

Bacterivory by phototrophic picoplankton and nanoplankton in Arctic waters

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## 1 **Abstract**

2 Mixotrophy, the combination of phototrophy and heterotrophy within the same individual, is  
3 widespread in oceanic systems. Yet, neither the presence nor ecological impact of mixotrophs  
4 has been identified in an Arctic marine environment. We quantified nano- and picoplankton  
5 during early autumn in the Beaufort Sea and Canada Basin and determined relative rates of  
6 bacterivory by heterotrophs and mixotrophs. Results confirmed previous reports of low microbial  
7 biomass for Arctic communities in autumn. The impact of bacterivory was relatively low,  
8 ranging from  $0.6 \times 10^3$  to  $42.8 \times 10^3$  bacteria  $\text{mL}^{-1} \text{day}^{-1}$ , but it was often dominated by pico- or  
9 nano-mixotrophs. From 1-7% of the photosynthetic picoeukaryotes were bacterivorous, while  
10 mixotrophic nanoplankton abundance comprised 1-22% of the heterotrophic and 2-32% of the  
11 phototrophic nanoplankton abundance, respectively. The estimated daily grazing impact was  
12 usually  $< 5\%$  of the bacterial standing stock, but impacts as high as 25% occurred. Analysis of  
13 denaturing gradient gel electrophoresis band patterns indicated that communities from different  
14 depths at the same site were appreciably different, and that there was a shift in community  
15 diversity at the midpoint of the cruise. Sequence information from DGGE bands reflected  
16 microbes related to ones from other Arctic studies, particularly from the Beaufort Sea.

17

## 18 **Introduction**

19 Planktonic protists have traditionally been categorized based on their modes of energy and  
20 carbon acquisition as either phototrophic (algal) or heterotrophic. However, mixotrophic  
21 behavior, whereby organisms combine both of these nutritional modes within a single cell, has  
22 been increasingly recognized and documented in aquatic systems (Stoecker, *et al.*, 2009,  
23 Sanders, 2011). Phagotrophic feeding behavior occurs in a variety of algal taxa, including  
24 chrysophytes, dinoflagellates, prymnesiophytes, raphidophytes and cryptophytes, and these  
25 organisms have been shown to be ecologically significant as primary producers and consumers  
26 (Sanders & Porter, 1988, Unrein, *et al.*, 2007, Jeong, *et al.*, 2010, Jeong, 2011). Mixotrophic  
27 nanoplankton (MNAN) can comprise up to 50% of the total phototrophic nanoplankton (cells  
28 <20 microns) and be responsible for as much as 86% of the total bacterivory in diverse aquatic  
29 habitats (e.g., Sanders, *et al.*, 1989, Havskum & Riemann, 1996, Havskum & Hansen, 1997,  
30 Sanders, *et al.*, 2000).

31         Although abundances and bacterivory by protists have been examined in the Arctic  
32 Ocean, there are no previous investigations of mixotrophy. Earlier studies in the central Arctic  
33 Ocean and Chukchi Sea found heterotrophic nanoplankton (HNAN) comprised a large portion of  
34 the microbial biomass during summer when flagellates in the 6 – 20  $\mu\text{m}$  size range tended to  
35 dominate HNAN biomass (Sherr, *et al.*, 1997); autotrophic plankton biomass during that  
36 investigation was dominated by dinoflagellates and miscellaneous flagellates at most stations in  
37 the central Arctic, with diatoms making up most of the remaining biomass (Booth & Horner,  
38 1997). However, at some stations in the Canada and Makarov Basins, particularly under thicker  
39 ice cover, picophytoflagellates (cells <2.5 microns) tentatively identified as *Micromonas* sp.  
40 contributed up to 93% of autotrophic cell abundance and 36% of the autotrophic biomass in

41 freshly prepared samples (Booth & Horner, 1997, Sherr, *et al.*, 1997).

42         In the present study the occurrence of mixotrophy in pico- and nano-phytoplankton from  
43 Arctic waters was assessed, abundances and bacterivory of heterotrophic and phototrophic  
44 protists were determined, and a molecular analysis of the potentially abundant protists was  
45 performed using denaturing gradient gel electrophoresis (DGGE). We hypothesized that  
46 mixotrophy could be a successful strategy for these Arctic phototrophs based upon the  
47 ubiquitous incidence of mixotrophic nanoplankton in subpolar and Antarctic waters (Nygaard &  
48 Tobiesen, 1993, Havskum & Riemann, 1996, Bell & Laybourn-Parry, 2003, Moorthi, *et al.*,  
49 2009). We expected that the grazing impacts of mixotrophic organisms could sometimes exceed  
50 that of the heterotrophic plankton in the Beaufort Sea the Canada Basin region of the Arctic  
51 Ocean.

52

## 53 **Materials and methods**

### 54 **Study sites and sampling**

55 Samples to examine protistan abundance and bacterivory were collected at eleven stations within  
56 the Beaufort Sea and the Canada Basin of the Arctic Ocean on a cruise of opportunity aboard the  
57 icebreaker USCGC Healy in September 2008 (Figure 1). Water was collected from the deep  
58 chlorophyll maximum layer (DCM) and at 5 m below the surface during the upcast of a rosette  
59 CTD system with 10 L Niskin bottles. These depths were considered to be representative of the  
60 mixed surface layer or of a depth (DCM) with potentially increased biomass and activity of  
61 microorganisms, including phytoplankton. Exact station locations and physical parameters of the  
62 sampling depths from the CTD instruments are presented in Table 1.

63         Subsamples from each depth were collected immediately for microplankton counts and  
64 chlorophyll *a* analysis. Microplankton (ciliates, dinoflagellates, other microflagellates, and

65 diatoms) were preserved with Lugol's solution (4.5% final concentration) and later settled and  
66 enumerated using an inverted microscope at a magnification of 200X. For each chlorophyll *a*  
67 determination, 100 mL of whole water were filtered onto a 47 mm GF/F filter (Whatman) and  
68 frozen at -20 °C until analyzed. Filters were later extracted in 90% acetone overnight at -20 °C  
69 and fluorescence was determined with a Model TD-700 fluorometer (Turner Designs,  
70 Sunnyvale, CA, USA).

