Inter-annual variability in isotope and elemental ratios recorded in otoliths of

an anadromous fish

Benjamin D. Walther\* 1, Simon R. Thorrold

Benjamin D. Walther: Biology Department MS 50, Woods Hole Oceanographic

Institution, Woods Hole, Massachusetts, 02543 USA.

Simon R. Thorrold: Biology Department MS 50, Woods Hole Oceanographic

Institution, Woods Hole, Massachusetts, 02543 USA. E-mail: <a href="mailto:sthorrold@whoi.edu">sthorrold@whoi.edu</a>

\*Corresponding author.

<sup>1</sup> Current Address: ARC Centre of Excellence for Coral Reef Studies, Australian

National University, Research School of Earth Sciences, Canberra ACT 0200,

Australia.

Phone: +61 2 6125 3424

Fax: +61 2 6125 7739

E-mail: <u>benjamin.walther@anu.edu.au</u>

## Abstract

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2 Isotope ratios and elemental concentrations in otoliths are often used as natural tags to 3 reconstruct migratory movements and connectivity patterns in marine and 4 anadromous fishes. Although differences in otolith geochemistry have been 5 documented among geographically separated populations, inter-annual variation within locations is less frequently examined. We compared otolith isotope ( $\delta^{18}$ O and 6 <sup>87</sup>Sr. <sup>86</sup>Sr) and elemental ratios (Sr:Ca and Ba:Ca) from several annual cohorts of 7 8 juvenile American shad (Alosa sapidissima) in three rivers. These four geochemical 9 signatures distinguished among river-specific populations of this species at both large and small geographic scales, with  $\delta^{18}O$  and  $^{87}Sr$ :  $^{86}Sr$  generating the majority of 10 11 multivariate variation. We found significant variation among years for all variables in two to three rivers. However, the magnitude of variability differed among ratios, with 12  $\delta^{18}$ O ratios showing substantial inter-annual shifts while  $^{87}$ Sr:  $^{86}$ Sr ratios were 13 14 relatively stable across years. Sr:Ca and Ba:Ca ratios also varied among years. These 15 results imply that investigators using environmentally labile signatures must quantify 16 geochemical signatures for each cohort of interest in order to confidently identify 17 origins of migrants. 18 19 Keywords: otolith chemistry; strontium isotopes; oxygen isotopes; inter-annual 20 variability. 21

#### 1. Introduction

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Geohemical signatures recorded in calcified tissues of fishes have the potential to resolve outstanding questions about dispersal and migration dynamics of a wide variety of species. Isotope and elemental ratios of aragonitic otoliths, or ear stones, have proved particularly useful for identifying rates of natal homing (Thorrold et al., 2001), dispersal (Thorrold et al., 2002), thermal histories (Valle and Herzka, 2008), and movements across salinity gradients (Milton and Chenery, 2005). Because otoliths are metabolically inert, accrete discrete layers incrementally, and incorporate some isotopes and elements in proportion to their ambient abundance, they can serve as useful natural tags that reflect the environmental history of a fish (Campana, 1999). When natal geochemical signatures are unique and distinct at appropriate geographical scales, they can then be used to identify origins of individuals at subsequent life history stages. Thus, the first step in many investigations using otoliths as natural tags is to create a baseline map of elemental and isotope signatures from potential source regions. Yet while much attention has been paid to geographical scales of variability for the ratios of interest, temporal stability is less frequently investigated. Understanding temporal variability in both isotope and elemental signatures is essential to determine whether classifications of unknown individuals can only be made using baseline data from the same cohort, or if previous baselines can be applied. For the previous two decades, investigations into the natural tag properties of otoliths have focused on the relative abundances of elements such as Sr and Ba, typically expressed relative to Ca (Campana, 1999). However, geographical variability in isotope ratios have emerged as powerful natural tags, particularly for species that inhabit fresh water at some stage of their life history (Kennedy et al.,

