

1 **Limited genetic variation and structure in softshell clams (*Mya arenaria*) across**  
2 **their native and introduced range**

3

4 **Running title:** Genetic structure of softshell clams

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1 **ABSTRACT**

2 To offset declines in commercial landings of the softshell clam, *Mya arenaria*, resource  
3 managers are engaged in extensive stocking of seed clams throughout its range in the  
4 northwest Atlantic. Because a mixture of native and introduced stocks can disrupt locally  
5 adapted genotypes, we investigated genetic structure in *M. arenaria* populations across  
6 its current distribution to test for patterns of regional differentiation. We sequenced  
7 mitochondrial cytochrome oxidase I (COI) for a total of 212 individuals from 12 sites in  
8 the northwest Atlantic (NW Atlantic), as well as two introduced sites, the northeast  
9 Pacific (NE Pacific) and the North Sea and Europe (NS Europe). Populations exhibited  
10 extremely low genetic variation, with one haplotype dominating (65-100%) at all sites  
11 sampled. Despite being introduced in the last 150-400 years, both NE Pacific and NS  
12 Europe populations had higher diversity measures than those in the NW Atlantic and both  
13 contained private haplotypes at frequencies of 10% to 27% consistent with their  
14 geographic isolation. While significant genetic structure ( $F_{ST} = 0.159$ ,  $p < 0.001$ ) was  
15 observed between NW Atlantic and NS Europe, there was no evidence for genetic  
16 structure across the pronounced environmental clines of the NW Atlantic. Reduced  
17 genetic diversity in mtDNA combined with previous studies reporting reduced genetic  
18 diversity in nuclear markers strongly suggests a recent population expansion in the NW  
19 Atlantic, a pattern that may result from the retreat of ice sheets during Pleistocene glacial  
20 periods. Lack of genetic diversity and regional genetic differentiation suggests that  
21 present management strategies for the commercially important softshell clam are unlikely  
22 to have a significant impact on the regional distribution of genetic variation, although the  
23 possibility of disrupting locally adapted stocks cannot be excluded.

1 **INTRODUCTION**

2 Benthic marine habitats of the Northwest Atlantic Ocean (NW Atlantic) are  
3 structured into distinct biogeographic provinces (Engle and Summers 1999). These  
4 biogeographic divisions are a function of environmental gradients resulting from the  
5 synergy of the coastal geography of Eastern North America with the Gulf Stream and  
6 Labrador Currents, combined with latitudinal gradients in temperature and salinity  
7 (Hutchins 1947). The most commonly recognized biogeographic divisions are the Nova  
8 Scotian and Virginian Provinces, with Cape Cod serving as the boundary between the  
9 two (Hall 1964; Hutchins 1947). Superimposed on these divisions is a history of  
10 Pleistocene glaciations that extirpated many benthic marine species from northern  
11 latitudes and formed Cape Cod (Upham 1879a; Upham 1879b), reshaping regional  
12 patterns of biological and genetic diversity (Hewitt 1996; reviewed in Wares 2002; Wares  
13 and Cunningham 2001).

14 The presence of distinct biogeographic provinces in the NW Atlantic has  
15 significant implications for management of fish and invertebrates in this region because  
16 species spanning multiple provinces of the NW Atlantic can have populations adapted to  
17 local environmental conditions. For example, the Atlantic Silverside *Menidia menidia*  
18 exhibits heritable local variation in growth rate and vertebral number, resulting in a  
19 latitudinal phenotypic cline across the NW Atlantic (Billerbeck *et al.* 1997; Present and  
20 Conover 1992; Yamahira *et al.* 2006). On a smaller scale, the mussel *Mytilus edulis*  
21 exhibits a sharp cline in the leucine aminopeptidase (LAP) allele across salinity gradients  
22 in Long Island Sound (Gardner and Kathiravetpillai 1997; Gardner and Palmer 1998).  
23 The presence of regional genetic structure, particularly if it is locally adaptive, needs to

1 be accounted for in fisheries management so that genetic diversity is conserved and  
2 locally adaptive gene complexes are not disrupted through indiscriminate stocking  
3 (Hansen 2002).