71

## 72 **Preparation of fluorescently labeled bacteria (FLB)**

73 FLB were prepared from cultured *Halomonas halodurans* (~1 µm). These were used  
74 successfully in prior studies for the identification of mixotrophic nanoplankton (Sanders, *et al.*,  
75 2000, Moorthi, *et al.*, 2009). *H. halodurans* was inoculated into 1 L of 0.2 µm-filtered and  
76 autoclaved seawater enriched with yeast extract (0.1% final concentration). Bacterial cells were  
77 grown at room temperature, harvested by centrifugation, washed using filter-sterile seawater  
78 (FSW), and then stained with mixing for 3 hr at 64 °C with 5-(4, 6-dichlorotriazin-2-yl)  
79 aminofluorescein (DTAF, Sigma-Aldrich Co., St. Louis, MO, USA) at a concentration of 40 µg  
80 mL<sup>-1</sup>. FLB were then washed 4-5 times with FSW by repeated centrifugation, and finally filtered  
81 through sterile 47 mm polycarbonate filters (Whatman, 3 µm pore size) to remove clumps.  
82 Concentrations of FLB were determined with epifluorescence microscopy, and aliquots of FLB  
83 were stored at -20 °C until just prior to use in experiments.

84

## 85 **Experimental setup and processing**

86 For feeding experiments, triplicate 2 L samples of seawater, prescreened through 100 µm Nitex  
87 mesh (Wildlife Supply, Yulee, FL, USA) to remove zooplankton, were incubated in 2.7 L

88 polycarbonate bottles. Zooplankton were not observed in the experimental bottles or on any of  
89 the slides when the samples were counted.

90 To determine the appropriate addition of tracer particles, bacterial abundance from each  
91 depth was initially assessed by epifluorescence microscopy from samples filtered on 25 mm  
92 black Poretics polycarbonate filters (0.2  $\mu\text{m}$  pore size). Filters were stained and mounted with  
93 cover slips onto glass slides using VectaShield<sup>®</sup> mounting medium containing DAPI (Vector  
94 Laboratories, Inc., Burlingame, CA, USA). Replicate counts for bacteria and fluorescent particle  
95 abundances used to calculate grazing impacts were determined with subsamples from each  
96 incubation bottle, fixed in 1% formalin and frozen at -20 °C until analysis. Bacteria were  
97 enumerated with fluorescence microscopy as described above and fluorescent particles counted  
98 by flow cytometry (FACSCalibur, BD Biosciences, San Jose, CA, USA). Our experience was in  
99 agreement with Hyun and Yang (2003), who reported a minimum loss of bacterial cells kept  
100 frozen in this manner and counted within 2-3 months.

101 For feeding experiments, fluorescently labeled bacteria (FLB) or 0.6  $\mu\text{m}$  polycarbonate  
102 microspheres (Polysciences, Inc., Warrington, PA, USA) were added at approximately 25% of  
103 natural bacterial abundance. At Stations 1 and 2, FLB were the only tracers used. At Stations 3  
104 and 4, FLB (1 – 1.2  $\mu\text{m}$ ) and microspheres (0.6  $\mu\text{m}$ ) were added to seawater in separate  
105 incubations. From these experiments it was apparent that picoeukaryotes were not ingesting the  
106 larger FLB, and the use of FLB was discontinued in subsequent incubations. In all cases,  
107 fluorescent tracers were sonicated immediately prior to addition to disperse particles evenly. The  
108 replicate bottles were incubated at 2 °C under fluorescent lamps at irradiance levels between 2  
109 and  $7 \times 10^{14}$  quanta  $\text{sec}^{-1} \text{cm}^{-2}$ , depending on the depth from which the original samples were  
110 taken. Light level was measured with a QSL-100 Quantum-Scalar Irradiance meter (Biospherical

111 Instruments, Inc., San Diego, CA, USA). To determine rates of bacterivory, 100 mL aliquots  
112 were taken from the bottles at several time points beginning immediately after particle addition  
113 (T0), and fixed using the Lugol's / formaldehyde / Na<sub>2</sub>S<sub>2</sub>O<sub>3</sub> method to prevent egestion (Sherr &  
114 Sherr, 1993). After evaluation of initial time course data indicated linear uptake of both FLB and  
115 microspheres for 120 minutes, samples were taken at T0 (background correction) and 30 minutes  
116 to determine ingestion.

117         Ingestion rates and abundances of phototrophic, mixotrophic and heterotrophic pico- and  
118 nano-plankton were determined from examination of 100 mL of sample filtered onto 25 mm  
119 Poretics polycarbonate membranes (3 µm pore size, GE Osmonics, Minnetonka MN, USA).  
120 Filters were simultaneously stained and mounted with cover slips on glass slides using  
121 VectaShield® as previously described for bacterial counts. To eliminate loss of chlorophyll  
122 fluorescence, specimens were frozen at -20 °C until enumeration by epifluorescence microscopy  
123 at 1000X magnification onboard the ship. Nanoplankton (3-20 µm) and picoeukaryotes (≤ 2.5  
124 µm) were counted in at least 25 fields per filter (a minimum of 100 and 200 cells for  
125 nanoplankton and picoplankton, respectively). Phototrophic and heterotrophic cells were  
126 differentiated by the presence or absence of chlorophyll autofluorescence, while mixotrophic  
127 cells were defined as those with chlorophyll and at least one ingested fluorescent tracer (FLB or  
128 microsphere) after background correction.

129

### 130 **Statistical analysis of abundance and feeding data**

131 To examine the potential for environmental factors to affect rates of bacterivory and proportions  
132 of mixotrophs, a Spearman correlation analysis was performed using the statistical software  
133 program "R" (Hornik, 2011); the arcsine transformation was used on percentage data prior to the

134 analysis. ANOVA was used to test the effect of tracer particle (FLB, microsphere) on ingestion  
135 rates by HNAN and MNAN; relative feeding rates of MNAN and HNAN were examined with a  
136 paired comparisons method (Wilcoxon's signed ranks test). Statistical analysis of DGGE results  
137 is described in the following section.