1997). For instance, otolith <sup>87</sup>Sr: <sup>86</sup>Sr ratios directly reflect dissolved ambient ratios, which in freshwater habitats depend on the geological composition of the drainage basin (Palmer and Edmond, 1992). Because juvenile anadromous fishes reside in discrete freshwater habitats that drain heterogeneous lithologies, otolith <sup>87</sup>Sr: <sup>86</sup>Sr ratios have recently been used to discriminate origins of anadromous fishes at remarkably fine geographical scales (Barnett-Johnson et al., 2008; Kennedy et al., 2002). Similarly, otolith  $\delta^{18}$ O ratios are deposited in isotopic equilibrium with ambient water values (Høie et al., 2003; Thorrold et al., 1997). As a result, latitudinal and orographic patterns in surface water  $\delta^{18}$ O ratios are recorded in otoliths across large geographic scales (Walther et al., 2008). The addition of these two isotope ratios to the suite of geochemical signatures routinely analysed has increased estimates of classification accuracy beyond that generally achievable based only on elemental ratios. In a test of the combined power of isotope and elemental ratios to discriminate among source populations, Walther and Thorrold (in press) reported <sup>87</sup>Sr: <sup>86</sup>Sr, δ<sup>18</sup>O, Sr:Ca, and Ba:Ca ratios in the otoliths of juvenile American shad (*Alosa sapidissima*) from 20 rivers between Florida and Québec along the east coast of North America. This combination of only four elemental and isotopic signatures yielded highly distinct river-specific signatures; mean classification accuracies were 93%. Moreover, signature separation was driven primarily by  $\delta^{18}$ O and  $\delta$ highlighting the utility of these isotopes in discriminating among these rivers. Although this prior work comprehensively addresses the spatial variability in these chemical tracers, inter-annual variability has not been thoroughly investigated for these systems. Here, we expand on our previous work to examine inter-annual variability in otolith signatures for the Hudson, the Mattaponi and Pamunkey rivers.

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These data are used to discuss potential errors that could arise if migrants are not classified using baseline signatures from the appropriate cohort.

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#### 2. Materials and Methods

Juvenile American shad were collected in freshwater or upper estuarine habitats from the Hudson, Mattaponi, and Pamunkey rivers (Figure 1) prior to their emigration to the ocean. Collections were timed to occur during the late summer months when juveniles were at their highest abundances in each river. Push nets and beach seines were used to obtain representative samples and specimens were subsequently returned to the lab and frozen whole. Sagittal otoliths were dissected from each fish, cleaned of adhering tissue, and stored dry. Samples were unavailable for analysis in 2003 for all rivers and in 2002 and 2004 for the Hudson and Pamunkey rivers, respectively, due to recruitment failure in those systems or incomplete collections. Analyses were performed on all available samples from these three rivers. Prior to analysis, both sagittal otoliths from each fish were mounted on petrographic slides with cyanoacrylic glue and ground to the midplane on 30 and 3 μm lapping film. One otolith from each pair was randomly chosen for Sr:Ca, Ba:Ca and <sup>87</sup>Sr: <sup>86</sup>Sr analyses. To remove surface contaminants, this otolith was sonicated for 2 minutes and triple-rinsed in ultrapure water in a class 100 clean room. The remaining otolith was used for  $\delta^{18}$ O analyses. The first otolith from each fish was used for analyses of Sr:Ca and Ba:Ca ratios using a Thermo Finnigan Element 2 single collector inductively coupled plasma mass spectrometer (ICP-MS) coupled to a 213 nm laser ablation system. A 200 x 200

μm raster was ablated adjacent to the core and extending toward the posterior lobe.

This raster ablated material laid down over approximately two to three months of the