4 *Mya arenaria* is a commercially important bivalve with a contemporary  
5 distribution that includes 1) the northwest Atlantic ranging from Nova Scotia to Virginia,  
6 2) the North Sea and European waters, including the Black, Baltic, Wadden, White, and  
7 Mediterranean Seas, and 3) northeast Pacific from San Francisco to Alaska (Strasser  
8 1999). *M. arenaria* has a complex history of extensive global distributions, with several  
9 extinctions and re-colonization events (reviewed in Strasser 1999). The species  
10 originated in the Pacific Ocean during the Miocene, and then extended its range to the  
11 Atlantic and European waters in the early Pliocene. Extinction of Pacific and European  
12 populations in the early Pleistocene left the only surviving populations in the NW  
13 Atlantic until recent history (MacNeal 1965). *M. arenaria* re-invaded European waters in  
14 the 17<sup>th</sup> century after being brought from the NW Atlantic by Vikings (Petersen et al.  
15 1992). In the late 19<sup>th</sup> century *M. arenaria* was reintroduced into the Pacific, first  
16 accidentally and then as a potential commercial fishery (Carlton 1979; Powers et al.  
17 2006). The natural and introduced distribution of *M. arenaria* results partly from the  
18 species' ability to withstand wide salinity and temperature ranges, and its capability of  
19 inhabiting different sediment types from fine mud to coarse sand (Abraham and Dillon  
20 1986; Hidu and Newell 1989; Newell and Hidu 1982).

21 The last two decades have seen appreciable declines in softshell clam landings in  
22 New England (Anonymous 2007; Brousseau 2005). This decline has been attributed to  
23 habitat degradation or loss, overfishing, contamination, and predation by invasive species

1 (Brousseau 2005). Managers and state agencies have enacted various management  
2 strategies to combat these declines, including using protective nets to reduce predation on  
3 newly recruited clams, and seeding flats using hatchery-reared juveniles (H. Lind pers.  
4 comm. 2006, Marcotti and Leavitt 1997).

5         While stocking of fish and shellfish is a long-standing practice, research is  
6 increasingly showing that the genetic impacts of stocking cannot be ignored. Stocking  
7 should seek to maintain levels of genetic diversity (Waples and Do 1994); although  
8 multiple individuals are spawned to produce seed clams, it is unknown whether the  
9 genetic diversity represented among these individuals is reduced in comparison to  
10 naturally occurring cohorts, where entire adult populations spawn simultaneously  
11 (Brousseau 1978). In addition, given that brood stock is not always taken from the flat  
12 into which seed clams are stocked, locally appropriate genotypes could be introduced into  
13 inappropriate areas. For example, *Mya arenaria* exhibit local variation in resistance to  
14 paralytic shellfish toxins (Connell et al. 2007). Seeding flats using brood stock from  
15 other clam populations may result in either reduction of the locally dominant alleles due  
16 to success of the introduced seed clams, or significant loss of seed clams due to a lack of  
17 a genetic background appropriate to the local environment. Similar declines in local  
18 fitness have been documented in salmonids (Hansen 2002). He examined the relative  
19 genetic contributions of domesticated and wild trout in several populations and found that  
20 for at least one population, the observed contribution of domesticated fish (6%) was well  
21 below what was expected assuming equal survival and reproductive performance of wild  
22 and domesticated fish.

1 Previous genetic studies on softshell clams have found limited genetic diversity  
2 despite the wide geographic ranges represented among studies. Morgan *et al.* (1978)  
3 used allozymes to study *Mya arenaria* genetic variation in the NW Atlantic, and found  
4 low polymorphism and low heterozygosity per individual for both populations examined.  
5 Caporale *et al.* (1997) found similar low variability in three regions of the NW Atlantic  
6 (12 locations total) using the internal transcribed spacer ribosomal DNA region (nDNA),  
7 and concluded that although the three regions were not genetically distinct, the data from  
8 the study were insufficient to indicate a panmictic population. More recently, Lasota *et*  
9 *al.* (2004) used allozymes to study seven locations in the northeast Atlantic and two in the  
10 North Sea. They also found low genetic variability and a lack of genetic differentiation,  
11 and concluded that *M. arenaria* is a successful invader despite a high degree of genetic  
12 homogeneity. They suggested the patterns observed were evidence of rapid population  
13 expansion, allele neutrality, and high gene flow. Nuclear DNA (nDNA), however, is  
14 known to evolve slower than mitochondrial DNA (mtDNA), and allozyme studies may  
15 mask underlying sequence variation. The results seen in these studies therefore might be  
16 because of the markers chosen by the investigators.

