased by 2 cm s⁻¹ every 3 hours.

Table 2. Critical bed shear stress (τ_{0crit}) for three stages of transport of clay/algal flocs in the 17-m flume. Values are mean \pm std. devn. for three replicate flume runs. Results for resuspension stress are plotted in Figure 2. For critical shear velocity, $u^*_{crit} = (\tau_{0crit} / \rho)^{1/2}$, substitute $\rho = 1.0225 \text{ g cm}^{-3}$.

Experiment description	Settling time (hr)	τ_{0crit} (Pa)		
		Initial motion	Bedload	Resuspension
Clay	3	0.017 \pm 0.001	0.028 \pm 0.003	0.069 \pm 0.006*
Clay	9	0.019 \pm 0.001	0.029 \pm 0.000	0.081 \pm 0.002
Clay	24	0.019 \pm 0.001	0.032 \pm 0.002	0.088 \pm 0.005
Clay + PAC	3	0.018 \pm 0.000	0.026 \pm 0.001	0.059 \pm 0.002
Clay + PAC	9	0.017 \pm 0.000	0.027 \pm 0.002	0.068 \pm 0.004
Clay + PAC	24	0.019 \pm 0.001	0.031 \pm 0.001	0.071 \pm 0.002

* Contains an outlier based on post-experiment observations of video.

Table 3. Two-way ANOVA for the 17-m flume results for resuspension stress for clay/algal flocs (plotted in Figure 2). The two sources of variation in the 3 x 2 factorial design were settling time (3, 9, or 24 hr) and PAC (presence or absence).

Source	df	SS	MS	F	P
Settling time	2	0.000776	0.000388	23.99	0.000064
PAC	1	0.000751	0.000751	46.42	0.000019
Interaction	2	0.000036	0.000018	1.12	0.36
Error	12	0.000194	0.000016		
Total	17	0.0018			

Table 4. Using racetrack flume results to model erosion rate as $E = M(\tau_b/\tau_{0crit} - I)$. Values are single estimates derived from OBS “slope analysis” (depicted in Figure 4). Total volume 2052 L and area 17.1 m² in racetrack flume. M is presented in units comparable to Sanford and Halka (1993).

Experiment description	Flow speed (cm s ⁻¹) during settling	Resuspension event	Critical stress, τ_{0crit} (Pa)	Stress (τ_b) at max sediment input (Pa)	Increase in sediment concentration (g L ⁻¹)	Change in time (hr)	M (mg cm ⁻² hr ⁻¹)
Clay	3	1 st 3 hr	0.040	0.060	0.068	0.27	6.1
		2 nd 3 hr	0.034	0.060	0.108	0.37	4.6
		9 hr	0.034	0.060	0.115	0.42	4.3
Clay + PAC	3	1 st 3 hr	0.034	0.053	0.081	0.36	4.8
		2 nd 3 hr	0.034	0.053	0.107	0.33	6.9
		9 hr	0.034	0.053	0.101	0.35	6.2
Clay	10	1 st 3 hr	0.060	0.083	0.097	0.32	9.4
		2 nd 3 hr	0.053	0.083	0.102	0.43	5.0
		9 hr	0.060	0.092	0.080	0.36	4.9
Clay + PAC	10	1 st 3 hr	0.053	0.083	0.058	0.33	3.7
		2 nd 3 hr	0.046	0.083	0.042	0.45	1.4
		9 hr	0.046	0.092	0.038	0.52	0.9

Table 5. Clay mass deposition and algal removal efficiency (RE) in the racetrack flume. Values are reported for the % of clay mass that deposited during the three settling periods (as depicted in Figure 3). RE values are based on the cell counts just prior to clay addition (shown in Figure 5).

Experiment description	Flow speed (cm s ⁻¹) during settling	% of clay mass that deposited			Removal efficiency (RE) of <i>Heterocapsa</i>		
		1 st 3 hr	2 nd 3 hr	9 hr	1 st 3 hr	2 nd 3 hr	9 hr
Clay	3	94%	94%	96%	100%	98%	99%
Clay + PAC	3	94%	95%	96%	78%	25%	19%
Clay	10	79%	72%	86%	89%	78%	90%
Clay + PAC	10	39%	31%	40%	28%	15%	69%
Clay	20	1%			41%*		

* Cell counts may be low due to large amount of clay in sample.

Figure captions

Figure 1. A scenario for clay/algal flocculation, deposition, and resuspension. The dashed square indicates a study volume in which environmental impacts are monitored. (1) Clay is sprayed on the water surface. (2) Clay and single-celled algae flocculate in the water column; some flocs are advected laterally out of the study volume. (3) Clay/algal flocs settle and accumulate on the sea floor. (4) Increased flow leads to resuspension and lateral advection of flocs.

