

1 **Evolutionary origins of the estrogen signaling system: insights from amphioxus**

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8 ***^aCallard GV, ^cTarrant AM, ^aNovillo A, ^aYacci P, ^aCiaccia L, ^bVajda S, ^bChuang G-Y,**

9 **Kozakov D, ^aGreytak SR, ^aSawyer S, ^aHoover C, and ^aCotter K**

10
11
12 **Departments of ^aBiology and ^bBiomedical Engineering**

13 **Boston University**

14 **5 Cummington St**

15 **Boston MA 02215**

16
17 **and**

18
19 **^cDepartment of Biology**

20 **Woods Hole Oceanographic Institute**

21 **Woods Hole MA**

24

25

26 ***Corresponding author**

27

28

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ABSTRACT

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38 Classically, the estrogen signaling system has two core components: cytochrome P450
39 aromatase (*CYP19*), the enzyme complex that catalyzes the rate limiting step in estrogen
40 biosynthesis; and estrogen receptors (ERs), ligand activated transcription factors that interact
41 with the regulatory region of target genes to mediate the biological effects of estrogen. While the
42 importance of estrogens for regulation of reproduction, development and physiology has been
43 well-documented in gnathostome vertebrates, the evolutionary origins of estrogen as a hormone
44 are still unclear. As invertebrates within the phylum Chordata, cephalochordates (e.g. the
45 amphioxus of the genus *Branchiostoma*) are among the closest invertebrate relatives of the
46 vertebrates and can provide critical insight into the evolution of vertebrate-specific molecules
47 and pathways. To address this question, this paper briefly reviews relevant earlier studies that
48 help to illuminate the history of the aromatase and ER genes, with a particular emphasis on
49 insights from amphioxus and other invertebrates. We then present new analyses of amphioxus
50 aromatase and ER sequence and function, including an *in silico* model of the amphioxus
51 aromatase protein, and *CYP19* gene analysis. *CYP19* shares a conserved gene structure with
52 vertebrates (9 coding exons) and moderate sequence conservation (40% amino acid identity with
53 human *CYP19*). Modeling of the amphioxus aromatase substrate binding site and simulated
54 docking of androstenedione in comparison to the human aromatase shows that the substrate
55 binding site is conserved and predicts that androstenedione could be a substrate for amphioxus
56 *CYP19*. The amphioxus ER is structurally similar to vertebrate ERs, but differs in sequence and
57 key residues of the ligand binding domain. Consistent with results from other laboratories,
58 amphioxus ER did not bind radiolabeled estradiol, nor did it modulate gene expression on an

59 estrogen-responsive element (ERE) in the presence of estradiol, 4-hydroxytamoxifen,
60 diethylstilbestrol, bisphenol A or genistein. Interestingly, it has been shown that a related gene,
61 the amphioxus “steroid receptor” (SR), can be activated by estrogens and that amphioxus ER can
62 repress this activation. *CYP19*, *ER* and *SR* are all primarily expressed in gonadal tissue,
63 suggesting an ancient paracrine/autocrinesignaling role, but it is not yet known how their
64 expression is regulated and, if estrogen is actually synthesized in amphioxus, whether it has a
65 role in mediating any biological effects . Functional studies are clearly needed to link emerging
66 bioinformatics and *in vitro* molecular biology results with organismal physiology to develop an
67 understanding of the evolution of estrogen signaling.

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69

70 **1. INTRODUCTION**

71 Based primarily on evidence from humans and laboratory mammals, it is well established
72 that estrogens play a critical regulatory role in many different life processes beginning in early
73 stages of embryogenesis. The term “estrogen” derives from its first perceived function as a
74 female reproductive hormone, specifically associated with the period of sexual receptivity in
75 female mammals (estrus = Latin *oestrus* meaning frenzy or gadfly). Although early investigators
76 used the urine of pregnant women to isolate estrone, the first steroid found to have hormonal
77 activity, subsequent studies soon reported the presence of estrogens and the biosynthesis of
78 estradiol, estrone and estriol from small acyclic precursors in both males and females of a wide
79 range of vertebrates from fish to mammals [1]. It is now generally accepted that estrogen not
80 only is required for the normal growth, development and functioning of the reproductive system
81 but also has a critical role in diverse other tissue types and organ systems, including brain, bone,
82 skin, fat, cardiovascular and metabolic.. Excesses or deficiencies of estrogen are associated with
83 various pathological states, such as breast and prostate cancer and osteoporosis. Environmental
84 chemicals that are estrogen-like in their bioactivity have been implicated in developmental
85 abnormalities and endocrine-disrupting effects in humans and animals. Not surprisingly, factors
86 and mechanisms regulating estrogen production and signal transduction continue to be a matter
87 of intense research interest (reviewed by [2,3]).

88 Classically, the estrogen signaling system has two core components: cytochrome P450
89 aromatase, the enzyme complex that catalyzes the rate limiting step in estrogen biosynthesis; and
90 estrogen receptors (ERs), ligand activated transcription factors that interact with the regulatory
91 region of target genes to mediate the biological effects of estrogen. While this viewpoint
92 continues to serve as a valuable template for basic and clinical studies, advances in molecular

93 endocrinology reveal that the complexity and diversity of estrogen physiology is accomplished
94 by multiple signaling modes (endocrine, paracrine, autocrine/intracrine), as defined by the
95 nature, proximity and topographical relationship of aromatase and ER expressing cells; two or
96 more genetically distinct ER subtypes and multiple ER splice variants; diverse other classes of
97 membrane- and nuclear-localized receptors; and an array of different cellular signal transduction
98 pathways (genomic, nuclear-mediated; non-genomic/membrane-mediated)(see section 1.2.1,
99 below).

100 Fundamental questions remain regarding the evolution of the estrogen mediated signaling
101 system. What are the evolutionary origins and molecular nature of the core components
102 (aromatase and ER)? Which receptor signal transduction pathway is most ancient? Is the original
103 messenger molecule the endogenously synthesized estrogen we know in vertebrates (estradiol,
104 estrone)? Or did estrogen-like environmental molecules have the earliest signaling role? The
105 basic anatomy, physiology and biochemistry of estrogen signaling have been extensively studied
106 in representatives of all major groups of jawed vertebrates, signifying an ancient and
107 evolutionarily conserved regulatory role. More recently, the structures and phylogenetic
108 distribution of genes encoding aromatase (Figure 1a, [4,5]) and ER (Figure 1b, [6-10]) have
109 been documented, reinforcing the earlier work, but mechanistic details of estrogen-mediated
110 signaling in organisms that predate the gnathostomes is not entirely clear. One approach to
111 addressing the question is to study the closest invertebrate relatives of vertebrates and to
112 determine precursors of vertebrate-specific molecules and pathways in these organisms. In
113 addition to vertebrates, the phylum Chordata includes two invertebrate groups: urochordates (e.g.
114 the ascidian *Ciona intestinalis*) and cephalochordates (e.g. the amphioxus of the genus
115 *Branchiostoma*). In this paper, we briefly review the evolutionary history of the aromatase and

116 ER genes, with a particular emphasis on insights from amphioxus and other invertebrates, and
117 then present new analyses of aromatase and ER in amphioxus.

118

119 **1.1 Cytochrome P450 aromatase and the *CYP19* gene**

120 **1.1.1. Structure and function**

121 The critical enzyme for estrogen synthesis is aromatase, a member of the cytochrome
122 P450 (*CYP*) superfamily of monooxygenase enzymes [11]. The membrane-associated aromatase
123 complex catalyzes the transformation of androgens (androstenedione and testosterone) to
124 estrogens (estradiol and estrone) and is the product of a single *CYP19A1* gene in humans.

125 Although most highly expressed in estrogen secreting glandular tissues, such as placenta and
126 gonads, aromatase is expressed in a wide array of other tissue types: brain, fat, bone, pituitary in
127 humans; brain, pituitary, retina in teleost fish. Of these, certain cell/tissue types are competent to
128 transform acyclic precursors stepwise through cholesterol all the way to estrogen (ovary),
129 whereas others are competent in the final aromatization step but are lacking one or more of the
130 earlier enzymes in the steroidogenic pathway. Human placenta, for example, lacks C17,20 lyase
131 (*CYP17*) and relies on androgen precursors supplied by the fetal adrenal for estrogen production.

132 The aromatase protein is monomeric and is anchored within the endoplasmic reticulum
133 by a membrane-spanning region of the amino terminus [12,13]. The crystal structure of the
134 human aromatase protein has recently been determined [14]. The 503-residue polypeptide chain
135 folds into 12 major α -helices and 10 β -strands and forms a heme group and adjacent steroid
136 binding site near the geometric center of the protein [15]. This overall folding pattern is similar
137 to other membrane-bound P450s, and several regions show strong sequence conservation
138 including helices H-K, the aromatic region and especially the heme-binding region. Of the

139 conserved helices, the “I-helix” is particularly important because it contains several hydrophobic
140 residues that help to form the catalytic cleft and incorporates a key bend at Pro308 that provides
141 additional space to accommodate a steroid substrate [15,16].