17 In this study, we examine population genetic variability of *Mya arenaria* across  
18 its natural range in the NW Atlantic and portions of its introduced range in the northeast  
19 Pacific and European waters using the highly variable mitochondrial cytochrome oxidase  
20 I (COI) gene that commonly resolves phylogeographic structure in marine invertebrates  
21 (Barber *et al.* 2006; Wares 2002) including bivalves (King *et al.* 1999; May *et al.* 2006).  
22 First, we examine how populations may be geographically structured across the NW  
23 Atlantic to determine whether the distinct environments and biogeographic provinces

1 partition softshell clams into genetically distinct regional stocks. Second, we compare  
2 NW Atlantic to populations in the NE Pacific and NS Europe. *M. arenaria* was  
3 introduced recently to both of these locations; we can examine the geographic origins of  
4 these populations and the effects of recent introduction on genetic diversity. The results  
5 of this study have implications for management of softshell clams in New England, in  
6 addition to insights gained about historical extinction and colonization events of *M.*  
7 *arenaria* with reference to biogeographic boundaries and glaciation.

8

## 9 **METHODS**

### 10 *Sampling and sequencing*

11 Juvenile and adult *Mya arenaria* (N = 212) were collected between 2001 and  
12 2006 from 12 locations: one northeast Pacific (NE Pacific) site (n = 20), ten NW Atlantic  
13 sites (n = 177), and one North Sea, Europe (NS Europe) site (n = 15) (Table 1). Most *M.*  
14 *arenaria* were frozen after collection to prevent DNA degradation, and then transferred to  
15 70-95% ethanol for at least 24 hours prior to DNA extraction to improve the success of  
16 DNA extractions. Some individuals were preserved directly in ethanol without freezing.  
17 For clams <1cm total length, we used the entire clam for DNA extraction. For larger  
18 clams we extracted DNA from small fibers of adductor muscle tissue. All DNA  
19 extractions were performed with a 10% Chelex® (BioRad) solution following Walsh *et*  
20 *al.* (1991). A 661 bp fragment of the mitochondrial cytochrome oxidase subunit-I gene  
21 (COI) was amplified via polymerase chain reaction (PCR) using the primers HCO-2198  
22 and LCO-1490 (Folmer *et al.* 1994). PCR occurred in 25 µl reactions with 2.5 µl of 10x  
23 buffer, 2 µl MgCl<sub>2</sub> (25 mM), 2.5 µl dNTPs (8 mM), 1.25 µl of each 10 mM primer, 1 µl

1 of template, and 0.625 units of Amplitaq™ (Applied Biosystems, Inc., Foster City, CA).  
2 Hot-start thermocycling parameters were as follows: initial denaturation 94°C (3 min);  
3 followed by 38 cycles of 94°C (30 s), 50°C (30s), 72°C (45s); then a final extension of  
4 72°C (10 min).

5 PCR products were visualized on 1% agarose PAC 1% sodium hydroxide and  
6 boric acid gels. They were then prepared for sequencing by digestion in 0.5 units of  
7 Shrimp Alkaline Phosphatase and 5 units of exonuclease per 5 µl of PCR product,  
8 incubated at 37°C for 30 min followed by 80°C for 15 min. Sequencing reactions were  
9 performed for both forward and reverse strands using BigDye (Applied Biosystems Inc.,  
10 Foster City, CA) terminator chemistry, and visualized on an ABI 377 (Applied  
11 Biosystems, Inc., Foster City, CA) following isopropanol precipitation according to  
12 manufacturer instructions. Complementary strands for each sample were proofread and  
13 aligned in SEQUENCHER v4.0 (GeneCode, Ann Arbor, MI), and translations confirmed  
14 using MACCLADE v4.05 (Maddison and Maddison 2002).