138

### 139 **Genetic analysis of samples**

140 Twenty liters of water from the surface and DCM were collected directly from the Niskin bottles  
141 through 100  $\mu\text{m}$  mesh prefilters onto 47mm GF/F filters (Whatman) and frozen at  $-20\text{ }^{\circ}\text{C}$ .  
142 Nucleic acids were recovered following the method described in Gast *et al.* (2004). One  $\mu\text{l}$  of  
143 each sample was amplified for DGGE analysis using the 18S rDNA primers 960FGC and 1200R  
144 generating a  $\sim 250$  bp fragment from the V7 region following the method described in Gast *et al.*  
145 (2004). Triplicate PCR reaction products were precipitated and resuspended in a total of 6  $\mu\text{l}$  of  
146 sterile distilled water. Nucleic acid concentrations were estimated with a Nanodrop<sup>TM</sup> 1000  
147 (Thermo Fisher Scientific, Waltham, MA, USA), and about 500 ng of each sample was loaded  
148 onto the gradient gel, which was poured and run following the procedure in Gast *et al.* (2004).  
149 The image was analyzed using GelComparII (Applied Maths, Austin, TX, USA), and bands  
150 detected manually were scored by presence/absence with a tolerance of 1%. Diversity and  
151 environmental factors were analyzed using Permanova+ (Anderson, *et al.*, 2008). The DGGE  
152 band data matrix was converted to a Bray-Curtis based resemblance matrix, with the  
153 environmental factors of site (each station) and depth (surface, deep). Environmental variables  
154 included actual depth, site, temperature, PAR, Julian date and salinity. An analysis of variance  
155 was performed with Permanova+, and principal coordinates analysis (PCO) was used to visualize  
156 the diversity patterns related to environmental variables.



157 Well-separated bands were picked from the gel using a sterile pipet tip to touch the  
158 surface of the gel and then pipetting up and down in 5  $\mu$ l of sterile distilled water. In our  
159 experience, this method has reduced the recovery of multiple bands that occurs when cutting a  
160 band from the gel and eluting material from the matrix. The band was reamplified from 2.5  $\mu$ l of  
161 the sample with the non-GC clamped primer set. Samples were precipitated overnight at -20  $^{\circ}$ C  
162 with a final concentration of 0.3 M sodium acetate and 0.6 volumes of 100% isopropanol. They  
163 were resuspended in 5  $\mu$ l of water and 250 ng were sequenced at the Bay Paul facility (MBL)  
164 using the non-GC clamped forward primer (960f). Putative band sequence identities were  
165 assessed using Blast <sup>™</sup> (GenBank), and we did not observe any potentially chimeric sequences.  
166 GenBank no longer accepts sequences shorter than 200bp, and because six of ours are below this  
167 limit we have made our sequence data available in the supplemental material (Table S1).

168

## 169 **Results**

### 170 **General environmental parameters**

171 The surface salinities and temperatures observed in the Beaufort Sea and the Canada Basin  
172 during the study period ranged from 23.15 to 31.49 PSU and -1.63 to 0.4  $^{\circ}$ C, respectively (Table  
173 1). Photosynthetically active radiation (PAR) measured at the time of sampling ranged from 0.27  
174 to 5.35 quanta  $\text{cm}^{-2} \text{sec}^{-1} \times 10^{15}$  at the surface, but never exceeded 0.29 quanta  $\text{cm}^{-2} \text{sec}^{-1} \times 10^{15}$  at  
175 the chlorophyll maximum depth of any station (Table 1). The general oceanographic parameters  
176 of salinity, temperature, and light (Table 1) are within the range of previous reports for the region  
177 and the season (Cota, *et al.*, 1996, Lovejoy, *et al.*, 2007, Sherr, *et al.*, 2009, Tremblay, *et al.*,  
178 2009).

179

## 180 **Plankton abundances**

181 Overall, abundances of microorganisms were low, as expected for the region and the autumn  
182 season. Bacteria were typically present at between  $1 - 2 \times 10^5$  cells  $\text{mL}^{-1}$  (Table 2). The  
183 maximum chlorophyll *a* concentration, observed at the deep chlorophyll maximum of Station 1,  
184 was  $0.87 \mu\text{g L}^{-1}$  (Table 2). The abundance of phytoplankton reflected the low chlorophyll  
185 concentrations. Diatoms were conspicuously absent from most samples and had a maximum  
186 abundance of  $<1 \text{ mL}^{-1}$ . Dinoflagellates were present in all samples, and though the Lugol's-fixed  
187 samples used for microplankton enumeration did not enable differentiation between phototrophic  
188 and purely heterotrophic individuals, qualitative shipboard observations with epifluorescence  
189 microscopy indicated that heterotrophic dinoflagellates tended to be more abundant than  
190 phototrophic dinoflagellates by a factor of 2:1 to 3:1. The combined dinoflagellates always  
191 numerically dominated the other microflagellates, with a maximum of approximately  $7 \text{ mL}^{-1}$   
192 (Table 2). Ciliate abundances frequently mirrored the "other microflagellate" category and  
193 exceeded  $2 \text{ mL}^{-1}$  on only two occasions at the surface.

194 Heterotrophic (HNAN) and phototrophic nanoflagellates (PNAN), mostly in the  $4 - 6 \mu\text{m}$   
195 size range, were typically present at  $50 - 200$  cells  $\text{mL}^{-1}$ , while mixotrophic nanoplankton  
196 (MNAN) abundances were usually at  $5 - 20$  cells  $\text{mL}^{-1}$  (Table 3). Photosynthetic picoeukaryotes  
197 (Peuk) were the numerically dominant group, and usually exceeded  $10^3$  cells  $\text{mL}^{-1}$  (Table 3).  
198 Mixotrophic picoeukaryotes (Mpeuk) were identified at every station after the switch was made  
199 to smaller ( $0.6 \mu\text{m}$ ) tracer particles. The abundances of Mpeuk usually exceeded that of the  
200 MNAN, sometimes by an order of magnitude (Table 3). However they made up only a very  
201 small proportion of the total picoeukaryotes, while MNAN comprised up to 32% of the  
202 phototrophic nanoplankton (Table 3). MNAN should also be compared to HNAN since both

203 contribute to grazing impact on bacteria. MNAN were on average 10% (range 1 – 22%) of the  
204 bacterivorous nanoplankton (Table 3).

205

### 206 **Ingestion rates and bacterivory impact**

207 Time courses run at the beginning of the cruise indicated that uptake of both FLB and  
208 microspheres was linear for the first 120 minutes of incubation, after which ingestion was  
209 balanced by digestion and egestion. At Stations 1 and 2, only FLB were used as tracer particles,  
210 but at Stations 3 and 4 separate feeding experiments were run with the same communities using  
211 either FLB or fluorescent microspheres. Grazing rates by nanoflagellates was greater on  
212 microspheres than on FLB in cases where both tracer types were used (Table S2), and ingestion  
213 by Mpeuks was detected only in experiments with microspheres. At Stations 3 and 4 where  
214 direct comparisons were made, average calculated ingestion rates using microsphere tracers were  
215 0.9 and 3.3 bacteria individual<sup>-1</sup> h<sup>-1</sup> for HNAN and MNAN, respectively. Using FLB, the  
216 corresponding rates were 0.2 and 2.2 bacteria individual<sup>-1</sup> h<sup>-1</sup>. The ingestion rates determined  
217 using microspheres were significantly greater than those determined with FLB for both HNAN  
218 and MNAN (ANOVA,  $p < 0.01$ ). Polar bacteria tend to be less than 1  $\mu\text{m}$  in size, and the higher  
219 rates observed for ingestion of microspheres may reflect size-selective feeding, although larger  
220 sized particle ingestion still occurred for the nanoplankton.