142

143 **1.1.2. Phylogenetic context**

144 Aromatase activity (for review [17]) and the *CYP19* gene(s) have been well-documented
145 in all major classes of gnathostome (jawed) vertebrates. The *CYP19* gene has undergone
146 independent duplications in several lineages, most notably the teleost fish [18,19] and suiform
147 mammals [20,21]. Whereas the teleostean gene duplicates are thought to reflect a whole genome
148 duplication event [22], the three *CYP19* genes of pigs are the result of much more recent tandem
149 duplication events. Duplicate aromatases retain the ability to synthesize estrogens but also
150 exhibit functional differences. Within the teleost fish, duplicated *CYP19* genes differ
151 dramatically in their tissue expression patterns [19,23] as well as in their relative affinity for
152 different androgen and inhibitor substrates [24,25] and inducibility by estrogens and
153 xenoestrogens [18,23,26,27]. Similarly, in suiform mammals, duplicated aromatase genes differ
154 in expression patterns, substrate affinity and product formation [20,21]. While humans possess
155 only a single *CYP19* gene, expression is regulated by 11 promoters and alternative first exons,
156 which are used in a tissue specific manner [28,29]. Along with the diverse roles played by
157 estrogens, this complexity of aromatase regulation indicates the importance and richness of the
158 estrogen signaling pathway.

159 Phylogenetic analyses of the *CYP* superfamily have not revealed close relationships of *CYP19*
160 with any other family members [4,30]; thus, it is not currently possible to trace the origin of
161 aromatase activity from ancestral *CYPs* that served other metabolic functions. *CYP19* orthologs

162 have recently been identified within amphioxus [4,5]. However, *CYP19* has not been identified
163 within the sequenced genomes of urochordates, echinoderms, or protostomes, nor have they been
164 identified outside of the bilaterian animals [31,32]. Although we cannot rule out the possibility
165 that a recognizable ancestral *CYP19-like gene* or *CYP19* itself was secondarily lost in these
166 groups, the cephalochordate lineage represents the earliest known occurrence of *CYP19* to date.
167 In addition to *CYP19*, amphioxus contains orthologs of other enzymes in the steroidogenic
168 sequence leading to estrogen biosynthesis: *CYP17*, and 17 β -hydroxysteroid dehydrogenase
169 [5,33]. In addition, Amphioxus contains *CYP11*-like genes that, along with some uncharacterized
170 cnidarian and placozoan *CYPs*, are positioned as an outgroup to the vertebrate *CYP11* clade
171 [5,31]. *CYP11A* catalyzes cleavage of the side chain from the sterol D-ring; side chain cleavage
172 by *CYP11A* (or a functional equivalent) is necessary for *de novo* synthesis of steroids. Because
173 the catalytic activities of the amphioxus *CYP11*-like genes have not been determined and side-
174 chain cleavage has not been documented, it remains unclear whether amphioxus can synthesize
175 steroids from sterol precursors.

176 Measurements of steroidogenic activity using radiolabeled precursors and steroid-like
177 immunoreactivity in amphioxus are consistent with the molecular studies described above.
178 Aromatase activity in amphioxus was first demonstrated through the conversion of tritiated 19-
179 hydroxyandrostenedione to estrone and estradiol by homogenates of body segments containing
180 gonads [34]. Interestingly, activity was not detected in homogenates of brain or tail segments.
181 Mizuta and colleagues [35] similarly measured estrogen synthesis by amphioxus ovarian
182 homogenates and documented a suite of steroidogenic conversions. Estrogen synthesis primarily
183 occurred in mature ovarian tissues prior to spawning. Estradiol-like, as well as progesterone- and
184 testosterone-like molecules, have been quantified in amphioxus gonads using radioimmunoassay

185 [5]. Similar to the patterns in aromatase activity, immunoactive estrogen was present in both
186 ovaries and testes, but not in non-gonadal extracts, and concentrations in the ovary were greatest
187 prior to spawning [5].

188

189 **1.2. ERs and *Esr* genes**

190 **1.2.1. Structure and function**

191 In vertebrates, the classical mechanism of estrogen signaling occurs through specific
192 binding of estradiol to ERs , which are are encoded by *Esr* genes. Within the nuclear receptor
193 superfamily, the ERs form a family with two other receptor groups: the estrogen-related
194 receptors (ERRs), and other vertebrate-type steroid receptors (SRs, which include androgen
195 receptors, progesterone receptors, and corticoid receptors). The human genome contains two
196 ERs, ER α (NR3A1, *Esr1* [36]) and ER β (NR3A2, *Esr2* [37]), due to a duplication of the *Esr*
197 gene early in the vertebrate lineage [38]. Unique among the vertebrates, however, teleost fish
198 have one ER α but two ER β s (ER β a and ER β b) .

199 Like other nuclear receptors, ERs have a modular structure divided into key functional
200 domains (A-F) [39]. At the amino terminus, the A/B domains contain the ligand-independent
201 AF-1 activation function [40]. The DNA-binding domain (DBD, C domain) is the most highly
202 conserved region and contains two zinc fingers that enable binding of the ER to specific estrogen
203 responsive elements (EREs) on the DNA. The hinge region (D-domain) has a more variable
204 sequence, contains a nuclear localization signal, and enables synergism between the activation
205 functions (AF-1 and AF-2) for full transcriptional activity [41]. At the amino terminus, the ligand
206 binding domain (E/F) LBD is highly conserved, and serves to bind ligands, enable dimerization,
207 recruit co-factors and stimulate transcription through the ligand-dependent AF-2 region.

208 In the absence of ligand, ERs generally occur in complexes with chaperones, such as
209 Hsp90 [42]. Upon binding of estradiol or another agonist, ERs dissociate from the chaperones,
210 form homo- or heterodimers [43]), recruit cofactors, bind to DNA and modulate transcription of
211 target genes. Utilization of multiple promoters and alternative splicing creates additional
212 complexity in ER signaling. Eight promoters have been identified for human ER α and two for
213 ER β , which function in tissue-specific expression [44-47]. Alternate splicing generates an
214 exceptional number of ER isoforms lacking one or more functionally important domains; these
215 variants differ in their expression patterns and functional properties [47]. For example, a human
216 ER β isoform (ER β cx) truncated at the C-terminus has been reported heterodimerize with wild-
217 type ER β and function as a dominant negative [47-49].

218 In addition to modulating the activity of nuclear receptors, steroids can also stimulate
219 rapid cellular responses which are mediated through membrane-bound receptors [50,51]. With
220 respect to estrogen signaling, rapid effects have been attributed to interactions with classical
221 nuclear ERs that are localized within the cell membrane [52-54] as well as with GPR30, a G-
222 protein coupled receptor [55]. To date, membrane-bound ERs have only been rigorously
223 characterized in mammals and fish [56,57]. Estrogens have been shown to exert similar rapid
224 effects on cell signaling in molluscs [58]; however, the genes encoding membrane-bound ERs
225 have not yet been identified in invertebrates, and it has not yet been demonstrated that estradiol
226 is the endogenous activator of this receptor.

227

228 **1.2.2. Phylogenetic context**

229 ERs have been identified and shown to be activated by steroidal estrogens in all classes
230 of vertebrates, including the agnathan sea lamprey [6]. Among invertebrates, homologs to the

231 ERs have been identified in amphioxus [7,33] as well as in molluscs [9,59] and annelids [10].
232 Previous phylogenetic analyses conducted using a variety of methods (parsimony, likelihood,
233 Bayesian) have shown that chordate ERs (vertebrate and amphioxus) form a clade [7,10] and that
234 the protostome ERs (mollusc and annelid) comprise a sister group [9,10]. In addition, Keay and
235 Thornton [10] found that this bilaterian ER clade was supported as a sister group to the SRs. In
236 their study, the position of the protostomes ERs was only moderately supported, but much of the
237 observed uncertainty could be attributed to the effects of a long branch associated with the
238 amphioxus SR.

239 As demonstrated by reporter assays in mammalian cell lines, ERs from amphioxus
240 [6,8,60] and from molluscs [9,59] are not activated by steroidal estrogens. In contrast, ERs from
241 two annelid species bind estrogens with high affinity and activate transcription in response to
242 low doses ($EC_{50} < 10$ nM estradiol) of estrogens [10], although it remains to be determined
243 whether steroidal estrogens are physiological ligands for these annelid receptors. Based on
244 phylogenetic patterns and reconstructions of predicted ancestral receptors, it has been
245 hypothesized that the ancestral ER originated early in the bilaterian lineage and was activated by
246 estrogens ([10,61], but see also [6,31]). One interpretation is that ER activation by estrogens was
247 a property that was lost within the lineage leading to the cephalochordates and that the ER gene
248 per se was lost from echinoderms, urochordates and several protostome lineages.

249 Within the large nuclear receptor superfamily (48 genes in human, 33 in amphioxus
250 [33]), the ERs form a family (NR3A) with two other receptor groups: the estrogen-related
251 receptors (ERRs, NR3B), and other steroid receptors (SRs, NR3C, which include androgen
252 receptors, progesterone receptors, and corticoid receptors). Amphioxus has one representative
253 gene in each of these three groups [7,33]. As mentioned above, cell-based reporter assays

254 indicate the amphioxus ER ortholog does not stimulate transcription of ERE-driven reporters or
255 interact with the coactivator SRC-1 in response to estradiol. Somewhat surprisingly (but as
256 hypothesized by Paris and colleagues [6]), reporter assays indicate that the amphioxus SR
257 stimulates transcription through EREs and AREs (androgen-responsive elements) in response to
258 estradiol and estrone [8,60]. Amphioxus ER and SR share overlapping affinities for DNA
259 binding sites, and reporter assays indicate that ER can competitively repress estradiol-induced
260 signaling by SR [8] as well as by human ER α and ER β [6]. Binding of ligands to amphioxus ER
261 was not directly measured in these studies, but limited proteolysis assays suggested that the
262 amphioxus ER is unlikely to bind estradiol or several other ligands for vertebrate ERs [6]. Cell-
263 based reporter assays have been used to screen a variety of ligands (e.g., 3 β -androstenediol,
264 resveratrol, enterolactone, diethylstilbestrol [6]) for their ability to modulate signaling by
265 amphioxus ER, but no functional ligands have been identified. Interestingly, although limited
266 proteolysis assays suggested that the plasticizer bisphenol A can bind amphioxus ER, this ligand
267 did not affect transactivation [6].