juvenile freshwater residency period. Elemental ratios were quantified by monitoring <sup>48</sup>Ca, <sup>86</sup>Sr, and <sup>138</sup>Ba using methods following those of Rosenthal et al. (1999) as modified by Walther et al. (2008). Briefly, a He gas stream carried ablated material to the ICP-MS where it was mixed with an Ar sample gas and a wet aerosol (2% HNO<sub>3</sub>) supplied by a self-aspirating (20 m•min<sup>-1</sup>) PFA nebuliser in the concentric region of the quartz dual inlet spray chamber. Instrument blanks of 2% HNO<sub>3</sub> and two certified reference materials (CRM; Sturgeon et al., 2005; Yoshinaga et al., 2000) were run at the beginning and end of each block of ten otoliths and used to correct for background intensities and instrument mass bias. External precision (relative standard deviation) of the technique, calculated by treating one of the CRMs as an unknown for 2000, 2001, and 2002 samples was 0.3% for Sr:Ca and 0.6% for Ba:Ca (CRM n = 92). Otoliths collected in 2004 were analysed separately, and relative standard deviations were 0.3% for Sr:Ca and 1% for Ba:Ca (CRM n = 134). After elemental ratio analyses, the same otolith was used for <sup>87</sup>Sr: <sup>86</sup>Sr analyses using a Thermo Finnigan Neptune multiple collector ICP-MS coupled to a 213 nm laser ablation system. A 250 x 200 µm raster was ablated adjacent to previous raster and covering the same time period analysed for elemental ratios. A suite of isotopes, including <sup>84</sup>Sr, <sup>86</sup>Sr, <sup>87</sup>Sr, <sup>88</sup>Sr, <sup>83</sup>Kr, and <sup>85</sup>Rb were monitored. Contributions of <sup>87</sup>Rb to <sup>87</sup>Sr and <sup>86</sup>Kr to <sup>86</sup>Sr intensities were removed by applying mass bias corrections described by Jackson and Hart (2006) as modified by Walther et al. (2008). All data were normalized to a SRM987 <sup>87</sup>Sr: <sup>86</sup>Sr value of 0.71024 based on mean <sup>87</sup>Sr: <sup>86</sup>Sr values measured in SRM987 for a given analysis day. For otoliths collected in 2000, 2001, and 2002, mean (± 1 SD) values of <sup>87</sup>Sr: <sup>86</sup>Sr ratios sampled from an otolith CRM (n = 38) and solutions of SRM987 (n = 40) were 0.70915 ( $\pm 0.00002$ ) and  $0.71025 (\pm 0.00002)$ , respectively. For otoliths collected in 2004, mean ( $\pm 1$  SD)

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values of <sup>87</sup>Sr: <sup>86</sup>Sr ratios sampled from an otolith CRM (n = 74) and solutions of SRM987 (n = 41) were 0.70916 (± 0.00002) and 0.71025 (± 0.00002), respectively.

These data compare favourably to the accepted marine <sup>87</sup>Sr: <sup>86</sup>Sr value (0.70918;

Ingram and Sloan, 1992) and the certified <sup>87</sup>Sr: <sup>86</sup>Sr value of SRM 987 (0.71024).

Oxygen isotope ratios were obtained from the second otolith of each fish using isotope ratio monitoring mass spectrometry (irm-MS). A 400 x 400  $\mu$ m raster with a 75  $\mu$ m depth was removed from an area adjacent to the nucleus and extending toward the posterior lobe. The powder from the milled region was placed in glass scintillation vials and analysed on a Thermo Finnigan MAT 252 equipped with a Kiel III carbonate device following methods outlined by Ostermann and Curry (2000). Isotopic values are reported relative to Vienna Pee Dee belemnite (VPDB) in standard  $\delta$  notation. The precision estimate for the mass spectrometer based on long-term monitoring of the NBS19 standards was  $\pm$  0.07‰ (Ostermann and Curry, 2000). Samples from 2000-2002 were additionally analysed for Mn:Ca, Mg:Ca and  $\delta$ <sup>13</sup>C ratios (Walther et al., 2008). However, it was determined that the addition of these ratios did not improve classification accuracies obtained using just Sr:Ca, Ba:Ca,  $\delta$ <sup>87</sup>Sr: $\delta$ <sup>86</sup>Sr, and  $\delta$ <sup>18</sup>O ratios (Walther and Thorrold, in press). Thus, analyses of the additional ratios were not performed for 2004 samples and are excluded from this investigation.

Variable numbers of juveniles were collected and analysed each year. For instance, 50-59 individuals were analysed in 2004 compared to 18-28 individuals for earlier year classes. In order to compare approximately equal sample sizes across years for each river, we randomly selected a subset of individuals from the larger sample sizes to achieve a balanced design. Numbers of individuals included in analyses were between 27-28 for the Hudson River, 24-28 for the Mattaponi River,

and 18-19 for the Pamunkey River. The randomized subsampling procedure did not significantly alter the means or standard deviations of isotope or elemental ratios.

One-way analyses of variance (ANOVAs) were performed on mean isotope and elemental ratios within a river with year as a random factor. Variance components for each ANOVA were also calculated to assess the percentage of total variance explained by yearly variation in each ratio for that river. Variances were not homogeneous across years for some ratios, and thus an ln(x+1) transformation was applied to the data and the ANOVAs were recalculated. This transformation did not alter the significance of any ANOVA, and therefore only the results for the untransformed data are presented.