Figure 2. Resuspension stress for clay/algal flocs in the 17-m flume. Each symbol indicates mean \pm std. devn. for three replicate flume runs. (Values listed in Table 2.)

Figure 3. Optical backscatter (OBS) plots for racetrack flume runs. Linear fits to 2-min subsets of data are superimposed on plots A,B,D, and E.

A,B. Paddle speed 3 cm s^{-1} . OBS at 3.4 cm above bottom. A. No PAC. The highlighted box indicates data plotted in Figure 4. B. With PAC.

C,D. Paddle speed 10 cm s^{-1} . C. No PAC. OBS at 6 cm a.b. Gaps indicate manual displacement of the OBS to 3.4 and 8 cm a.b. during resuspension events. D. With PAC. OBS at 8 cm a.b.

E. Paddle speed 20 cm s^{-1} . No PAC. OBS at 3.4 cm a.b. Paddle speed was decreased by 2 cm s^{-1} every three hours. Arrows indicate decreases to 12, 10, and 8 cm s^{-1} .

Figure 4. OBS “slope analysis” for the first resuspension event in Figure 3A. Upper panel shows the slope of linear fits to 2-min subsets of OBS data. Dashed lines indicate first increase

in OBS slope and maximum slope (upper panel) and intersection with bed shear stress calibrated to paddle speed in the flume (lower panel). Each step in the plot for bed shear stress represents a 1 cm s^{-1} increase in paddle speed (from 3 to 25 cm s^{-1}).

Figure 5. Cell counts for racetrack flume runs. Symbols indicate mean \pm range of 2 or 3 counts of each 50-ml sample of flume water. Note log scale. Mean values were used to determine removal efficiency (RE) reported in Table 5.