268 Bridgham and colleagues [8] noted that 11 of the 18 residues that line the ligand-binding
269 pocket of human ER α are altered in amphioxus ER, but only 4 of 18 in amphioxus SR. Through
270 comparison with the human ER α crystal structure, they identified two key substitutions likely to
271 disrupt hydrogen bonding and packing interactions that would normally stabilize the ligand
272 within the binding pocket in a transcriptionally active conformation. They then conducted site-
273 directed mutagenesis, and experimentally demonstrated that the two substitutions (corresponding
274 to amino acids 394 and 404 in the LBD of human ER α) are indeed sufficient to confer repressive
275 activity on the SR.

276 As part of a long term program of research in this laboratory that focuses on the origin and
277 evolution of estrogen signaling in vertebrates, we sought to obtain insights by studying
278 aromatase and ER in amphioxus. Here we confirm and extend studies cited above, and present
279 new information on *CYP19* gene organization, including an *in silico* model of the aromatase
280 protein.

281

282 **2. MATERIALS AND METHODS**

283 **2.1. Animals, treatments, and nucleic acid extraction**

284 *Amphioxus (Branchiostoma floridae)* were purchased from Gulf Specimen Marine Lab
285 (Panacea, FL). Animals were obtained in May, when adults were reproductively active and
286 readily sexed by visualizing the gonads through the transparent body wall. Immediately upon
287 receipt, animals were chilled to 4°C on ice, sexed, and divided into cephalic (anterior to the
288 gonads), caudal (posterior to the gonads), and central (gonad-containing) regions under a
289 dissecting microscope as previously described [34].

290 Tissues were used to prepare RNA (as in [18,62]) for cloning and semi-quantitative PCR
291 analysis. For analysis of genomic sequence, DNA was extracted from tail segments of individual
292 amphioxus. Briefly tissue (250 mg) was incubated overnight at 56°C in 500 µl of lysis buffer (50
293 mM Tris-HCl [pH 8.0], 5 mM EDTA [pH 8.0], 200 mM NaCl, 1% [w/v] sodium dodecyl sulfate
294 containing proteinase K to a final concentration of 0.1 mg/mL). After addition of 500µl
295 isopropanol, the sample was centrifuged for 5 min at 3500 rpm at 4 °C. The resulting DNA
296 pellet was washed once with 100% ethanol (1 ml) and once with 75% ethanol (1 ml), air dried
297 for 10 min, and resuspended in 30 µl TE buffer (10 mM Tris- HCl/1 mM EDTA).

298

299 **2.1 cDNA cloning and analysis**

300 Using total RNA from ovarian segments and methods previously described in detail for
301 teleostean cDNAs [63,64], amphioxus aromatase and ER cDNAs were amplified stepwise by
302 RT-PCR and 5'- and 3'-RACE. Oligonucleotide primers are shown in Supplementary Table 1. In
303 the case of aromatase, initial primers were designed to target sequences in an *in silico* P450
304 aromatase predicted by Nelson [65]. For cloning of ER, initial primer sequences were designed
305 to amplify a portion of the ER detected bioinformatics queries of the amphioxus whole genome
306 database using the discontinuous megaBLAST algorithm with human ER α (NM_000125) and
307 ER β (X99101), Aplysia ER (AY327135) and lamprey ER (AY028456) The sequence identified
308 as a putative amphioxus DBD was extended in the 3' and 5' directions using an *in silico* DNA-
309 walking approach in combination with 5' and 3'-RACE.

310 For both aromatase and ER, full coding sequences were then amplified as single products,
311 confirming assembly of the cDNA fragments. Deduced aromatase and ER sequences were
312 aligned using Clustal W with sequences previously reported from representative vertebrate taxa
313 (Accession numbers shown in Fig 1 caption). To confirm the phylogenetic relationship of the
314 cloned amphioxus sequences, trees were constructed using Neighbor-Joining and/or maximum
315 likelihood criteria. For *Cyp19*, the tree was rooted using the human *Cyp17* and *Cyp21* sequences,
316 which are both members of the *Cyp2* clan [30,65]. A maximum likelihood tree was constructed
317 using RAXML [66] with a WAG matrix (selected by AIC using ProtTest version 2.4 [67]) and
318 100 bootstrap replicates. For ER, a Neighbor-Joining tree was constructed in Phylip 3.6 [68] with
319 1000 bootstrap replicates and a PAM Dayhoff matrix.

320 **2.2. Genomic DNA cloning and sequence analysis**

321 Intronic sequence was obtained for the *CYP19* gene by PCR amplification of genomic
322 DNA using primers which were specific for sequences in adjacent exons or spanning exon-intron
323 junctions (Supplementary Table 1). 5'-flanking sequence was amplified from genomic DNA
324 using a forward primer targeting genomic sequence and a reverse primer targeting a sequence
325 downstream of putative translational start site in the second exon. Putative cis regulatory
326 elements were identified within the 5'-flanking sequence by comparison with the TRANSFAC
327 database using MATCH with default parameters [69].

328

329 **2.3. Molecular analysis of amphioxus aromatase**

330 **2.3.1. Modeling**

331 The crystal structure of the human aromatase protein has recently been determined [14]
332 and is available in the Protein Data Bank [70], PDB code 3EQM. We used the homologous
333 extension program MODELLER [71,72] to generate a model of amphioxus aromatase. After
334 specifying the target sequence (GenBank ID DQ165086.1), the template sequence and structure
335 (PDB code 3EQM), and an alignment of the two sequences, MODELLER was used to
336 automatically build a 3-dimensional protein model containing all non-hydrogen atoms. The
337 model was refined using energy minimization within MODELLER.

338

339 **2.3.2. Mapping of aromatase structures**

340 The main goal of constructing a model of the amphioxus aromatase was to compare the
341 binding sites of the human and amphioxus proteins. The comparison uses a very sensitive tool
342 called computational solvent mapping [73,74], originally developed for the identification of “hot
343 spots”, i.e., pockets of a protein that bind a variety of small organic molecules. An established

344 experimental approach to finding such hot spots is screening for the binding of fragment-sized
345 organic compounds [75,76]. Since the binding is very weak, it is usually detected by nuclear
346 magnetic resonance (SAR by NMR [75]) or by X-ray crystallography [76] methods. The
347 FTMAP solvent mapping algorithm used here is a computational analog of the screening
348 experiments, and has been described previously [74]. FTMAP places molecular probes, small
349 organic molecules containing various functional groups, around the protein surface on a dense
350 grid, finds favorable positions by further search using empirical free energy functions, clusters
351 the low energy conformations, and ranks the clusters on the basis of the average free energy. We
352 used 16 small molecules as probes (ethanol, isopropanol, tert-butanol, acetone, acetaldehyde,
353 dimethyl ether, cyclohexane, ethane, acetonitrile, urea, methylamine, phenol, benzaldehyde,
354 benzene, acetamide, and N,N dimethylformamide). The low energy clusters of different probes
355 are further clustered to identify consensus sites, and the importance of such sites is measured in
356 terms of the probe clusters contained. The sites with the largest number of probe clusters are
357 considered as predictions of binding hot spots. Applications to a variety of proteins show that the
358 probes always cluster in important subsites of the binding site and the amino acid residues that
359 interact with many probes also bind the specific ligands of the protein. Since the differences in
360 the number of probe clusters that bind to a particular site highlight even very small
361 conformational changes if those affect the size or surface properties of the pocket, mapping is
362 very useful for comparing homologous proteins or different structures of a protein [77-80]. The
363 comparison is based on residue contact fingerprints. To obtain such fingerprints, the non-bonded
364 interactions and hydrogen bonds between all atoms of the computational probes and the
365 individual protein residues are counted using the HBPLUS program [81].
366

367 **2.3.3.Docking**

368 After the identification of the important residues in the binding site, we docked androstenedione
369 to both the human aromatase structure and the homology model of the amphioxus aromatase
370 using version 4.0 of the AutoDock program [82]. AutoDock is a suite of automated docking
371 tools. It is designed to predict how small molecules, such as substrates or drug candidates, bind
372 to a receptor of known 3D structure. The docking is restricted to a 40 Å x 40 Å x 40 Å box,
373 centered at the center of the protein. The box is large enough to enclose the entire ligand binding
374 site. Other parameters are assigned the default values given by the AutoDock program. The
375 protein structure is kept fixed during docking. AutoDock employs a genetic algorithm (GA) for
376 conformational sampling, each GA run resulting in a single docked conformation. We performed
377 100 individual GA runs, thus generating 100 docked conformations for each complex.