We used discriminant function analysis (DFA) to assess inter-annual variability of multivariate signatures for different combinations of the four chemical ratios. Quadratic DFAs were first calculated for each river using all four ratios, with otoliths grouped by year. Higher misclassification rates indicated more homogeneous multivariate signatures across years. We then recalculated the QDFA for each river, sequentially excluding each ratio in turn to determine the effect on a single ratio on inter-annual misclassification rates.

#### 3. Results

Isotope and elemental ratios varied among years within each of the three rivers (Figure 2). Most signatures were significantly different among years, and only Sr:Ca in the Hudson River and <sup>87</sup>Sr:<sup>86</sup>Sr in the Mattaponi River showed statistically insignificant inter-annual variation (Table 1). Surprisingly, <sup>87</sup>Sr:<sup>86</sup>Sr ratios were significantly different among years in both the Hudson River and Pamunkey River. Variance components, however, showed that variability in <sup>87</sup>Sr:<sup>86</sup>Sr ratios accounted

for only 5-19% of the total variability within a river. In contrast,  $\delta^{18}O$  accounted for large proportions of the total variance (34-85%). Mean Sr:Ca and Ba:Ca ratios were significantly different in two and three of the rivers, respectively, and accounted for varying amounts of the total variance (14-38% for Sr:Ca and 11-45% for Ba:Ca).

The relative importance of each signature in homogenizing multivariate signatures among years was shown by misclassification rates of quadratic DFAs (Table 2). When all four signatures were included, misclassification rates were generally low, averaging 28% for the Hudson River, 8% for the Mattaponi River, and 9% for the Pamunkey River. These low misclassification rates indicated the multivariate signatures did not overlap substantially among years for a given river. However, misclassification rates rose to 22-39% on average when  $\delta^{18}$ O ratios were excluded from the multivariate signature. In contrast, excluding  $^{87}$ Sr: $^{86}$ Sr ratios did not significantly alter misclassification rates. Similarly, the exclusion of Ba:Ca led to higher misclassification rates while the exclusion of Sr:Ca did not have a large effect.

### 4. Discussion

Temporal variability in chemical signatures can pose significant problems for researchers who use them to identify natal origins of mobile organisms. If geographical maps of isotope or elemental ratios are assumed to be stable when in fact they shift over time, spatial and temporal differences may be confounded (Gillanders, 2002). As a result, estimates of source origins could be significantly biased if temporally inappropriate baseline signatures are used to classify migrants. Here, we report statistically significant inter-annual variability in mean <sup>87</sup>Sr. <sup>86</sup>Sr, δ<sup>18</sup>O, Sr:Ca and Ba:Ca ratios recorded in otoliths of an anadromous fish during the freshwater residency period. Because these combined signatures constitute the baseline map

identifying source rivers for this highly migratory fish, care must therefore be taken to match cohorts to the appropriate annual map to identify fish of unknown origins.

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Of the four ratios we examined here, the most variable was  $\delta^{18}$ O. Because otolith  $\delta^{18}$ O ratios is incorporated in isotopic equilibrium with ambient waters (Høie et al., 2003; Thorrold et al., 1997), this variability likely reflected substantial interannual shifts in ambient freshwater  $\delta^{18}$ O values. A wide variety of environmental forces can drive temporal shifts in riverine  $\delta^{18}$ O values, including precipitation amount, temperature, evaporation intensities, groundwater contribution, and storm events (Kendall and Coplen, 2001). Indeed, the years sampled in this study covered divergent climatic conditions. The Mattaponi and Pamunkey rivers experienced severe drought conditions between 2000 and 2002, while river flows in 2004 were above average (USGS, 2005). For the Hudson River, 2000 and 2004 were relatively wet years with above average flows while 2001 was a drought year (USGS, 2004). However, we have a limited ability to retrospectively determine mechanisms generating variability in  $\delta^{18}$ O otolith signatures in the absence of detailed water samples constraining variability in ambient waters. Regardless of the cause,  $\delta^{18}$ O ratios varied enough to cause significant biases in estimates of natal origin if fish were classified using inappropriate baseline maps. Indeed,  $\delta^{18}$ O shifted up to 1.5% among years in the Mattaponi and Pamunkev rivers. Shifts of this magnitude would be equivalent to erroneously classifying a Chesapeake Bay fish as coming from either Georgia or Delaware, depending on the direction of the shift. Clearly, researchers who use environmentally labile signatures such as  $\delta^{18}O$  must be careful to use temporally appropriate baseline maps when classifying migrants of unknown origins. Otolith ratios of <sup>87</sup>Sr: <sup>86</sup>Sr directly reflect ambient freshwater composition and