### 15 ***Genetic analyses for all sites***

16 To explore regional distribution of genetic diversity in *Mya arenaria*, we  
17 calculated haplotype diversity ( $h$ ), nucleotide diversity ( $\pi$ ), and the population parameter  
18 theta ( $\theta_s$ , where  $\theta = 2N\mu$ , estimated from the number of segregating sites, Watterson  
19 1975) for all populations using ARLEQUIN v3.1 (Excoffier et al. 2005). To explore  
20 patterns of phylogeographic structure we constructed a minimum-spanning tree using the  
21 MINSNET algorithm as employed in ARLEQUIN. Frequency of haplotypes was then  
22 plotted against geography for NW Atlantic populations.



1           To further explore geographic genetic structure, we investigated genetic partitions  
2 in AMOVA as implemented in ARLEQUIN. Values of  $\Phi_{ST}$  were calculated with statistical  
3 significance determined by 20,000 random permutations. Analyses were run both  
4 unstructured (one region), and partitioned into two regions, (scenario A = NW Atlantic +  
5 NE Pacific and NS Europe; scenario B = NW Atlantic + NS Europe and NE Pacific) or  
6 three regions (NW Atlantic, NE Pacific, NS Europe). Patterns of genetic structure were  
7 similarly estimated within the NW Atlantic by excluding NE Pacific and NS Europe  
8 populations. NW Atlantic analyses were assuming one region or three regions (north of  
9 Cape Cod, Cape Cod, and South of Cape Cod). Pairwise  $F_{ST}$  values were also calculated  
10 among all populations with 20,000 permutations used to establish significance. To adjust  
11 significance levels for multiple comparisons, the Benjamini and Yekutieli (2001)  
12 modification of the Bonferroni correction was employed as standard Bonferroni  
13 corrections have been shown to be excessively conservative (Narum 2006).

#### 14 ***Genetic analyses of NW Atlantic only***

15           To determine whether our sampling in the NW Atlantic was sufficient to collect  
16 most of the haplotypes present, we constructed a rarefaction curve. We used data only  
17 from populations in the NW Atlantic and used equations appropriate for population  
18 sample sizes much smaller than the total sample size (Heck et al. 1975). In addition, we  
19 estimated the expected number of haplotypes in the NW Atlantic using two indices, the  
20 Chao1 index (Chao 1984) and the abundance-based coverage estimator (ACE) (Chao and  
21 Lee 1992).

22           We tested for neutrality by calculating Fu's  $F_S$  statistics (Fu 1997), which  
23 establishes whether non-neutrality might be due to population growth and range

1 expansion. To further explore the possibility of recent demographic or spatial population  
2 expansion, we used mismatch distributions, which compares the expected and observed  
3 number of differences between pairs of haplotypes under a null model of population  
4 expansion (Ray *et al.* 2003; Rogers and Harpending 1992). Finally, we used Bayesian  
5 Markov Chain Monte Carlo analysis of molecular sequences to produce a Bayesian  
6 skyline plot using BEAST v1.4 and TRACER v1.4 (Drummond and Rambaut 2006), which  
7 plots population size over time and estimates the approximate time since population  
8 expansion (Drummond et al. 2002; Drummond et al. 2005). We used MODELTEST  
9 (Posada and Crandall 1998) implemented in PAUP\* ver.4.0b10 (Swofford 1998) to find  
10 the most appropriate model for BEAST (Hasegawa, Kishino, and Yano Model). We  
11 produced the skyline plot based on five groups using a strict molecular clock, which  
12 assumes a global clock rate with no variation among lineages within a tree. We ran the  
13 program using default priors for Bayesian skyline analysis for 50 million generations, and  
14 repeated the program run four times to increase effective sample size and assure that  
15 results were converging. Results reported in mutational units were converted to years for  
16 the skyline plot by assuming a molluscan-specific COI divergence rate of either 1 % per  
17 million years (% Myr<sup>-1</sup>) for all COI sites or 5 % Myr<sup>-1</sup> for third positions alone (Marko  
18 2002). As all variation was located third position sites (see Results), using these values  
19 provides a five-fold range to account for error associated with molecular clock  
20 estimations.