378

379 **2.4. ER binding and transcriptional analysis**

380 The full length Amphioxus ER was subcloned into a v5-tagged expression vector
381 (pcDNA3.1/nV5-DEST, Invitrogen). A similar expression vector was obtained for the human
382 ER α (pcDNA3.1nv5-hERalpha, [83]). To assess the ability of amphioxus ER to bind estradiol,
383 amphioxus ER and human ER α proteins were synthesized using the TnT Quick Coupled
384 Reticulocyte Lysate System (Promega). The specific binding of tritiated estradiol ([6,7-³H]
385 estradiol, 45.0 Ci/mmol, Amersham Biosciences) to *in vitro* expressed ERs was measured using
386 charcoal-based binding assays [84,85]. Briefly, *in vitro* synthesized proteins were diluted in
387 MEEDGM buffer (25 mM MOPS, 1 mM EDTA, 5 mM EGTA, 0.02% NaN₃, 20 mM Na₂MoO₄,
388 10% (v:v) glycerol, 1 mM DTT, pH 7.5) containing a mixture of protease inhibitors [85]. To
389 correct for variation in expression efficiency, amphioxus ER was diluted 1:10 and human ER α

390 was diluted 1:20. Aliquots (100 μ l) of the diluted proteins were incubated overnight at 4°C with
391 tritiated estradiol in 2.5 μ l DMSO. The activity of tritiated estradiol was directly measured in 10
392 μ l from each tube. At the end of the incubation, 30 μ l was transferred from each tube in duplicate
393 aliquots to 1.5 ml polypropylene microcentrifuge tubes containing 30 μ l of 4 mg/ml dextran-
394 coated charcoal in MEEDGM. Tubes were incubated on ice for 10 min with periodic vortex
395 mixing. The tubes were centrifuged for 2 min at 2000 x g, and activity was quantified in 40 μ l of
396 the supernatant by liquid scintillation counting. Nonspecific binding was directly measured using
397 TnT lysate incubated with an empty expression vector [85]. Specific binding of tritiated estradiol
398 to the ERs was calculated by subtracting non-specific binding from total binding. Binding curves
399 were fitted using a one-site binding equation with PRISM software (GraphPad).

400 Transactivation by amphioxus ER was assessed using a cell-based reporter assay with
401 methods similar to those described by Karchner et al. [86]. COS-7 cells (ATCC) were plated (3 x
402 10⁴ cells/well) in triplicate wells of 48-well plates in phenol red-free MEM (Invitrogen),
403 supplemented with non-essential amino acids, 1 mM sodium pyruvate, 2 mM L-glutamine and
404 10% charcoal-stripped fetal bovine serum. After 24 hours, cells were transiently transfected
405 using 1 μ l Lipofectamine 2000 (Invitrogen) in fresh media along with expression plasmids for
406 an ER (human or amphioxus, 100 ng), a luciferase reporter (3xERE-TATA-LUC, Addgene
407 plasmid 11354 [87], 100 ng) and transfection control (pRL-TK, Promega, 3 ng). The total
408 amount of DNA per well was adjusted to 300 ng through addition of an empty expression vector
409 (pcDNA3.1). Five hours after transfection, cells were treated with vehicle control (0.5% DMSO
410 final concentration), estradiol (1-100 nM), or other potential ligands. Twenty-four hours after
411 transfection, the cells were lysed with passive lysis buffer (Promega), and luminescence was
412 measured using the Dual Luciferase Assay kit (Promega) in a TD 20/20 luminometer (Turner

413 Designs, Sunnyvale, CA). Transactivation in the presence of DMSO and estradiol was measured
414 in three independent experiments. The other compounds were tested in two independent
415 experiments.

416

417 **2.6. RT-PCR analysis of aromatase and ER mRNAs**

418 Semi-quantitative RT-PCR was performed using cDNAs from head, gonadal and tail
419 segments from individual amphioxus. Primer sequences are given in Supplementary Table 1.

420 The PCR reactions utilized Platinum Taq polymerase (Invitrogen) according to the
421 manufacturer's instructions. PCR conditions were set to approximate the linear range by
422 optimizing the quantity of input template and cycle number. PCR conditions for aromatase were
423 94° C/ 5 min, 30 cycles of (94° C/ 30 s, 50°C/ 45 s, and 72°C/ 2 min), followed by 72°C / 10
424 min. PCR conditions for ER were 94°C for 5 min, 5 cycles of (94°C/ 30 s, 43°C/ 45 s, and 72°C/
425 90), then 20 cycles of (94°C/ 30 s, 50°C/ 45 s, and 72°C/ 2 min), followed by 72°C/ 10 min.

426

427 **3. RESULTS AND DISCUSSION**

428

429 **3.1 Isolation of aromatase cDNA and sequence analysis**

430 The assembled amphioxus *CYP19* cDNA consensus sequence (GenBank Accession
431 number DQ165086) consisted of a single translation initiation site, a 1581 bp open reading frame
432 (ORF) that encoded a predicted protein sequence of 527 aa, and 5' and 3' UTR of 5 and 1194 bp,
433 respectively. The 3'-UTR terminated in a polyA tail. Compared with the *in silico* sequence
434 initially reported by Nelson [65], our cloned sequence showed 13 overall residue substitutions
435 and a 5 amino acid insertion at the boundary of exons 4 and 5 (amino acid 173, not shown). Two

436 of the differences were within the conserved I-helix domain. Compared with the partial cDNA
437 sequence reported by Castro and colleagues [4], our sequence contained 3 residue substitutions
438 and a single amino acid insertion (amino acid 373). Our sequence was 88% identical to the *B.*
439 *belcheri* sequence (433/492 residues). The amino terminus of the *B. floridae* CYP19 aromatase is
440 elongated relative to the human and killifish aromatase B sequences and is similar in length to
441 the dogfish and killifish aromatase A sequences. While the *B. belcheri* sequence is not elongated,
442 the predicted start codon aligns with the second methionine in our *B. floridae* sequence. Because
443 no 5'-UTR sequence has been reported for *B. belcheri*, we consider it likely that a portion of the
444 amino terminus has been truncated.

445 Phylogenetic analysis confirmed that the amphioxus sequence identified in this study is
446 orthologous to the vertebrate aromatases (Fig 1A), consistent with previously published analyses
447 of amphioxus aromatase conducted using neighbor-joining [4,5] and maximum likelihood
448 methods [31]. The tree topology corresponded with the evolutionary relationship between
449 amphioxus and vertebrates [5].

450

451 **3.2. Isolation of ER cDNA and sequence analysis**

452 The assembled cloned amphioxus ER cDNA (GenBank accession number EF554313.1)
453 contained an ORF of 1383 bp, a 5'-UTR of 684 bp, and two 3'-UTR sequences (988 bp and 633
454 bp). The long and short UTRs overlapped and were essentially identical in sequence at their 5'
455 ends. Both had polyA tails suggesting they are products of a single mRNA with alternate polyA
456 addition sites. The ORF of the assembled mRNA encoded a polypeptide of 460 aa, and was
457 amplified, cloned and sequenced. The cloned cDNA had >99% identity when compared to the *in*
458 *silico* derived ER cDNA; however, the protein predicted from the genomic sequence

459 (JGI_210589), is missing the entire A/B domain 5' of residue 83 of our cloned sequence and
460 contains several indels due to incorrectly predicted exon boundaries. Our cloned sequenced
461 differed by two amino acids from the sequence reported and characterized by Paris et al. [6]: one
462 in the A/B domain (histidine at residue 33 in our sequences replaced by arginine) and one in the
463 hinge domain (arginine at residue 164 replaced by lysine); both of these differences result in
464 conservative substitutions. A phylogenetic tree constructed using our ER sequence was
465 consistent with previously published trees and the evolutionary relationships among taxa (Fig
466 1B, [6,8,88]). .

467

468 **3.3 *CYP19* gene analysis.**

469 **3.3.1. Exon-intron size and organization**

470 Through interrogation of the amphioxus genome assembly and cloning of all the *B.*
471 *floridae* *CYP19* exons and introns, we determined the complete sequence of the gene (GenBank
472 Accession Number **HQ010363**). Like all other *CYP19* genes, the amphioxus *CYP19* has nine
473 coding exons, and these are well conserved in size (Figure 2, Table 1). As previously reported
474 for *CYP19a1* the predominant ovarian aromatase in goldfish [17] and zebrafish [89], the
475 amphioxus *CYP19* gene most closely resembles the situation of the human gene in which the PII
476 (ovarian) promoter and untranslated first exon are contiguous with and immediately upstream of
477 the ATG site in exon II [90,91]. In contrast, *CYP19a2*, the predominant brain aromatase of
478 teleostean fish, has an untranslated first exon farther upstream and, in this respect, resembles the
479 human ortholog, in which multiple promoters/untranslated first exons located as far as -93 kb
480 from the translation initiation site are alternatively spliced in a tissue-specific manner to a
481 common site in exon II such that the aromatase protein synthesized is identical in all tissues

482 [90,91], suggesting that tissue-specific promoters were acquired sequentially during the course of
483 evolution (ovary>brain>placenta). From the ATG in exon II, the amphioxus *CYP19* is
484 approximately 7 kb, much smaller than the human *CYP19* (30 kb) or either zebrafish *CYP19a1*
485 (15 kb) or *CYP19a2* (12 kb), due primarily to shorter introns (Figure 2; Table 1). Worth noting
486 here, our experimentally determined intronic sequences, when aligned with the amphioxus whole
487 genome database, had a number of indels and mismatches, most notably a 1300 bp insert in
488 intron III and a 216 bp insert in intron IV at the junction with exon V.