Figure 1

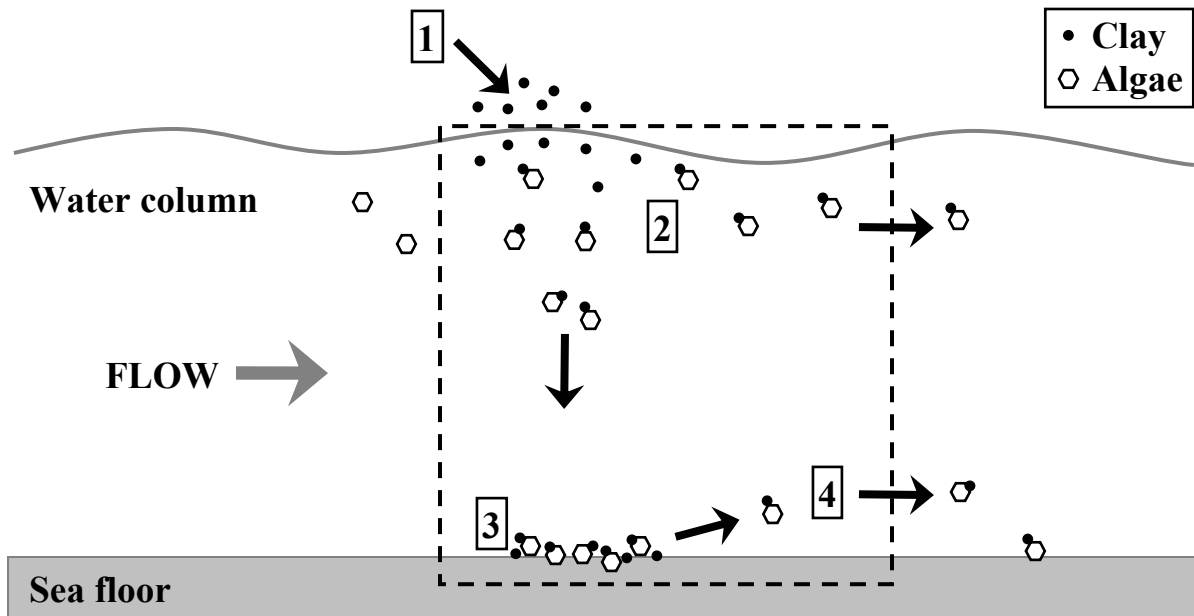


Figure 2

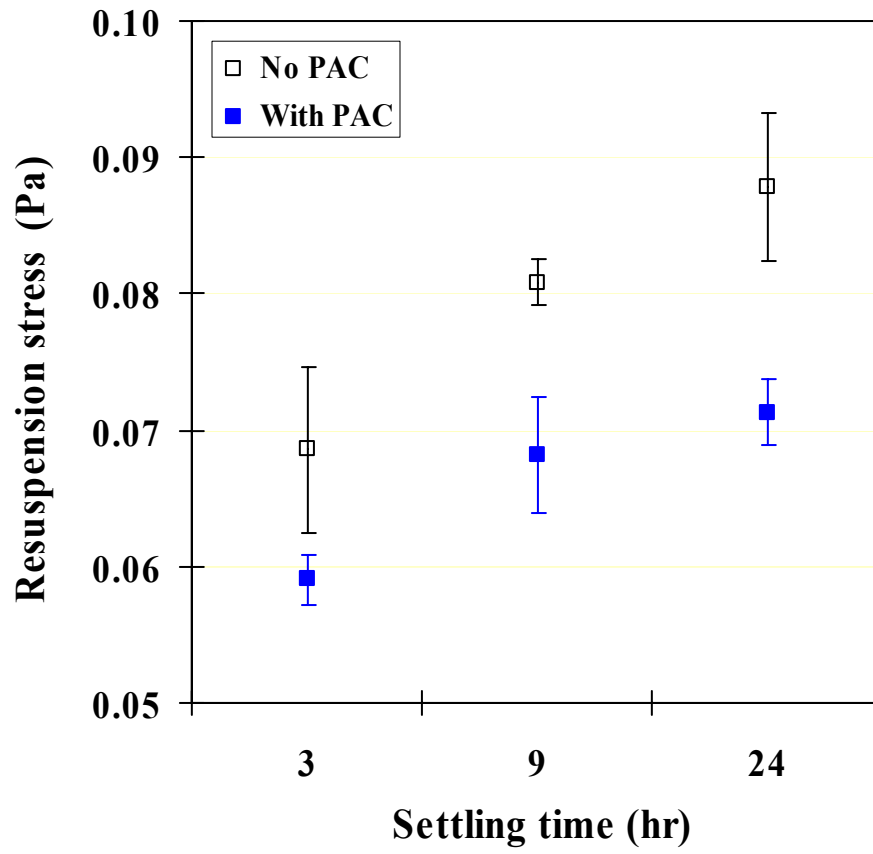