21

## 22 **RESULTS**

### 23 *Analyses for all sites*

1           A total of 661 bp of COI was collected from 212 individuals, yielding only 27  
2 unique haplotypes that differed by one or two nucleotide substitutions, all in the third  
3 codon position and silent with one exception, haplotype I (Table 2), where serine was  
4 substituted for proline. There was one dominant haplotype (A) found at all of the  
5 locations sampled, ranging in frequency from 0.53 to 1.00 for individual populations,  
6 with an overall frequency of 0.79. Of the remaining 26 haplotypes, only five were found  
7 more than once in a single population, ranging in frequency from 0.10 to 0.27  
8 (haplotypes B-F). Two private haplotypes, defined as haplotypes that occur more than  
9 one time in only one site (Slatkin 1985), were found in the NS Europe (Haplotype E) and  
10 the NE Pacific (Haplotype G).

11           Haplotype diversity ( $h$ ) in the NW Atlantic ranged from 0.178 to 0.583 (Table 1).  
12 Comparable levels of haplotype diversity occurred in NS Europe ( $h = 0.648$ ) and NE  
13 Pacific ( $h = 0.574$ ) populations. Nucleotide diversity ( $\pi$ ) was low for all NW Atlantic  
14 populations, ranging from 0.0003 to 0.0014 (Table 1), while  $\pi = 0.0010$  in NS Europe  
15 and  $\pi = 0.0012$  in NE Pacific. Theta ranged from 1.85 to 0.549 in the NW Atlantic and  
16 was 0.615 and 1.13 in NS Europe and NE Pacific, respectively (Table 1). There were no  
17 clear geographic patterns in genetic diversity measures.

18           Consistent with the low nucleotide diversity, the minimum spanning tree of *Mya*  
19 *arenaria* COI haplotypes revealed a star-shaped phylogeny (Figure 1). The dominant  
20 haplotype (A) was located at the center of the star with 21 of 26 remaining haplotypes  
21 differing from haplotype A by a single nucleotide substitution. Five haplotypes differed  
22 by 2 mutational steps (haplotypes J, O, T, U, AA). No geographic structure is evident in  
23 the minimum spanning tree topology and NE Pacific and NS Europe haplotypes are

1 scattered throughout the tree. Plotting the frequency of the 6 non-singleton haplotypes  
2 revealed no clear phylogeographic patterns in the NW Atlantic except for the lack of  
3 genetic diversity in Nova Scotia (Figure 2).

4 Results from AMOVA found that the majority of variability was within  
5 populations, regardless of any regional partitions imposed on the locations sampled  
6 (Table 3). Examining all data, assuming no *a priori* regional structure, AMOVA  
7 analyses indicate the presence of subtle genetic structure ( $\Phi_{ST} = 0.027$ ,  $p < 0.005$ ) with  
8 3% of the variation between populations and 97% of the variation within populations.  
9 Imposing regional partitions comparing North American (NW Atlantic + NE Pacific) and  
10 European (NS Europe) populations produced  $\Phi_{ST} = 0.16$  ( $p < 0.005$ ) with 16% of  
11 variation among regions, 0.010% among populations within regions, and 84.1% of the  
12 variation within populations. Comparing North Atlantic (NW Atlantic + NS Europe) and  
13 Pacific (NE Pacific) populations,  $\Phi_{ST} = 0.34$  ( $p < 0.005$ ) with 1.4% of variation among  
14 regions, 2.4% among populations within regions, and 96% of the variation within  
15 populations. Imposing three regional partitions (NW Atlantic, NE Pacific, and NS  
16 Europe),  $\Phi_{ST} = 0.090$  ( $p < 0.005$ ) with 91% of the variation within populations, 9.6%  
17 among regions, and no variation among populations within regions. Results are  
18 summarized in Table 3.

19 Within the NW Atlantic, AMOVA analyses revealed no significant genetic  
20 structure with 99.9% of all genetic variation contained within populations (Table 3).  
21 Similarly, when locations were grouped into regions north of Cape Cod, Cape Cod, and  
22 south of Cape Cod (Table 1),  $\Phi_{ST} = 0.0010$  (n.s.,  $p = 0.43$ ) with 99.9% of all genetic  
23 variation contained within populations and no significant variation among regions or

1 among populations within regions. After correction for multiple comparisons, significant  
2 pairwise  $F_{ST}$  values were observed between NS Europe and 4 populations from the NW  
3 Atlantic ( $F_{ST} = 0.12$  to  $0.22$ ;  $p < 0.05$ ) (Table 4). Within the NW Atlantic there were no  
4 significant pairwise  $F_{ST}$  values.