489

490 **3.3.2 Identification of putative cis-regulatory elements**

491 Regulation of *CYP19* expression and promoter structure varies considerably among taxa.
492 In contrast to teleost fish in which aromatase expression in brain and ovary is controlled by two
493 distinct genes and promoters, the *CYP19* of humans, other mammals and birds is a single gene
494 with multiple promoters (also see section 3.3.1 above, and legend to Fig. 2). From genomic
495 DNA, we amplified, cloned and sequenced 5'-flanking sequence 1184 bp upstream of the ATG
496 in exon II (Genbank Accession Number HQ010363), which includes a TATA box at -187.
497 Although overall sequence identity in the 5'-flanking region of the different *CYP19* genes was
498 low, statistically over-represented motifs corresponding to known cis elements were identifiable.
499 TRANSFAC analysis of the *B. floridae* 5'-flanking sequence revealed at least six potential
500 transcription factor binding sites, each of which have been identified within the aromatase
501 promoter from other taxa (Table 2). Notably, some forms of aromatase from other taxa (e.g.,
502 *CYP19a1b* expressed predominantly in teleostean brain [19,92] and several human tissue-
503 specific *CYP19* promoters [91,93]) can be induced by estradiol exposure through direct ER
504 interactions with estrogen-responsive elements (ERE) or indirect ER interactions with other

505 transcription factors and binding sites. A typical ERE consists of two hexameric half-sites
506 (AGGTCA) in opposite orientation (inverted repeats), separated by three nucleotides [94]. In
507 addition, several nuclear receptors, including ER α , ERR α and SF-1 can bind to ERE half-sites or
508 extended half-sites (TCAAGGTCA, also called ERREs or SFREs) [95]. While we did not
509 identify EREs upstream of the *B. floridae* *CYP19*, three largely conserved putative ERE half-
510 sites were found within the amphioxus *CYP19* promoter (designated by MATCH as ERR and
511 SF-1 binding sites, Table 2). Availability of a putative promoter of the amphioxus *CYP19*
512 provides an entry point for studying transcriptional regulation at this key phyletic level.

513

514 **3.4. Alignment of deduced amino acid sequences in conserved functional domains of** 515 **amphioxus and vertebrate aromatases**

516 Key functional domains of our deduced amino acid sequence were aligned with reported
517 *CYP19* sequences from the congener *B. belcheri* and representative vertebrates (Fig. 3).
518 Boundaries of conserved functional domains are as described by Simpson et al. [11] and
519 correspond to the following residues: human (I-helix 294-324, aromatic region 376-398, heme-
520 binding 424-443), *B. floridae* (I-helix 327-357, aromatic region 399-430, heme-binding 460-
521 475). Comparison among taxa revealed moderate conservation between amphioxus and
522 vertebrate sequences. Relative to *B. belcheri*, our sequence contained one difference in the
523 aromatase-specific conserved region, and one in the heme-binding region. Compared with the
524 human sequence, the *B. floridae* sequence exhibited 54% identity (17/31 residues) in the I-helical
525 domain, 56% (18/32 residues) in the aromatic region, and 56% (10/18 residues) in the heme-
526 binding domain. Within these regions, the four residues shown to contact the substrate by the
527 human aromatase (A306, D309, T310, F427; Fig. 4b, 4d) are also predicted to contact the

528 substrate by the amphioxus aromatase (A339, D342, T343, F463; Fig. 4a, 4c, Section 3.5). These
529 four residues are perfectly conserved among all taxa shown.

530

531 **3.5. Aromatase modeling, mapping and docking**

532 Using MODELLER, the human and amphioxus aromatase sequences are 40% identical
533 overall and, considering conservative mutations, show similarity for 60% of the amino acid
534 residues. In addition, the identical and similar residues are distributed evenly along the sequence,
535 and there are only 14 residues in gap regions for the sequence of 452 amino acids. Based on this
536 high level of sequence conservation, it is expected that a useful model of the amphioxus
537 aromatase can be constructed based on the structure of the human protein.

538 Figure 4A shows the amino acid residues in the binding site of the resulting amphioxus
539 aromatase model and the position and orientation of androstenedione (shown in grey) obtained
540 by docking. We note that the docking is predicted to be fairly accurate. Indeed, the 100
541 independent docking runs yielded docked androstenedione poses that can all be confined to a
542 cluster with a mean root mean square deviation (RMSD) of less than 0.8 Å, and all docked
543 structures have very similar interactions with the surrounding residues. In order to further test
544 the docking algorithm, we also docked androstenedione to the known structure of the human
545 aromatase (Figure 4B). The docked poses from the 100 docking runs formed a cluster with the
546 RMSD of less than 1.2 Å, and the lowest energy docked pose (grey) had an RMSD of less than 1
547 Å from the androstenedione pose in the X-ray structure (shown in violet). In addition to the
548 similar binding modes, the binding energies obtained in the two docking experiments (-10.7 and
549 -11.3 kcal/mol for human and amphioxus aromatase, respectively) suggest that androstenedione
550 is likely to bind to the human and amphioxus proteins with similar affinity.

551 Figure 4C and 4D show the percentage of nonbonded interactions between the small
552 molecular probes from the computational solvent mapping and the amino residues in the human
553 and amphioxus aromatase, respectively. We consider only the binding site residues within 6 Å
554 from any androstenedione atom. The two fingerprints confirm the conservative character of the
555 mutations in the binding site, and explain why the binding modes of androstenedione are so
556 similar in the two proteins. The site includes 21 amino acid residues that have more than 1% of
557 the nonbonded interaction contacts in one or both structures, but only one of these residues is
558 mutated (from L372 to F404). In addition, as shown for F404 in Figure 4A and for L372 in
559 Figure 4B, these residues interact with the bound androstenedione using backbone atoms rather
560 than their side chains, and hence do not affect the binding features. Thus, all residues that are
561 critical for the binding of small molecules are also highly conserved during the course of
562 evolution. The conservation is not as strong for the less important residues: among the five
563 positions in the binding site that have less than 1% of the nonbonded interaction contacts, two
564 are mutated during the course of evolution (I305 to V338 and A307 to G340).

565 Based on the results described above, there is a remarkable degree of conservation in the
566 predicted structure of the amphioxus and human aromatase proteins despite the approximately
567 500 million years of divergence between the cephalochordate and vertebrate lineages. While the
568 overall amino acid identity is moderate (40%), binding site residues are highly conserved, and
569 docking results indicate that androstenedione is likely to react within the catalytic site of the
570 amphioxus protein as it does with human aromatase. In this regard, it would be of interest to
571 compare the substrate affinity and catalytic activity of the two aromatase enzymes in the same
572 membrane context.

573

574 **3.6 Functional characterization of amphioxus ER**

575 Paris et al. [6] inferred from limited proteolysis assays that bisphenol A binds the
576 amphioxus ER but other classic ER ligands (estradiol, 3 β -androstane-diol, 4-hydroxytamoxifen,
577 diethylstilbestrol, enterolactone, ICI-182780) do not. The limited proteolysis assay indicates the
578 ability of a compound to induce a conformational change in a protein that protects it from trypsin
579 digestion, as is generally observed upon binding of estrogens to the vertebrate ER LBD [6,96].
580 In this report, we quantified specific binding of radiolabeled estradiol to the human and
581 amphioxus estrogen receptors as a more direct measurement of binding. When expressed *in*
582 *vitro*, human ER α specifically bound tritiated estradiol in a saturable manner with high affinity
583 (Fig. 5, K_d = 0.23 \pm .046 nM). In contrast, no specific binding of estradiol to the amphioxus ER
584 was detected in this assay (Fig. 5A).

585 When human ER α and amphioxus ER were transiently transfected into COS-7 cells, they
586 produced proteins of the expected size (59 kD amphioxus, 66 kD human) with a similar
587 efficiency. As expected, estradiol, bisphenol A, diethylstilbestrol and genistein activated human
588 ER α , and 4-hydroxytamoxifen (an ER antagonist) did not activate human ER α . As shown in Fig.
589 5B, activation of human ER α by the weak estrogens bisphenol A and genistein was more
590 variable (larger error bars), although this variability was not consistently observed. The
591 amphioxus ER showed no constitutive activity beyond that of an empty expression vector.
592 Transactivation by the amphioxus ER was not increased in the presence of estradiol or the other
593 estrogenic compounds tested (Fig. 5B). These results are consistent with previous studies
594 showing that amphioxus ER is not activated by ligands for the vertebrate ER [6,8,60]. Indeed a
595 ligand for amphioxus ER has not been identified, although it has been demonstrated that
596 amphioxus ER can serve as a competitive repressor for the hormone-activated SR [8,60].

597

598 **3.7 Tissue distribution of aromatase and ER mRNA**

599 Semi-quantitative RT-PCR was conducted to examine the expression of aromatase and
600 ER transcripts in different amphioxus body segments (Fig 6A). As previously reported for
601 aromatase enzyme activity [26], aromatase mRNA expression was limited to central (gonad-
602 containing) segments, and expression was somewhat higher in females. Although ER mRNA
603 was detectable in all three regions, the relative band intensity was tissue-related: expression was
604 highest in gonad-containing segments (ovary > testis) and lower but approximately equal in
605 cephalic and caudal segments (Fig 6B). Overall, these expression patterns are consistent with
606 results from Bridgham et al [8], who used *in situ* hybridization to demonstrate that ER and SR
607 are primarily expressed in gonads: ER and SR were co-expressed in oocytes, but in testes SR
608 was broadly expressed and ER expression was more restricted.

609

610 **4. CONCLUSIONS AND FUTURE PERSPECTIVES**

611

612 The basic requirements of a functional chemical signaling system are (a) a messenger
613 molecule; (b) a cellular receptor for recognition and signal transduction; and (c) a biological
614 response. Results presented here reinforce the view that the cephalochordate amphioxus has the
615 ability to synthesize estrogen, and also has the core molecular elements of a classical vertebrate
616 ER-mediated signal transduction pathway. While modeling and docking studies predict that
617 amphioxus aromatase will bind androgen, the substrate affinity, catalytic activity and other
618 reaction properties of this enzyme remain to be evaluated. In addition, functional differences
619 between vertebrate and amphioxus ERs and SRs indicate that mechanistic differences in estrogen

620 signaling must exist between the two groups. Indeed, evidence that aromatizable substrate is
621 available and that estrogen is actually recognized as a chemical messenger that activates a
622 cellular response in a biologically relevant context remains to be established.