are not trophically fractionated (Capo et al., 1998; Kennedy et al., 2000). In general,

freshwater <sup>87</sup>Sr: <sup>86</sup>Sr ratios are assumed to be temporally stable since they reflect the combined geological composition of the drainage basin (Palmer and Edmond, 1992), To date, otolith <sup>87</sup>Sr: <sup>86</sup>Sr ratios have been reported as temporally stable for splittail Pogonichthys macrolepidotus (Feyrer et al., 2007), and Atlantic salmon Salmo salar (Kennedy et al., 2000). We found that mean otolith <sup>87</sup>Sr: <sup>86</sup>Sr ratios were significantly different among years in the Hudson and Pamunkey rivers. The reason for this variability is unknown, although increased discharge rates can potentially alter <sup>87</sup>Sr: <sup>86</sup>Sr ratios (Åberg et al., 1989). Also, inter-annual shifts in spatial patterns of habitat use within a river could alter the <sup>87</sup>Sr: <sup>86</sup>Sr of otoliths. Yet, although interannual differences in otolith <sup>87</sup>Sr: <sup>86</sup>Sr ratios were statistically significant, overall the variability was relatively small as measured by both variance components and the contribution to misclassification rates. In addition, the magnitude of inter-annual variation is much less than average geographical variation reported by Walther and Thorrold (in press). Pair-wise differences in <sup>87</sup>Sr: <sup>86</sup>Sr ratios between years were 0.0002 on average, an order of magnitude less than average pair-wise differences between rivers (Walther and Thorrold, in press). Further, for the 20 rivers examined by Walther and Thorrold (in press), only 3% of the pair-wise geographic differences between rivers were less than the average inter-annual pair-wise difference. The reason for the higher variance in Hudson river strontium isotope ratios in 2000 is unknown, although it likely reflects the inclusion of fish from isotopically distinct tributaries that were not present in subsequent collections. This indicates the need to obtain sufficient sample sizes to accurately characterize the spread of values encountered in a particular watershed. However, this increased variance likely did not bias the minimal effect of Sr isotope ratios on misclassification rates, since the other year classes from the Hudson River also recorded similar ratios despite smaller

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variances. Thus, while we observed statistically significant inter-annual variability in <sup>87</sup>Sr: <sup>86</sup>Sr ratios for two rivers, this variability is minor compared to geographic variability and unlikely to bias classification estimates.

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Several studies have reported significant temporal variability in otolith Sr:Ca and Ba:Ca ratios for a variety of species (reviewed by Gillanders, 2002). The majority of these studies focus on estuarine or marine species, with many reporting significant variability in Sr and Ba otolith signatures at time scales ranging from seasonal to inter-annual (Bergenius et al., 2005; Elsdon and Gillanders, 2006; Gillanders, 2002; Hamer et al., 2003; Patterson and Kingsford, 2005; Patterson et al., 2004; Patterson et al., 2008; Rooker et al., 2003). Temporal variation in freshwater systems is less frequently reported and not always significant. Feyrer et al. (2007) reported significant differences in otolith Sr:Ca and Ba:Ca across two year classes of splittail Pogonichthys macrolepidotus. In contrast, Wells et al (2003) and Munro et al. (2005) report inter-annual stability in Sr:Ca ratios of cutthroat trout Oncorhyncus clarki lewisi and lake trout Salvelinus namaycush, respectively. Using the same species reported here, Thorrold et al. (1998) report significant seasonal variability in Sr and Ba for the Connecticut, Delaware, and Hudson rivers, although the variability was not enough to significantly bias accurate classifications of known-origin fish. Because otolith Sr:Ca and Ba:Ca reflect ambient water composition, as modified by temperature (Bath et al., 2000; Walther and Thorrold, 2006), the variability we detected in American shad otoliths likely resulted from forces that altered ambient composition, such as fluctuations in flow rates or tidally-driven resuspension (Jarvie et al., 2000).