221         Grazing rates were not consistently affected by depth (surface versus DCM). For all three  
222 grazing groups, ingestion was greater in surface waters than at the DCM about half the time.  
223 Rates were greater in the DCM only twice for HNAN and MNAN and only once for Mpeuk. For  
224 the remainder of the incubations, there was no significant difference between depths. Over all  
225 experiments, the calculated individual grazing rates were greater for MNAN than for HNAN ( $p <$

226 0.001, Table S2). Using microsphere tracers, the average ingestion rates for HNAN and MNAN  
227 were 1.3 and 5.1 bacteria individual<sup>-1</sup> h<sup>-1</sup>, respectively. For Mpeuk the average ingestion rate  
228 (from microsphere incubations) was 2.9 bacteria individual<sup>-1</sup> h<sup>-1</sup>. The reported ingestion rates per  
229 cell for MNAN and Mpeuk are inflated relative to those of HNAN due to the method of  
230 calculation. HNAN that do not ingest tracers during an experiment can be counted, while  
231 potential mixotrophs that are “inactive grazers” usually cannot be distinguished from pure  
232 autotrophs. Therefore, the total number of mixotrophs is based only on those ingesting tracers.  
233 This does not, however, affect the relative grazing impacts (see discussion).

234         The potential grazing impact of protists on bacteria ranged from <1 to 25.2 percent of  
235 bacterial standing stock per day; the impact was <5% of standing stock d<sup>-1</sup> in 15 of 20  
236 incubations (Table S3). HNAN, frequently identified as the major planktonic bacterivores,  
237 dominated the grazing impact in about half of the experiments, while mixotrophs were more  
238 important in the rest (Fig. 2). Mpeuks tended to dominate bacterivory in experiments where the  
239 highest total impacts were determined (Table S3). Regarding correlations between ingestion rates  
240 and environmental parameters, HNAN rates were positively correlated to light, but there were no  
241 other significant relationships (Table S4). MNAN as a proportion of total nanoplankton  
242 bacterivores (MNAN/[MNAN+HNAN]) was negatively correlated to salinity and positively  
243 correlated to light. Mpeuk as a proportion of Peuk was positively correlated to chlorophyll a,  
244 dinoflagellates and total Peuk abundance,

245

## 246 **Community structure and comparison**

247 The DGGE results are shown in Figure 3, with the bands successfully recovered for sequencing  
248 numbered in panels A and B, and the PCO results in panel C. Generally there were fewer than 5

249 predominant bands in each sample that were possible to recover for sequencing. Many more  
250 were identifiable for community analysis using GelComparII (Applied Maths). Taxonomic  
251 affiliation of DGGE band sequences were determined using Blast™. Sequences recovered  
252 include dinoflagellates, diatoms, copepods, dinoflagellate parasites (Syndiniales), and  
253 *Micromonas* (a mixotrophic picoeukaryote), with bands from the same position in different  
254 samples giving the same sequence results (Table S5). Permanova+ indicated that both depth  
255 ( $p=0.0086$ ) and location ( $p=0.0001$ ) were significant in describing the diversity between  
256 samples, but that there was no synergistic interaction between the factors ( $p=0.7085$ ). Site and  
257 date variables were co-linear, so date was removed from further analyses. The PCO analysis  
258 illustrated the effect of site/date and depth on the grouping of samples (Fig. 3C). Separation of  
259 two groups along the first axis corresponded to the midpoint of the cruise and described 28.8%  
260 of the total variation. The second axis described slightly less of the overall variation (19.3%),  
261 and appeared to correspond to depth.

262

## 263 **Discussion**

264 Protists play important roles as both primary producers and consumers in southern and northern  
265 polar waters (Sherr, *et al.*, 2003, Riedel, *et al.*, 2007, Pearce, *et al.*, 2010), However, previous to  
266 the current investigation, nothing was known about mixotrophic protists in the Arctic. Our study  
267 confirms the presence of both nano- and pico-planktonic mixotrophs in the Arctic Ocean, and  
268 their potential for substantial impact on bacterial communities in the ice-covered Arctic region of  
269 the Beaufort Sea in early autumn.

270

271

## 272 **Protistan abundance**

273 Our microscopic investigation of bacterial and protist distribution indicated that densities  
274 were low, but within the range of previous reports for the Canada Basin and Beaufort Sea during  
275 the late summer to early fall (Table 4). Picophytoplankton were the most abundant protists,  
276 typically outnumbering hetero- and auto-trophic nanoplankton by an order of magnitude (Table  
277 3). A small percentage of the picoeukaryotes, from <1 – 7%, were identified as mixotrophic by  
278 ingestion of fluorescent tracers, but at most stations they were still more abundant than  
279 mixotrophic nanoplankton (MNAN) identified in the same manner (Table 3). At least one  
280 cultured strain of the picoprasinophyte *Micromonas* was previously found to be phagotrophic  
281 (González, *et al.*, 1993), and most of the mixotrophic picoeukaryotes enumerated with  
282 epifluorescence microscopy in our study resembled the “typical *Micromonas*-like cell stained  
283 with DAPI” as presented in a color photomicrograph in Lovejoy *et al.* (2007). Coupled with the  
284 frequent occurrence of bands in the DGGE gels that were linked to *Micromonas* (Fig. 3, Table  
285 S5), our data indicate potential for relatively large impacts by this picoprasinophyte as a  
286 bacterivore in the Arctic ecosystem at this time. Furthermore, the wide-spread occurrence of  
287 *Micromonas* (Sherr, *et al.*, 2003, Not, *et al.*, 2005, Lovejoy, *et al.*, 2007, Tremblay, *et al.*, 2009)  
288 suggests that mixotrophy could be common in the Arctic throughout the year.