Figure 3

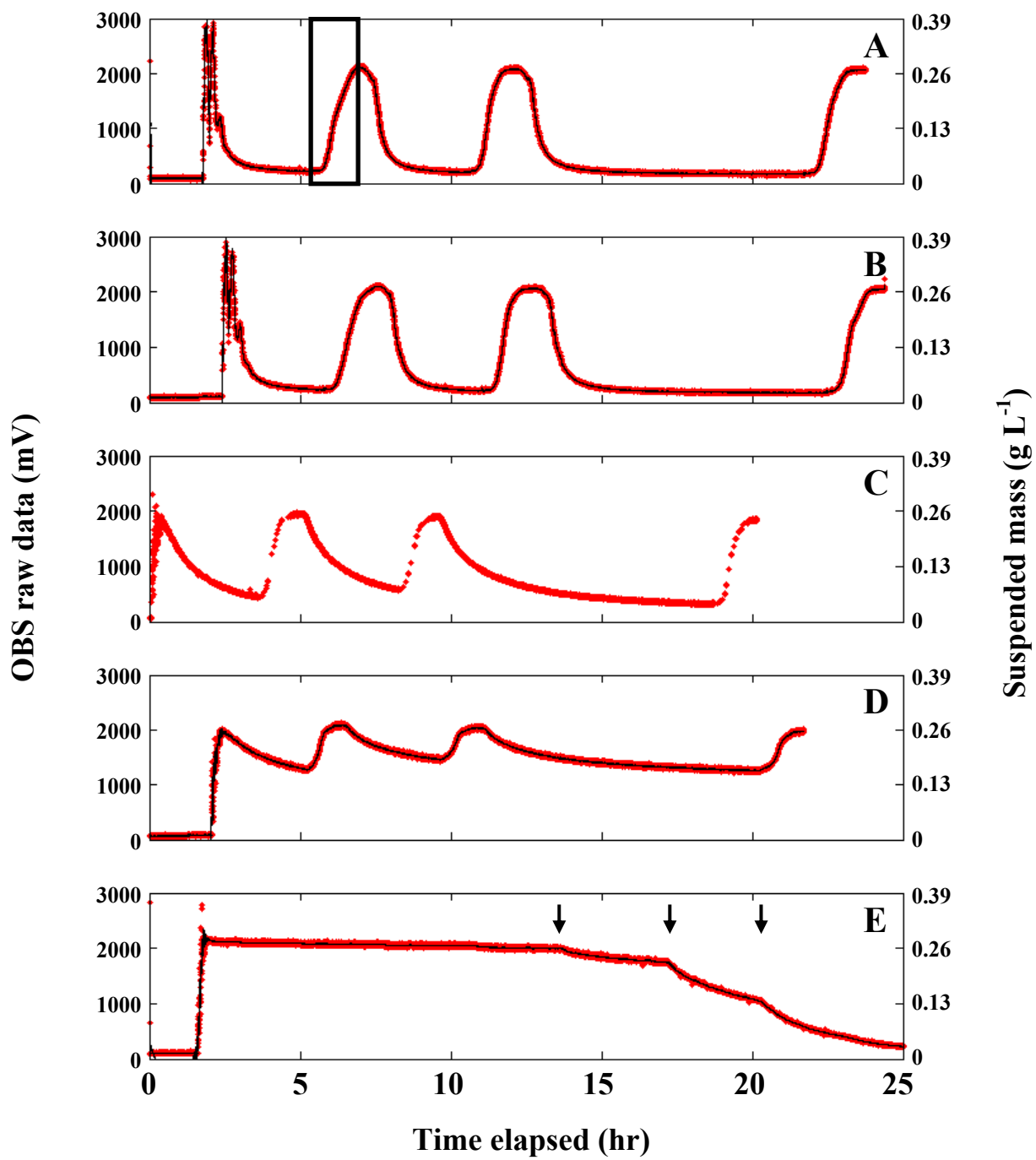


Figure 4

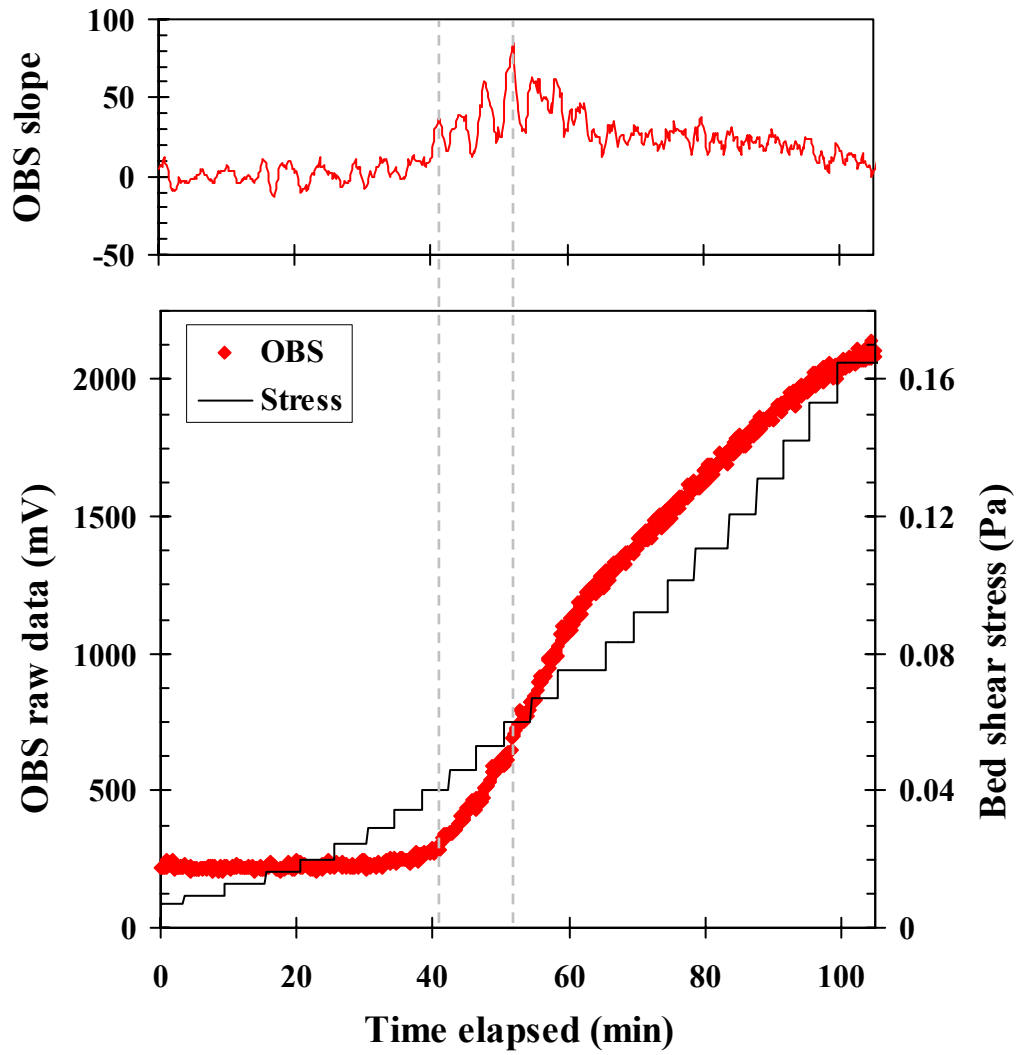


Figure 5