In conclusion, we observed statistically significant differences in  $^{87}Sr.^{86}Sr$ ,  $\delta^{18}O$ , Sr:Ca, and Ba:Ca ratios among years for three rivers. This variability limits the

ability of researchers to use a database of juvenile signatures collected in one year to classify fish born in other years. Although inter-annual variability in a ratio such as  $\delta^{18}$ O is more likely to result in classification errors than a more stable signature like <sup>87</sup>Sr. <sup>86</sup>Sr, it would be prudent to match cohorts whenever possible, regardless of the signature used. However, this is not always possible due to a lack of available juvenile otoliths from the cohort of interest. An alternative would be to restrict the database to more temporally stable signatures and pool juvenile otoliths from several years. This approach, taken by Walther et al. (2008), accounts for the range of values likely to be found in the cohort of interest, although it has the potential to decrease overall classification accuracies. Also, this approach assumes that the range of values of the pooled signatures reflects the variability that occurred over longer time periods. The benefit of including or excluding temporally variable chemical ratios will ultimately depend on the system in question and to what extent those ratios significantly improve natal classification accuracies. Extended time series of otolith analyses from one location, ideally with accompanying water samples, would help explore variability on these time scales. Clearly, this issue is of paramount concern to those wishing to accurately identify origins of fish and temporal variability must be accounted for in any well-designed study using otolith chemistry as a natural tag.

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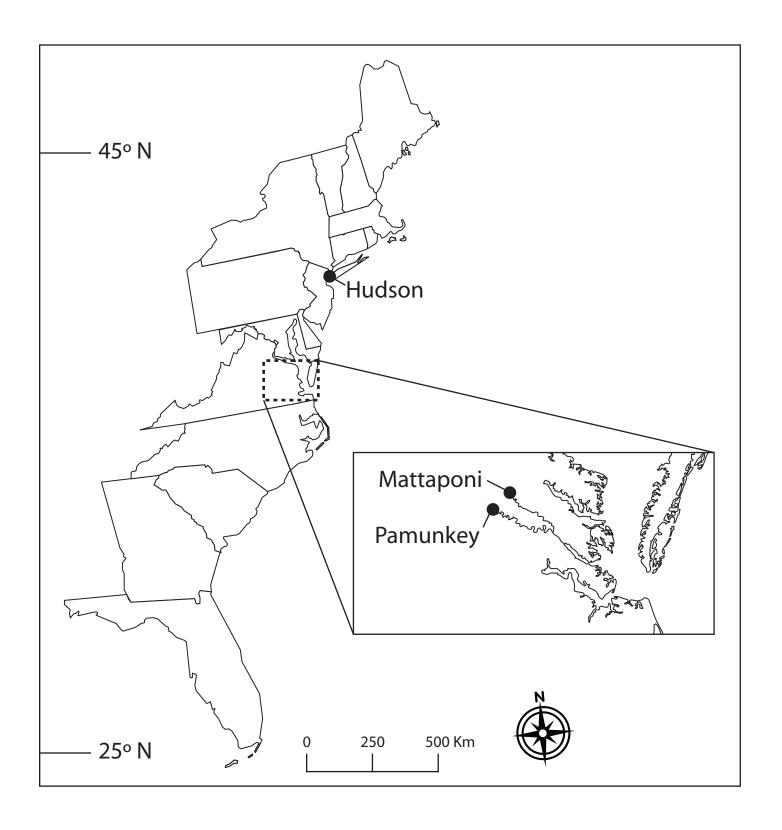
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Figure captions
Figure 1. Map indicating locations of the Hudson, Mattaponi, and Pamunkey rivers
where juvenile American shad were collected..
Figure 2. Mean (± 1 standard deviation) values of (a) δ<sup>18</sup>O, (b) <sup>87</sup>Sr: <sup>86</sup>Sr, (c) Sr:Ca,
and (d) Ba:Ca ratios for the Hudson, Mattaponi, and Pamunkey rivers across years.