5 Both NS Europe and NE Pacific had one haplotype each that was not shared with  
6 the NW Atlantic. A rarefaction curve of NW Atlantic haplotypes constructed using an  
7 equation appropriate for our system (Heck *et al.* 1975) did not asymptote, suggesting this  
8 may result from inadequate sampling. Chao1 and ACE indices both predicted that more  
9 than 100 haplotypes were present in the NW Atlantic (125 and 102, respectively); this  
10 further suggests that our sampling was not sufficient to characterize all of the diversity  
11 present.

12 Fu's  $F_S$  statistic was significantly large and negative for 9 of the 12 populations  
13 (Table 1) suggesting non-equilibrium dynamics. Mismatch analysis revealed no  
14 significant deviation from the null model of population expansion, and the raggedness  
15 index confirmed a left-shifted unimodal distribution characteristic of population  
16 expansion (Table 1, Figure 5). Further support for a range expansion comes from the  
17 Bayesian skyline plot, indicating that *Mya arenaria* populations in the NW Atlantic were  
18 much smaller in recent history (Figure 4). The plot indicates that a pronounced 100-fold  
19 demographic expansion event took place in NW Atlantic populations of *M. arenaria*  
20 approximately 75,000 or 15,000 years ago. These values correspond to 0.00035  
21 mutational units and a mutation rate of 0.005 to 0.025 mutations  $\text{Myr}^{-1}$ , based on the  
22 clock calibrations of Marko (2002).

23

1 **DISCUSSION**

2 *Patterns in the Northwest Atlantic*

3 Genetic analysis of *Mya arenaria* populations across the Northwest Atlantic  
4 revealed an absence of genetic structure. This result stands in contrast to previous studies  
5 of other marine species that show pronounced phylogeographic structure in the NW  
6 Atlantic (see Wares 2002 for a review), particularly among populations along the  
7 northern and southern coastline of the NW Atlantic (e.g. Brown *et al.* 2001; Dahlgren *et*  
8 *al.* 2000; Smith *et al.* 1998; Waldman *et al.* 1996). The lack of genetic diversity and  
9 limited genetic structure reported here echoes previous genetic studies on softshell clams  
10 (Caporale *et al.* 1997; Lasota *et al.* 2004; Morgan *et al.* 1978). The concordant results  
11 among these multiple studies provide strong evidence for lack of genetic boundaries in  
12 *M. arenaria*.

13 One potential explanation for the observed pattern is that *Mya arenaria* is  
14 characterized by high levels dispersal and gene flow, as suggested by Lasota *et al.*  
15 (2004). This species has a planktonic larval phase that can last up to three weeks in the  
16 water column, during which time the larva feeds on algae and is transported by currents  
17 (Abraham and Dillon 1986). Transport via strong currents along the NW Atlantic could  
18 promote high dispersal and gene flow among NW Atlantic populations, with further  
19 mixing augmented by human-mediated transport (although this view must be tempered  
20 by the observation of low levels of genetic diversity). Given that

21 
$$N_e = \frac{N}{1 - F_{ST}}$$

22 where  $N_e$  is effective population size and  $N$  is actual population size (Wright 1943), as  
23 gene flow increases and  $F_{ST}$  approaches zero, then  $N_e$  should approach  $N$ . Commercial

1 landings of this species totaled 7.9 million pounds in 1984 (Abraham and Dillon 1986),  
2 suggesting  $N$  exceeds 10 million individuals (assuming an average of 4 clams  $\text{lb}^{-1}$ ).  
3 However, if gene flow is high,  $N_e \approx N$ , and  $N$  is extremely large, then genetic drift should  
4 be extremely low, preserving high levels of genetic diversity. This expectation is  
5 contradicted by the minimum spanning tree and the minimal genetic diversity measures.  
6 Thus, while high dispersal may contribute to genetic homogeneity in *M. arenaria*, other  
7 processes must also be acting to reduce diversity within this species.