289 After picoplankton, HNAN were the next most numerous protists, though HNAN  
290 abundance was usually within a factor of two of combined PNAN and MNAN assemblages.  
291 Sherr *et al.* (1997) also found that the <5  $\mu\text{m}$  HNAN were numerically dominant heterotrophs,  
292 but noted that the 6 – 20  $\mu\text{m}$  size class tended to dominate heterotrophic biomass in integrated  
293 samples (0 to 50 m) along a cruise track from the Chukchi Sea to the Nansen Basin from July  
294 through August (Sherr, *et al.*, 1997, Wheeler, *et al.*, 1997). The microplankton size-fraction in

295 our samples was always dominated by dinoflagellates and ciliates, though abundances were on  
296 the low end of ranges reported previously for arctic and sub-arctic waters (Sherr, *et al.*, 1997,  
297 Levinsen, *et al.*, 2000, Strom, *et al.*, 2007, Vaqué, *et al.*, 2008). Diatoms were observed at very  
298 low abundances, if at all, in our microscope counts (Table 2). Likewise, Sherr *et al.* (2003)  
299 reported Arctic Ocean winter diatom abundances  $\leq 1$  cell mL<sup>-1</sup> and Terrado *et al.* (2009) reported  
300 diatoms represented only 4% of autumn clone library sequences in Franklin Bay.

301

### 302 **Protistan diversity**

303 Low biomass as indicated by the microscopic and pigment observations would not necessarily  
304 indicate low diversity. However, microscopy suggested that only a limited number of taxa were  
305 present, with diatoms absent from most samples. DGGE also yielded only a few predominant  
306 bands for each sample, although many faint bands were also present.

307       When the recovered Arctic DGGE bands were compared with those recovered from the  
308 Antarctic (Gast, *et al.*, 2004) at a level of 97% similarity, the only overlap in sequence  
309 information was for the copepod *Oithona*. Prior genetic studies of microbial eukaryotes in the  
310 Arctic have used both DGGE and clone libraries to examine the community structure (Lovejoy,  
311 *et al.*, 2006, Hamilton, *et al.*, 2008, Terrado, *et al.*, 2009, Tremblay, *et al.*, 2009, Bachy, *et al.*,  
312 2011, Lovejoy & Potvin, 2011). The DGGE fragment used in this study targeted a different  
313 region of the ribosomal gene than some of those projects, and most of those studies have been  
314 directed towards the <5 micrometer size group, yet there are similarities. The groups commonly  
315 identified by molecular diversity surveys of Arctic waters included alveolates (ciliates,  
316 dinoflagellates, group I & II alveolates), unidentified marine stramenopile genotypes (MAST),  
317 dictyophytes, prasinophytes, haptophytes, diatoms, bolidophytes, cryptophytes and the newly

318 identified picobiliphytes. In common with this work, other studies have also reported the  
319 abundance and wide distribution of *Micromonas* (Sherr, *et al.*, 2003, Lovejoy, *et al.*, 2007,  
320 Terrado, *et al.*, 2008), as well as the diatom *Chaetoceros*, novel alveolate group II Syndiniales,  
321 and other dinoflagellates.

322

### 323 **Mixotrophy, bacterivory, and grazing impacts**

324 The ubiquitous occurrence of mixotrophic plankton found in the current study and in a recent  
325 reports from Antarctic waters (Moorthi, *et al.*, 2009) suggest that mixotrophy may be a  
326 successful strategy for some phytoplankton in polar marine environments. The potential benefits  
327 of particle ingestion by phytoplankton include the acquisition of organic carbon, energy, major  
328 nutrients, and/or micronutrients including vitamins and trace metals (e.g. Caron, *et al.*, 1993,  
329 Nygaard & Tobiesen, 1993, Maranger, *et al.*, 1998). If, as has been suggested by Tremblay and  
330 Gagnon (2009), primary production in seasonally ice-free waters of the Arctic Ocean is  
331 controlled by nitrogen supply, then mixotrophy there may act as a competitive mechanism for  
332 nitrogen uptake. Increased mixotrophy under nutrient limitation has been noted for nanoplankton  
333 and suggested for picoeukaryotes in other marine systems (Nygaard & Tobiesen, 1993, Zubkov  
334 & Tarran, 2008), although the environmental drivers of mixotrophic behavior are likely to vary  
335 with species.

336 In the Ross Sea Antarctica, photosynthetic and heterotrophic nanoplankton range over  
337 three orders of magnitude from about  $2 \text{ mL}^{-1}$  to  $7 \times 10^3 \text{ mL}^{-1}$  and peak in austral summer  
338 (Dennett, *et al.*, 2001, Moorthi, *et al.*, 2009). MNAN were typically  $<200 \text{ mL}^{-1}$  in plankton  
339 assemblages south of the Polar Front of the Southern Ocean, but still comprised 8% - 42% of  
340 bacterivorous nanoplankton in the water column, and 5-10% of phototrophic and 3-15% of



341 phagotrophic nanoflagellates present in ice cores (Moorthi, *et al.*, 2009). The abundance of  
342 MNAN during the Arctic autumn ranged from 2 to 300 mL<sup>-1</sup> and comprised the same relative  
343 abundances when compared to total heterotrophic (1-22%) and total phototrophic (2-32%)  
344 nanoplankton (Table 3) as was observed during austral summer. A major difference in our  
345 studies of mixotrophs in the Arctic and Southern Oceans was the abundance and impact of the  
346 picophytoplankton. While phototrophic picoeukaryotes were not noted in our Antarctic samples,  
347 they numerically dominated many of the Arctic samples and were important as bacterivores.

348         Food size appeared to be of consequence for the mixotrophic picoeukaryotes; ingestion  
349 was observed when the 0.6 µm microspheres were used, but never when the 1 – 1.2 µm FLB  
350 were offered. Grazing by nanoflagellates on microspheres also was significantly greater than on  
351 FLB ( $p < 0.001$ , ANOVA), though absolute differences were not large. Overall, the mixotrophic  
352 community (MNAN and Mpeuks) ingested 2X as many bacteria-sized particles as the  
353 heterotrophs, indicating that they had an equivalent or greater grazing impact on bacteria as that  
354 of the more traditional (heterotrophic) consumer population.

355         As a community, the pico- and nano-plankton removed from  $0.06 - 2.6 \times 10^4$  bacteria  
356 mL<sup>-1</sup> d<sup>-1</sup>, dependent to a large degree on the abundance of picoeukaryotes. This compares to a  
357 grazing impact, estimated using FLB, of  $0.1 - 4.6 \times 10^4$  bacteria mL<sup>-1</sup> d<sup>-1</sup> by heterotrophic  
358 plankton during the Arctic summer (Sherr, *et al.*, 1997). Anderson & Rivkin (2001) used the  
359 dilution technique to examine bacterivory, and also noted significant grazing impact during early  
360 summer blooms and during winter in Resolute Bay, Northwest Territories, Canada.