623 What is clear from our new analyses of the amphioxus *CYP19* gene and aromatase protein is
624 the remarkable degree of structural and functional conservation from amphioxus to humans. To
625 place this in an evolutionary timeframe, the ancestral chordate represented by the common ancestor
626 to contemporary vertebrates, amphioxus, and tunicates is estimated to have emerged 500 million
627 years ago (Cambrian era). In view of this ancient history, it is surprising that a recognizable
628 ancestral *CYP19* has not yet been found among the *CYP* genes in invertebrates. Although the
629 possibility that *CYP19* was secondarily lost in invertebrates cannot be ruled out, a renewed search
630 using the larval forms of invertebrates and a wider range of species could be productive in
631 illuminating the evolution of this important member of the *CYP* family of genes.

632 In itself, conservation of a character, such as the ability to synthesize estrogen, signifies
633 an important adaptive value. Moreover, coexpression of aromatase and ER in the gonads
634 suggests a functional interaction, perhaps a paracrine/autocrine signaling role in regulating
635 seasonal or cyclical gonadal growth as occurs in vertebrates. How can this be accomplished if, as
636 we show here, amphioxus ER does not bind estradiol? One explanation is that estradiol is not a
637 surrogate for the actual amphioxus estrogen. Certainly, many natural steroidal chemicals
638 (estrone, estriol, catechol estrogens) have estrogenic or antiestrogenic bioactivity but differ
639 substantially in their binding properties and spectrum of bioactivities when compared to
640 estradiol, even when tested with mammalian ER. It is worth noting here that aromatization of
641 androgen to estrogen occurs in three hydroxylation steps and accumulation of intermediates such
642 as 19-nortestosterone is substantial with some aromatases (e.g., porcine blastocyst isoform; [97]).

643 To our knowledge, these steroids have not been tested with amphioxus ER although 19-
644 nortestosterone is reported to bind to the mammalian ER β [98]. Additionally, estrone and
645 estradiol can be further metabolized to a variety of hydroxylated forms (e.g., at C2, C4).
646 Although these estrogens generally do not interact to any extent with mammalian ER, they
647 cannot be ruled out as ligands of the amphioxus ER.

648 Another way to explain discordance between estrogen synthesis and estrogen action is
649 that the early estrogen signaling system involved ER indirectly, for example, through
650 heterodimerization with another estrogen-activated nuclear receptor (ERR, SR), or through
651 binding with a different class of membrane-associated receptors (GPR30). These, in turn, could
652 activate ER through phosphorylation or other post-translational modification. Additionally, ERs
653 partner in protein-protein interactions with other nuclear factors by which they are tethered to
654 DNA binding motifs including Sp-1 and AP-1 recognition elements (Safe & Kim *Journal of*
655 *Molecular Endocrinology* (2008) 41, 263–275). Without testing a variety of reporter constructs,
656 it would be premature to conclude that the amphioxus estrogen/ER complex lacks
657 transactivational activity.

658 If it can be proven that the role of ER in estrogen signaling in amphioxus is indirect, then
659 it is reasonable to postulate that direct estrogen binding/transactivation of ER is a feature that
660 was acquired secondarily during the course of evolution, concomitant with the ever-increasing
661 complexity of vertebrate organisms. This theory could explain the remarkable diversity and
662 complexity of estrogen signaling pathways in contemporary mammals: genomic/transcriptional;
663 rapid non-genomic/membrane-mediated; ligand- and ERE-dependent and independent (see
664 Introduction). The value of an evolutionary perspective is that it provides a conceptual framework
665 for organizing and analyzing information, thereby revealing common themes, unanswered

666 questions and new hypotheses for testing. At this point we cannot rule out the possibility that
667 endogenously synthesized estrogen is just a metabolic byproduct, or that the ER of adult
668 amphioxus is preadaptive or degenerate. The information provided here provides an entry point
669 for new molecular analysis. A key remaining challenge, however, is to demonstrate that estrogen
670 has biologically relevant effects at this phyletic level.

671

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673

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677 Research Program (LC). The human ER α and 3xERE-TATA-luc reporter plasmids were
678 generously provided by Dr. Donald McDonnell.

679

680

681 **LEGENDS**

682

683 **Figure 1: Phylogenetic trees of (A) aromatase and (B) ER proteins.** Trees were
684 constructed to demonstrate the phylogenetic position of our amphioxus aromatase and ER
685 sequences; topologies were consistent with previously published trees [5,7,31] and the
686 evolutionary relationships among taxa [22]. GenBank Accession numbers are given
687 parenthetically. (A) Deduced amino acid sequence of amphioxus aromatase was aligned with
688 vertebrate *CYP19* sequences and other representative *CYP* sequences. The maximum likelihood
689 tree was rooted with the human *CYP17* and *CYP21* sequences (CYP Clan 2). Accession
690 numbers: Amphioxus *CYP19* (ABA47317.1) zebrafish *CYP19a1/A* (AA65788.1), zebrafish
691 *CYP19a2/B* (AAK00642.1), killifish *CYP19a1/A* (AAR97268.1), killifish *CYP19a2/B*
692 (AAR97269.1), Human *CYP19* (NP_112503.1), mouse *CYP19* (P28649.1), Human *CYP17*
693 (AAA36405; Human *CYP21* (NP_000491). Numbers indicate percentage of 100 bootstrap
694 replicates supporting each node. (B) Deduced amino acid sequence of amphioxus ER was
695 aligned with vertebrate ER and ERR sequences, and a Neighbor-Joining tree was constructed.
696 Protostomes (mollusc and annelid) ER sequences were not included in this analysis (see [10] for
697 a thorough analysis of the evolutionary position of these genes). Amphioxus *ER* (EF 554313.1),
698 teleost *ERβb* (zebrafish, NP_777287; goldfish, Q9IAL9), teleost *ERβa* (zebrafish, NP_851297;
699 trout, CAC06714; goldfish, Q9W669; medaka, AAX14000; Salmon AAR92486), mammal *ERβ*
700 (human, CAA67555; rat, U57439; mouse, AAB51132), Lamprey *ER* (AAK20929), teleost
701 *ERα* (Medaka, P50241; Salmon, P50242; Trout, P16058; Goldfish *ER*, AAL12298; zebrafish,
702 NP_694491; mammal *ERα* (Mouse, NP_031982; Rat, P06211), *ERRs* (Amphioxus *ERR*
703 AAU88062; Human *ERRα* (NP_004442), *ERRβ* (O95718), and *ERRγ* (AAQ93381). Numbers

704 on nodes indicate percentage of 1000 bootstrap replicates supporting each node, and triangles
705 indicate nodes collapsed for simplicity.

706

707 **Figure 2. Comparison of *CYP19* genes in amphioxus, human and zebrafish.** The genomic
708 organization of the coding region of the single copy *CYP19* gene in the human (A, upper panel;
709 NM 000103.3; [108] is compared to that of amphioxus (HQ010363) and zebrafish *CYP19a1(A)*
710 and *CYP19a2(B)* genes (NM 131154.2 and NM131642.1 [109]) (B, lower panel). Exons II – X
711 are labeled in human (panel A) and correspondingly color coded in amphioxus and zebrafish
712 (panel B). The translation initiation (*) and the stop (°) codons are indicated. Note that the
713 ovarian promoter/untranslated first exon of the human *CYP19* (PII) is contiguous with exon II,
714 whereas the placental (I.1) and brain (I.f) promoters and first exons are located ~93 kb and 33 kb
715 upstream of the ATG in exon II. The untranslated first exon in amphioxus and zebrafish
716 *CYP19A1(A)* is contiguous with exon II, while the untranslated first exon of zebrafish
717 *CYP19A2(B)*, like that of human I.f is further upstream. Also, the very long exon X (3'-
718 untranslated region) of zebrafish *CYP19A2(B)* has a ~250-bp region deleted from the mRNA.

719

720 **Figure 3: Sequence alignment of conserved functional domains of aromatases in amphioxus**
721 **and representative vertebrates.** Boundaries are as described by Simpson et al. [11] for amino
722 acid residues of the human aromatase: I-helix 294-324: aromatic region 376-398: heme-binding
723 domain 426-443). Identical and similar amino acid residues are marked by asterisks and dots,
724 respectively. GenBank accession numbers given in Figure 1 legend or as follows: amphioxus (*B.*
725 *floridae*, EF554313.1; *B. belcheri*, BAF61105.1), Dogfish (ABB53418.1), Killifish

726 (*CYP19A1*(A): AAR97268, *CYP19A2*(B): AAR97269), *Xenopus* (BAA90529), Zebra finch
727 (AAB32404.1), Turtle (AAG09376), Rat (NP_036885.1), Pig (AAB51387). .

728

729

730 **Figure 4: Homology model of androstenedione docked within the active site of aromatase**
731 **in (A) amphioxus and (B) human and plot of non-bonded interactions in (C) amphioxus**
732 **and (D) human.** See sections 2.3 and 3.5 for detailed methods and results describing
733 evolutionarily conserved residues. Also, compare with conserved residues identified by sequence
734 alignment (Fig. 3; section 3.3.2).