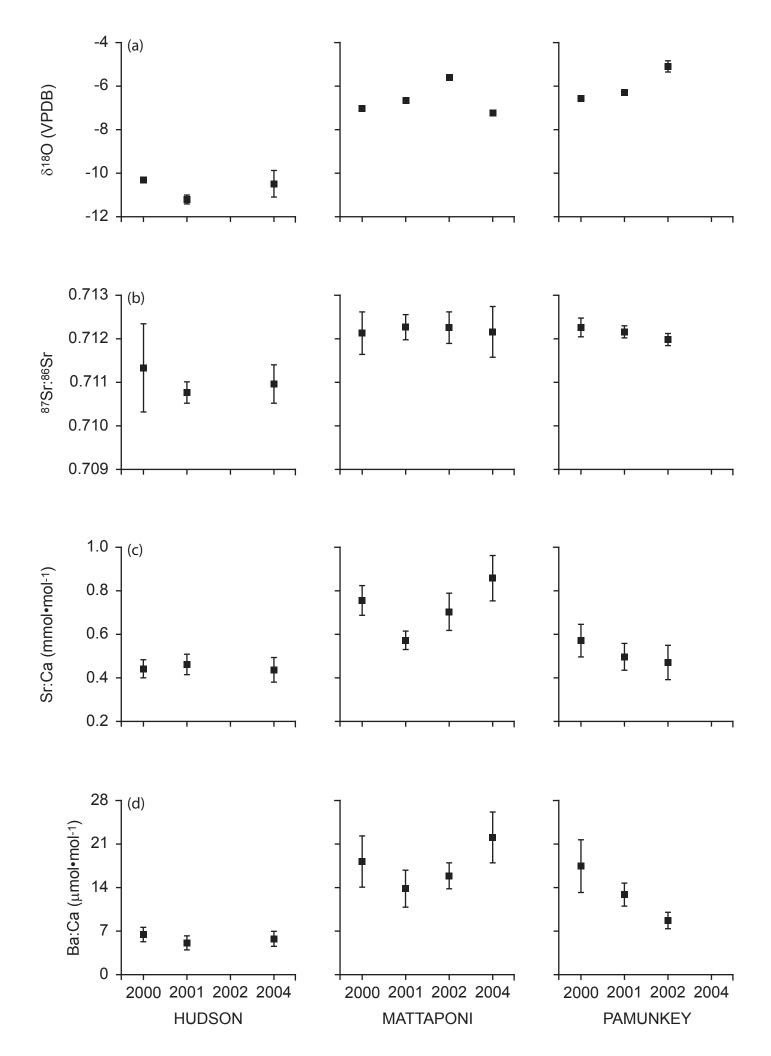


Table 1. Single factor ANOVA results for yearly variation in isotope and elemental ratios for each river. NS = not significant, \*p < 0.01, \*\*p < 0.001. Variance components ( $\%\omega^2$ ) are given as the percentage of the total variance for each ANOVA.

		$\delta^{18}{ m O}$			<sup>87</sup> Sr: <sup>86</sup> Sr			Sr:Ca			Ba:Ca		
Hudson	df	MS	F	$\%\omega^2$	MS	F	$\%\omega^2$	MS	F	$\%\omega^2$	MS	F	$\%\omega^2$
Year	2	6.21	43.16**	34	$2.35 \times 10^{-6}$	5.50*	5	$4.83 \times 10^{-3}$	$2.16^{NS}$	0	13.16	11.10**	11
Residual	79	0.14		66	$4.27 \times 10^{-7}$		95	$2.23 \times 10^{-3}$		100	1.19		89
Mattaponi													
Year	3	13.07	594.06**	85	$1.37 \times 10^{-7}$	$0.73^{NS}$	0	0.37	63.03**	38	318.42	27.57**	21
Residual	99	0.02		15	$1.87 \times 10^{-7}$		100	0.01		62	11.55		79
Pamunkey													
Year	2	11.45	241.33**	81	$3.97 \times 10^{-7}$	14.37**	19	0.05	9.88**	14	353.80	46.28**	45
Residual	52	0.05		19	$2.80 \times 10^{-8}$		81	0.01		86	7.64		55

Table 2. Percentages of misclassifications among years for a given river from quadratic discriminant function analyses (QDFA). The first column shows misclassification results for QDFAs using otolith  $\delta^{18}$ O,  $^{87}$ Sr: $^{86}$ Sr, Sr:Ca, and Ba:Ca ratios. Following columns show QDFA results excluding each chemical ratio in turn.

		Excluding							
Hudson	All	$\delta^{18}O$	<sup>87</sup> Sr: <sup>86</sup> Sr	Sr:Ca	Ba:Ca				
2000	26	41	15	22	30				
2001	11	14	7	4	14				
2004	48	63	44	52	48				
Average	28	39	22	26	31				
Mattaponi									
2000	15	52	7	7	26				
2001	4	14	7	4	7				
2002	0	57	0	0	0				
2004	12	33	12	12	25				
Average	8	39	7	6	15				
Pamunkey									
2000	11	22	17	11	28				
2001	11	28	11	11	17				
2002	5	16	5	5	5				
Average	9	22	11	9	17				