361         This is the first study to demonstrate mixotrophy by phytoflagellates in Arctic waters, and  
362 the data suggest that a *Micromonas*-like picoprasinophyte was an important bacterivore in the  
363 Canada Basin during autumn. The role of picoeukaryotes as quantitatively important grazers has

364 been demonstrated only once previously – in the North Atlantic Ocean (Zubkov & Tarran, 2008),  
365 though it was conjectured to occur in the Arctic (Sherr, *et al.*, 2003). Since these  
366 picoprasinphytes are known to persist through winter darkness and grow exponentially from late  
367 winter to early spring (Lovejoy, *et al.*, 2007), phagotrophy may contribute importantly to  
368 survival during winter darkness and give the organisms a relatively large seed population at the  
369 beginning of the spring growth period. If global climate change freshens the Arctic Ocean as  
370 proposed by Li *et al.* (2009), the impact of picoeukaryotes as bacterivores may become  
371 especially important.

372

373 RWS and RJG contributed equally to this paper

374

375

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- 506
- 507



**Table 1.** Sampling stations, date (in 2008), locations (lat/long), collection depth (m), water column depth  $Z_{\max}$  (m), salinity (PSU), temperature ( $^{\circ}\text{C}$ ), and light (quanta  $\text{cm}^{-2} \text{sec}^{-1} \times 10^{15}$ ) measurements from the CTD sensor.

Station	Date	Location	Depth	$Z_{\max}$	Salinity	Temp	PAR
1	7 Sept	77 24.53 N 151 18.84 W	5	3840	27.43	-1.12	2.33
			60		30.99	-1.08	0.08
2	8 Sept	79 34.20 N 147 13.75 W	5	3820	27.97	-1.39	4.63
			50		31.24	-1.45	0.21
3	11/12 Sept	80 36.97 N 130 21.72 W	5	3500	29.24	-1.56	0.02
			50		30.71	-1.44	0.01
4	13 Sept	80 03.84 N 132 04.51 W	5	3620	27.69	-1.48	5.10
			50		30.72	-1.54	0.28
5	15 Sept	79 36.21 N 146 50.63 W	5	3800	27.11	-1.44	2.72
			37		30.68	-1.28	0.29
6	19 Sept	78 32.16 N 124 57.08 W	5	2450	30.14	-1.63	0.55
			60		30.49	-1.59	0.02
8	21 Sept	78 24.5 N 134 35.2 W	5	3650	21.68	-1.42	5.35
			30		24.40	-1.06	0.85
			70		25.05	-1.34	0.09
9	24 Sept	78 17.69 N 140 56.22 W	5	3800	26.98	-1.45	1.27
			45		30.79	-1.18	0.05
10	27 Sept	76 24.32 N 131 7.58 W	5	2770	27.52	-1.48	0.27
			55		31.04	-1.29	0.15
11	29 Sept	72 14.196 N 140 55.53 W	5	2990	23.15	0.40	1.31
			75		30.55	-0.65	0.03

**Table 2.** Station microbial characteristics. Chlorophyll a concentration ( $\mu\text{g l}^{-1}$ ), heterotrophic and autotrophic microplankton abundance ( $\text{ml}^{-1}$ ), and bacterial abundance ( $\times 10^5 \text{ ml}^{-1}$ ) in the Beaufort Sea. \* = not observed.

Station	Depth (m)	Chl <i>a</i>	Ciliates	Dino- flagellates	Other Flagellates	Centric Diatoms	Pennate Diatoms	Bacteria
1	5	0.16	0.51	2.54	*	*	0.20	5.50
	60	0.87	0.91	3.86	*	0.30	0.20	–
2	5	0.20	0.25	2.85	2.85	*	*	2.20
	50	0.51	0.44	4.50	0.19	0.06	*	1.81
3	5	0.29	2.03	7.25	0.25	*	0.10	1.80
	50	0.42	0.36	2.18	0.30	*	*	2.20
4	5	0.28	2.22	5.71	1.84	*	0.25	2.49
	60	0.30	0.41	3.25	0.86	*	0.05	1.93
5	5	0.44	1.78	7.00	1.42	*	0.10	1.70
	37	0.54	1.37	5.68	1.83	*	0.05	1.98
6	5	0.20	0.36	1.37	0.41	*	*	1.84
	60	0.35	0.91	2.43	0.71	*	*	2.18
8	5	0.26	1.22	5.17	0.30	0.05	*	1.33
	30	0.29	0.36	2.23	*	*	*	1.17
	70	0.34	0.10	2.33	0.20	*	*	1.60
9	5	0.24	1.07	5.88	1.62	0.05	*	1.65
	45	0.40	0.51	3.25	0.81	*	*	1.37
10	5	0.23	0.91	2.94	1.12	*	*	1.31
	55	0.30	0.20	2.33	0.61	*	*	2.51
11	5	0.22	1.32	4.26	0.71	*	*	1.65
	55	0.28	0.41	1.62	0.30	0.10	*	1.24

**Table 3.** Abundances (no. ml<sup>-1</sup> ± S.E.) of heterotrophic nanoflagellates (HNAN), phototrophic nanoflagellates (PNAN), mixotrophic nanoflagellates (MNAN), photosynthetic picoeukaryotes (Peuk) and mixotrophic picoeukaryotes (Mpeuk), and mixotrophs as a percentage of all similarly-sized phototrophs and heterotrophs. Picoeukaryotes did not ingest FLB, and microspheres were not used until Station 3. Note that the percentage calculations include mixotrophs as part of the total nano- and pico-plankton abundance.