735

736 **Figure 5: Functional comparison of amphioxus ER through binding experiments and cell-**
737 **based reporter assays.** Amphioxus estrogen receptor (BfER) and human estrogen receptor alpha
738 (HsER α) constructs containing a V5 epitope tag were expressed in rabbit reticulocyte (A) and in
739 COS-7 cells (A inset, B). (A) Tritiated estradiol was specifically bound by the human ER α
740 (triangles) as expected, but not by the amphioxus ER (squares). Representative results from one
741 of four independent experiments are shown. (inset) A western blot with a v5 antibody showing
742 expression of BfER and ER α transfected into COS-7 cells. (B) Amphioxus ER (white bars),
743 human ER α (grey bars), or an empty expression vector (pcDNA, black bars) were transfected
744 into COS-7 cells along with a luciferase reporter driven by three estrogen responsive elements
745 (see methods). The y-axis shows the ratio of luminescence by the luciferase reporter to
746 luminescence by a transfection control reporter. Units are normalized such that the value for the
747 DMSO-treated empty expression vector is equal to one.

748

749 **Figure 6: Tissue-specific expression of (A) *CYP19* and (B) ER mRNAs in amphioxus, as**
750 **determined by semiquantitative RT-PCR analysis.** Tissues were collected during the period of
751 reproductive activity. H, head; T, testis; O, ovary; Ta, tail; C, control (no template). Products
752 were separated on 1% agarose gels in 0.5X TBE, stained with ethidium bromide and visualized
753 under ultraviolet light.

754

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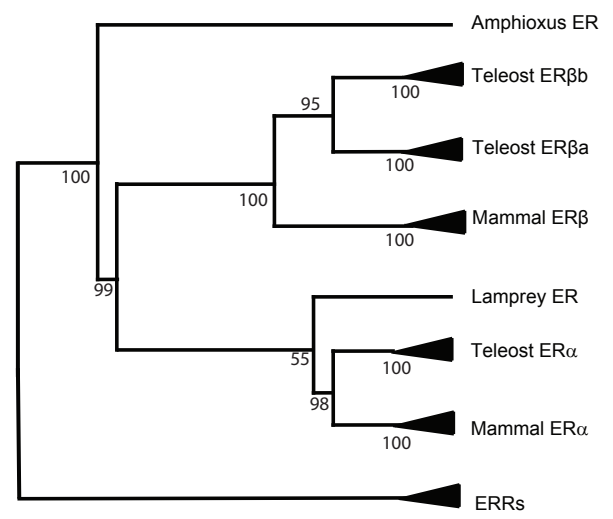
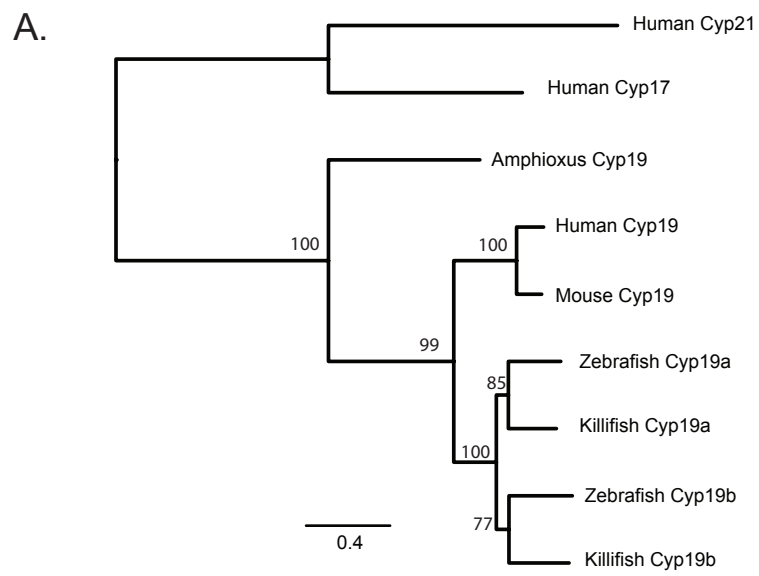


Figure 2

Human



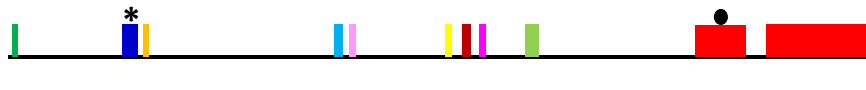
Amphioxus



Zebrafish A
(CYP19A1)



Zebrafish B
(CYP19A2)

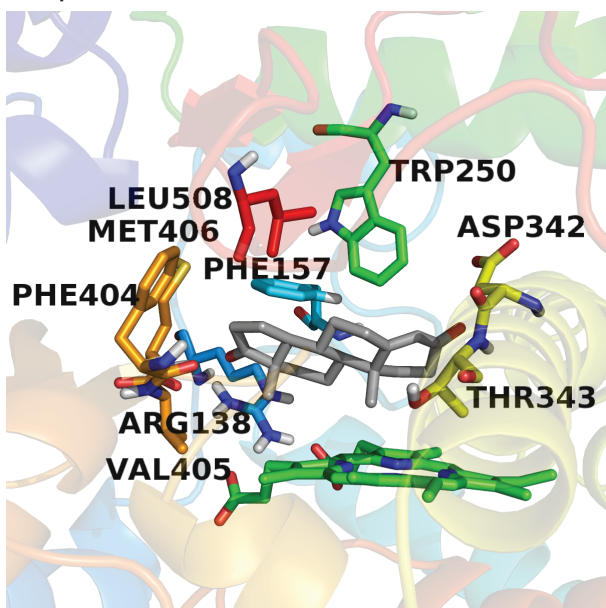


ORGANISM	I-HELICAL DOMAIN	AROMATIC REGION	HEME BINDING DOMAIN
	. * * . : * : : * . * * * : * : : : : * . : .	: * * * : * * : : . : * : : * : : * * * : * * :	* * * * * * . * . * . :
Amphioxus (Bf)	SCVRQCVTEMLVAGPDTMSVNIYFILLHIAE	RPVVTFVMRHAEEEDHVDGYVIPKGTNVIINL	FMPEFGLGVRSCVGRTIAP
Amphioxus (Bb)L...R.....A.....P.....
Dogfish	DN...SML.I.I.G.....S.F.M.ML..Q	Q...D.T..K.LKD.VI...PVK....I.L.L	.Q...C.P.S...KY..M
ZebrafishB	DD.....L..VI.A...L.ISLF.M..LLKQ	H...D.I..QSL.D.YI...RVA...L.L.I	.Q...C.P.A...KH..M
KillifishB	DD.....L..VI.A...L.ISLF.M.MLLKQ	H...D.T..RALDD.D.E.TK.K....I.L.I	.Q...C.P.S...KH..M
ZebrafishA	EN.....L..VI.A...L.ISLF.M..LLKQ	H...D.T..R.LDD.VIE..NVK....I.L.V	.Q...S.P.A.A.KY..M
KillifishA	EN.T...L..VI.A...L.ISLF.M..LLKQ	H...D.T..R.LSD.VI...RV.....I.L.T	.Q...S.P.A...KH..M
Xenopus	EN.N.CIL...I.A...M..SLF.M.VL..Q	Q...DL...K.L.D.II...YVK....I.L..	.Q...S.P.A.A.KY..M
Chicken	EN.N...L..MI.A...L..TLFIM.IL..D	Q...DLI..K.LQD.VI...PVK....I.L.I	.Q...F.P.G...KF..M
Zebrafinch	EN.N...L..MI.A...L..TLF.M.IL...	Q...DLI..K.LQD.VI...PVK....I.L.I	.Q...F.P.S...KF..M
Turtle	EN.N...L..MI.A...L..TLF.M.VL...	Q...DL...K.LQD.VI...PVKR...I.L.I	.Q...F.P.G...KF..M
Alligator	EN.N...L..MI.A...L..TLF.M.VL...	Q...DLI..K.LQD.VI...PVK....I.L.I	.Q...F.P.A...KF..M
Rat	EN.N..IL...I.A.....TL.VM..L...	Q...DL...R.L.D.VI...PVK....I.L.I	.Q...F.P.S.A.KY..M
Pig	EN.N..IL...I.A...L..TVF.M.FL..K	Q...DL...K.L.D.VI...PVK....I.L.I	.Q...F.P.A.A.KY..M
Human	EN.N..IL...I.A.....SLF.M.FL..K	Q...DL...K.L.D.VI...PVK....I.L.I	.Q...F.P.G.A.KY..M

Figure 3

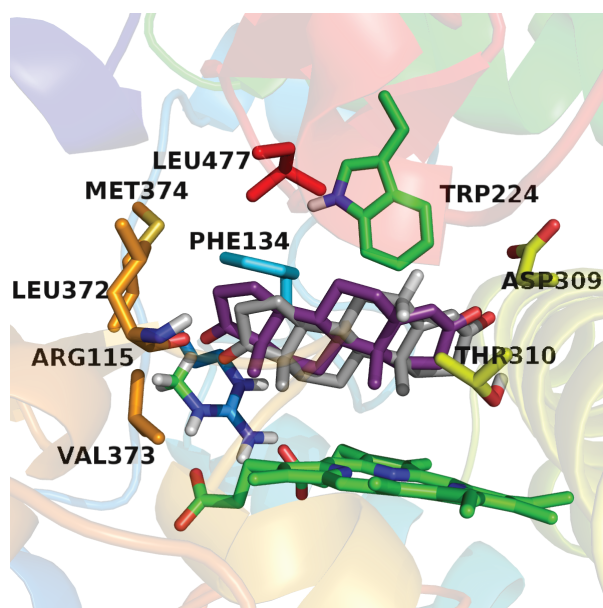
Amphioxus

A.