STA	Depth (m)	HNAN	PNAN	MNAN	Peuk	Mpeuk	% of PNAN	% of HNAN	% of Peuk
1	5	93 ± 5	25 ± 4	7 ± 1	–	–	21	7	–
2	5	45 ± 6	15 ± 5	2 ± 1	–	–	13	5	–
	50	23 ± 4	82 ± 8	3 ± 0	–	–	4	12	–
3	5	66 ± 15	71 ± 6	11 ± 3	1 217 ± 164	30 ± 5	14	15	2
	50	52 ± 10	48 ± 17	5 ± 1	872 ± 283	18 ± 4	9	9	2
4	5	90 ± 3	54 ± 5	3 ± 1	1 901 ± 108	56 ± 22	5	3	3
	60	57 ± 15	34 ± 3	16 ± 1	880 ± 45	6 ± 6	32	22	1
5	5	121 ± 30	46 ± 11	19 ± 2	2 757 ± 242	220 ± 16	30	14	7
	37	143 ± 20	77 ± 22	16 ± 6	2 807 ± 251	155 ± 17	17	10	5

Table 3, p 2

STA	Depth (m)	HNAN	PNAN	MNAN	Peuk	Mpeuk	% of PNAN	% of HNAN	% of Peuk
6	5	129 ± 13	97 ± 17	36 ± 3	444 ± 77	9 ± 5	27	22	2
	60	126 ± 23	143 ± 35	22 ± 8	324 ± 59	18 ± 6	13	15	5
8	5	130 ± 12	45 ± 5	8 ± 4	3 021 ± 310	87 ± 3	15	6	3
	30	102 ± 10	126 ± 18	10 ± 5	1 598 ± 54	61 ± 2	8	9	4
	70	108 ± 21	91 ± 10	5 ± 1	952 ± 101	4 ± 3	5	4	< 1
9	5	206 ± 36	106 ± 9	2 ± 1	3 047 ± 695	139 ± 7	2	1	4
	47	178 ± 13	100 ± 7	19 ± 3	1 523 ± 123	77 ± 4	16	10	5
10	5	97 ± 26	51 ± 18	8 ± 3	1 862 ± 290	12 ± 6	13	8	1
	55	114 ± 9	65 ± 4	2 ± 2	914 ± 278	16 ± 6	3	2	2
11	5	193 ± 7	45 ± 11	13 ± 1	2 011 ± 208	0	22	6	< 1
	75	66 ± 6	25 ± 12	7 ± 3	1 061 ± 161	25 ± 18	23	10	2

**Table 4.** Abundances of microorganisms reported from Arctic waters.

Location	Dates	Bacteria (# ml <sup>-1</sup> )	Synecho (# ml <sup>-1</sup> )	HNAN (# ml <sup>-1</sup> )	Peuk (# ml <sup>-1</sup> )	PNAN (# ml <sup>-1</sup> )	Ciliates (# ml <sup>-1</sup> )	Dinoflag (# ml <sup>-1</sup> )
S. Canada Basin <sup>1</sup>	Nov 1997-May 98	1-3 x 10 <sup>5</sup>		90 - 490	“hundreds”	3 – 1500	0.1 - 2	1 - 12
Beaufort Sea/ NW Passage <sup>2</sup>	Feb-May 2004				10 – 9500			
Canadian Arctic/ Franklin Bay <sup>3</sup>	Dec 2003-May 04	1-5 x 10 <sup>5</sup>		200 - 600		30 -450	0.1 - 1.3	
Central Arctic <sup>4</sup>	July-Sept 1994				1000 – 10000	42 - 910		9 - 105
Central Arctic <sup>5</sup>	July-Sept 1994	4-12 x 10 <sup>5</sup>	Not observed	250 - 1900	1000 – 10000	Not reported	0.1 - 17	0.1 - 39
Chukchi Plateau/ Mendeleyev Basin <sup>1</sup>	June-Sept 1998	2-7 x 10 <sup>5</sup>		210 – 2300	1000 - 28000	100 – 28000	0.1 - 2	1 - 12
North Baffin Bay <sup>6</sup>	Aug-Sept 2005		0 - 17		660 – 10365			
NW Passage <sup>6</sup>	Aug-Sept 2005		1 - 70		860 – 18360			
Beaufort Sea/ Amundsen Gulf <sup>6</sup>	Aug-Sept 2005		1 - 120		150 – 16990			
North Baffin Bay <sup>7</sup>	Sept-Oct 1999				18 – 4070	130 – 3080		
Mackenzie Shelf/ Amundsen Gulf <sup>8</sup>	Sept-Oct 2002		470 – 2425		215 -2110			
Beaufort Sea/ Canada Basin <sup>9</sup>	Sept 2008	1-5 x 10 <sup>5</sup>	Not observed	20 - 200	320 – 3050	15 - 140	0.2 - 2.2	1 - 7

Abbreviations: Synecho, *Synechococcus* spp.; HNAN, heterotrophic nanoflagellates; Peuk, picoeukaryotes; PNAN, phototrophic nanoflagellates; Dinoflag, dinoflagellates. <sup>1</sup> Sherr et al. 2003, <sup>2</sup> Lovejoy et al. 2007, <sup>3</sup> Vaqué et al. 2008, <sup>4</sup> Booth & Horner 1997, <sup>5</sup> Sherr et al. 1997 (pooled from upper 50 m, same cruise as Booth & Horner 1997), <sup>6</sup> Tremblay et al. 2009, <sup>7</sup> Mostajir et al. 2001, <sup>8</sup> Waleron et al. 2007, <sup>9</sup> This study.

## Figure Legends

Figure 1. Location of sampling sites within the Beaufort Sea and Canada Basin of the Arctic Ocean. North pole is at the upper right corner of the chart.

Figure 2. Relative impact of mixotrophic nanoflagellates (MNAN), mixotrophic picoeukaryotes (Mpeuk) and heterotrophic nanoflagellates (HNAN) as grazers of bacterioplankton.

Figure 3. Denaturing gradient gel electrophoresis results from the Beaufort Sea and the Canada Basin. A) Samples from stations 1-6 and B) Samples from stations 6-11 (numbers indicate bands successfully recovered and sequenced). C) Principal coordinates analysis plot with environmental variable vectors at the right; deep = deep chlorophyll maximum, surface = 5m, numbers indicate each station. Axis 1 represents 28.8% of the total variation, while axis 2 represents 19.3% of the total. These appear to represent the variables of site/location and depth respectively.

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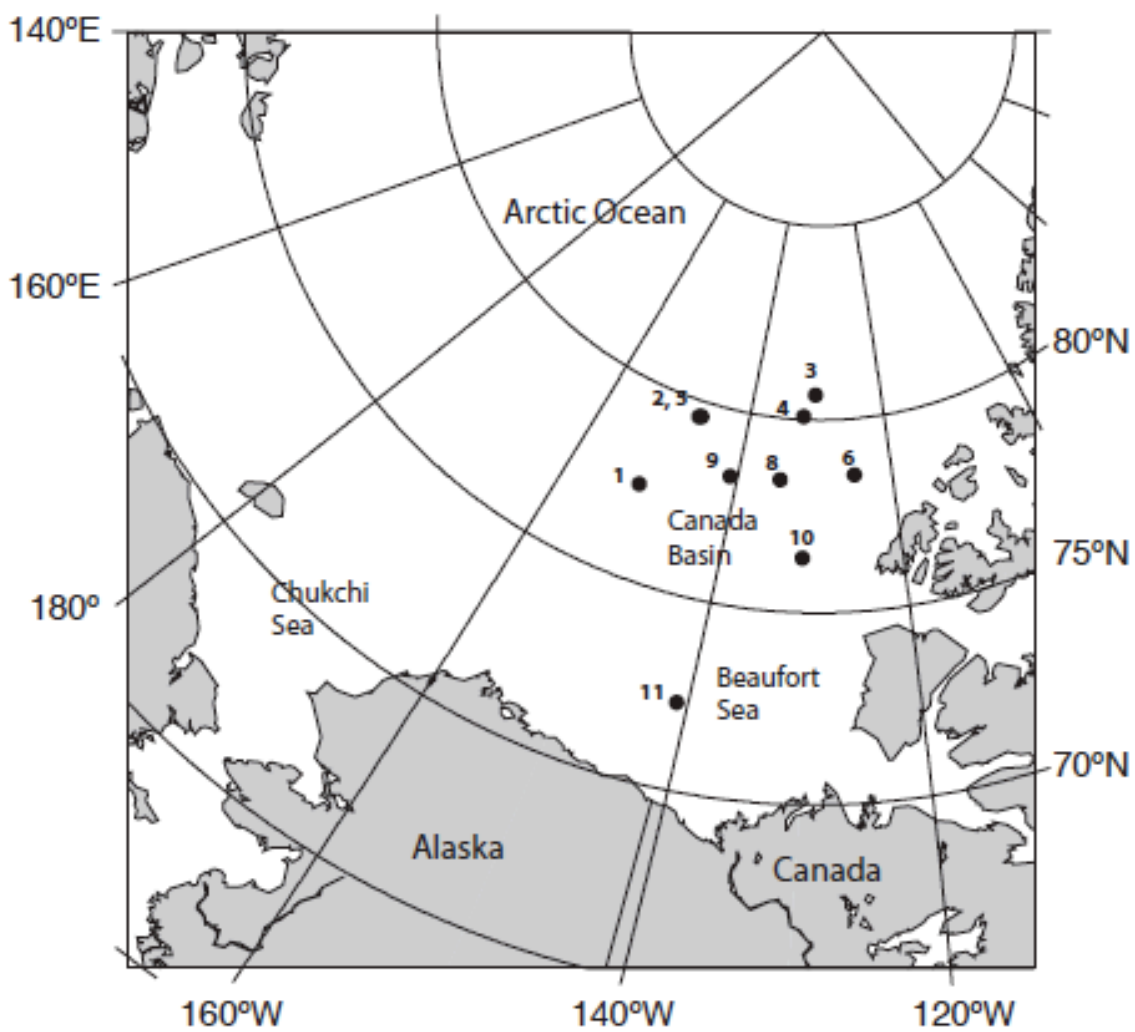


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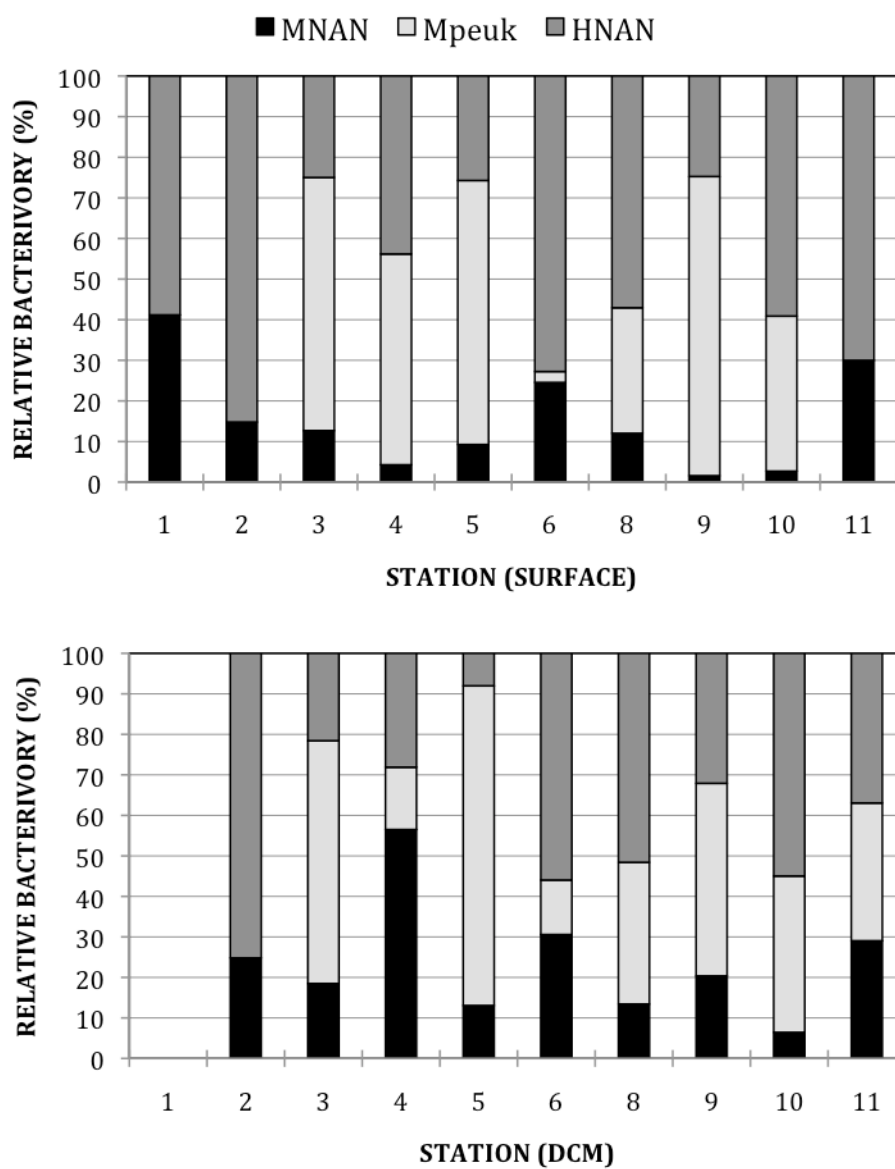




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