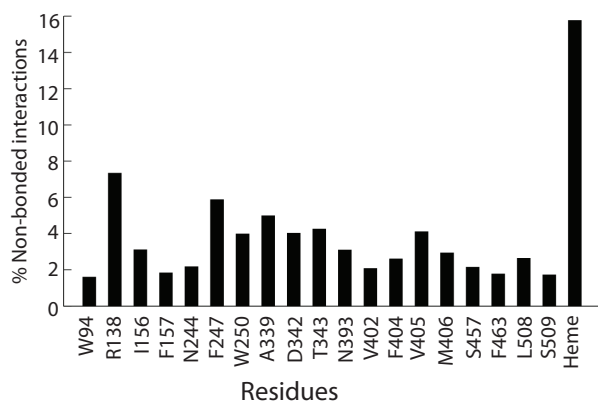


Human

B.



C.



D.

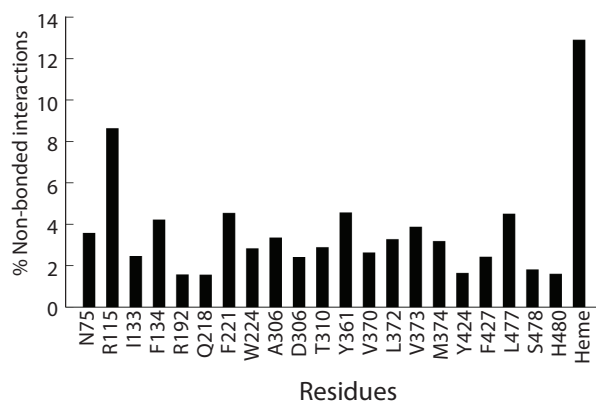
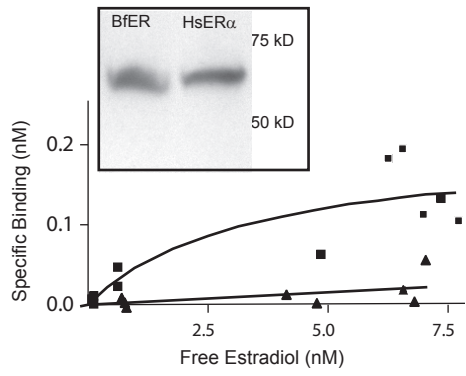


Figure 5

A.



B.

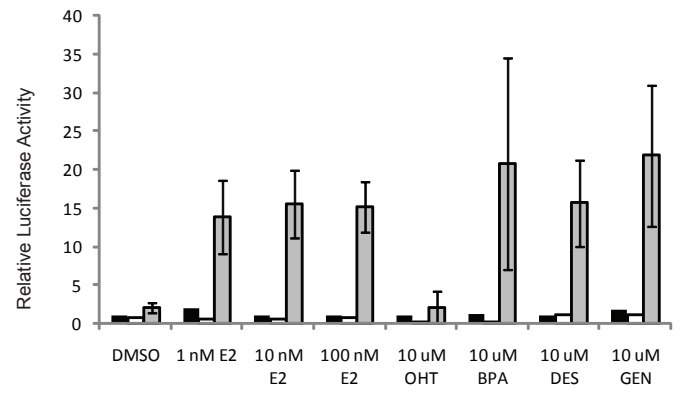


Figure 6

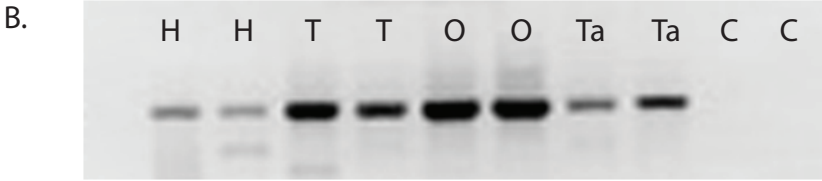


Table 1. Comparison of exon and intron sizes in coding region of amphioxus and human *CYP19* genes.

Exon	Size (bp)	
	amphioxus	Human
II	219	183
III	151	151
IV	155	155
V	186	177
VI	118	115
VII	133	115
VIII	160	163
IX	245	242
X	1,413	1,582
Intron		
II	332	5,758
III	368	8,925
IV	1,516	5,253
V	292	3,693
VI	338	2,723
VII	379	470
VIII	459	2,508
IX	463	1,263

Table 2. Position and sequence of putative transcription factor binding motifs identified in the 5'-flanking region of the amphioxus *CYP19* gene. Putative cis regulatory elements were identified using the MATCH program. Core and matrix match values indicate the quality of a match between the sequence and the cis regulatory element matrix, with 1.0 being a complete match [69]; where multiple matrices match a given site, the highest quality match is indicated.

Putative TF Match Quality (core/matrix)	Position from ATG (+/-) Strand	Sequence	Identification in other <i>CYP19</i> promoters [reference]
TATA 1.0/0.997	-187 to -195 (+)	TATAAAAA	goldfish and zebrafish A1 and A2 [19,89]; human I.3, 1.6 [99,100], zebra finch 1b [101], mouse [102,103]
ERR; ER ½ 1.0/0.918	-319 to -348 (+)	ATGTGTCTTTT(TGAC C)TCTGCATATTACT	EREs in goldfish and zebrafish A1, A2 [19,104]; mouse II and human 1f [reviewed in 104]
OCT-1 1.0/0.969	-319 to -331 (-)	TCTGCATATTACT	zebra finch 1a [101]
CREB 1.0/0.985 1.0/0.948	-354 to -363 (+) -637 to -642 (-)	TGACGTCT ACACGTCATAGG	zebrafish A1 and A2 [89]; rat II ([105]), Human I.3/II [106]
ERR; SF-1*	-461 to -469 (-)	TCAAGGTTA	goldfish and zebrafish A1 [19,104], human II [107], zebra finch 1b [101]
GATA1/GATA2 1.0/0.987	-430 to -444 (+)	AACAAAGATAAGTGT	zebra finch 1b [101], goldfish A2 [19], human endothelial 1.7 [28]

* Identified through a manual search; nearly perfect match with consensus sequence (TCAAGGTCA [95])

Supplementary Table 1. Oligonucleotide primers. The nucleotide position of sequences targeted by primers A1-30 and E1-6 refers to newly isolated amphioxus aromatase and ER cDNA sequences (GenBank Accession Numbers: DQ165086 and EF554313, respectively) or to sequence obtained in whole or in part by interrogation of the amphioxus genome database (*).

Primer	Application	Sequence 5' – 3' (F, forward; R, reverse)	(nt)
A1	cDNA cloning	F-ATTGAACAGAAAGTCGTACCAGACA	47-70
A2	cDNA cloning	R-GGTGTTTCCTGTACAGGGTAGGAT	796-819
A3	cDNA cloning	R-AAGACTTCCCTGATTTCCCTAAGT	1097-1120
A4	cDNA cloning	F-TACCAGACACGGCATAACCA	62-81
A5	cDNA cloning	R-GCAGTAGCGTGATGAGGACA	1450-1469
A6	3' RACE	F-GCAAGGCCAGTGGTCACTTTCGTCAT	1197-1222
A7	5' RACE	R-AACTTCCAGCTCTGCACGTCGTTGTT	476-502
A8	5' RACE	R-CGGATGTTAGCCAGTTGTCGTCTGGT	576-601
A9	RT-PCR	F-AGGACAGGAGTCAATTATCA	30 – 49*
A10	RT-PCR	R-CGCTACTAGGACAGAAGAAG	1981-1999
A11	RT-PCR	F-AAAGCAGAGGTAGCTTCCCATT	28-39
A12	RT-PCR	R- TGGATACTTTCCTCAGATCGT	1581-1602
A13	Intron II	F-GGGATATTTCCCCCAGGTAA	204-221
A14	“	R-CTGCTGATGATGAAAGTCTGCT	349-370
A15	Intron III	F-CATCAGCAGGTGCGTTCTTA	362-386
A16	“	R-GCACGTCGTTGTTGAAGATG	470-489
A17	Intron IV	F-CGCTTCTTCTTCGTCAAAGG	507-525
A18	“	R-AAATAGCCGGTTTCCGATTT	670-688
A19	Intron V	F-ATCGGAAACCGGCTATTTCT	672-691
A20	“	R-GATAAACCAACTGCAGCACCT	778-798
A21	Intron VI	F-GGAGGTGGTGAACACAATCA	716-735
A22	“	R-TTGGGATGCTGAATTCTTCC	902-921
A23	Intron VII	F-GGAAGCTGGTGGACAAGAAG	853-872
A24	“	R-ACATTTCTGTCACGCACTG	996-1012
A25	Intron VIII	F-CAGTGCGTGACAGAAATGCT	996-1015

A26	“	R-ATCGAGGAACACCATCTTGC	1153-1172
A27	Intron IX	F-CACCAAGACCCTCGTCACTT	1305-1324
A28	“	R-TCCCTCAGATCGTCTCCAAC	1573-1593
A29	Promoter	F-ACGAACACAACCAATCCAG	1164 – 1184*
A30	“	R-ACAAGCACAACAAGCAGCAC	134-156
E1	cDNA cloning	F-TGGAGTCTGGTCATGTGAGG	968-987
E2	cDNA PCR	R-CAGTTTCTCCCCCTTGTGTC	1642-1661
E3	5' RACE	R-GGCAGCTTTTTTCGTCTATTCCTGTCAATG	1064-1092
E4	3' RACE	F-TGGGGACCATGCTTCAGGCTTCCAC	941-965
E5	RT-PCR	F-CATGTCTCCTGTCATGTCTGTC	656-673
E6	RT-PCR	R-GCAATCATCTCTCTTTCTCTGG	2142-2163

*bp upstream of ATG translation start site