8         A second process suggested by Lasota et al. (2004) that could contribute both to  
9 limited genetic structure and low genetic diversity across the NW Atlantic is a recent  
10 population expansion event. Evidence for a demographic expansion comes from the star-  
11 like phylogeny, low genetic diversity measures, the significantly large and negative  
12 values of  $F_u$ 's  $F_s$ , and the mismatch distribution and raggedness index values that do not  
13 differ from a model of population expansion. Further evidence of demographic  
14 expansion comes from the Bayesian skyline plot produced using NW Atlantic data  
15 (Figure 4), suggesting a rapid 100 fold increase in population size. Although the lack of a  
16 species-specific clock and associated error requires cautious interpretation of age  
17 estimates, even increasing or decreasing the assumed rates by an order of magnitude puts  
18 this expansion squarely in the Pleistocene.

19         Based on the geology of the NW Atlantic, a recent range expansion is required to  
20 achieve contemporary distributions of *Mya arenaria*. During Plio-Pleistocene glacial  
21 cycles, glaciers covered most of the NW Atlantic coastline with the southern limit of  
22 glaciation near Cape Cod, Massachusetts (Cronin 1988; Shackleton *et al.* 1984). Glacial  
23 build-up would displace *M. arenaria* populations from much of its contemporary range,

1 and the lowering of sea levels by as much as 130 m (Porter 1989) would likely reduce  
2 available estuarine habitat as the shallow continental shelf of the NW Atlantic became  
3 exposed. After glaciers subsided, individuals from southern and potentially northern  
4 refugia would spread into previously unavailable glaciated habitats (Wares 2002; Wares  
5 and Cunningham 2001). Reduced genetic variation, such as that observed in *M.*  
6 *arenaria*, is common in reinvaded habitats following Pleistocene glacial periods (see  
7 Hewitt 2000 for review), as is reduced genetic variation in formerly glaciated regions of  
8 the NW Atlantic compared to glacier-free regions of Europe (Wares 2002).

9         An alternative explanation for the signal of demographic expansion is recovery  
10 following a selective sweep, where selectively advantageous haplotypes go to fixation  
11 (e.g. Berry et al. 1991). Recovery from a recent selective sweep could also yield a star-  
12 like phylogeny and lower genetic diversity that would inflate genetic similarity and gene  
13 flow estimates among populations, resulting in genetic patterns very similar to those  
14 observed during a population expansion or recovery from a bottleneck (Tajima 1989).  
15 However, lowered genetic diversity in NW Atlantic populations, characteristic of  
16 departures from neutral expectations in stable populations, are reported in this study as  
17 well as studies focusing on allozymes (Lasota *et al.* 2004; Morgan *et al.* 1978) and  
18 nuclear sequence data (Caporale *et al.* 1997). For selective sweeps to occur in multiple  
19 unlinked mtDNA and nuclear markers seems unlikely, particularly given that the signal  
20 of demographic expansion occurs during the Pleistocene. Furthermore, similarly reduced  
21 patterns of genetic diversity are also seen in co-distributed NW Atlantic populations of  
22 the ocean quahog, *Arctica islandica* (Dahlgren et al. 2000), *Mercenaria mercenaria*  
23 (Baker *et al.* 2008), suggesting that a common physical process is at work (Awise 2000).



1 Thus, while a selective sweep or purifying selection cannot be totally excluded,  
2 postglacial expansion is likely a more parsimonious explanation for the observed  
3 patterns, especially given the timing of demographic expansion suggested by the skyline  
4 plot (Figure 4).

5 ***Patterns across the Northwest Atlantic, European waters and the northeast Pacific***

6 While populations in the NW Atlantic had minimal genetic structure, the strongest  
7 signal of regional genetic structure comes from comparing NW Atlantic populations to  
8 NS Europe. AMOVA results with NS Europe, NE Pacific, and NW Atlantic defined as  
9 separate regions resulted in a significant  $\Phi_{ST}$  of 0.0903 (Table 3). Furthermore, of 11  
10 pair-wise comparisons, a total of 4 pair-wise  $F_{ST}$  values among NS Europe and the NW  
11 Atlantic were significant (Table 2). This result indicates that despite being introduced  
12 from NW Atlantic populations, there are significant genetic differences among these  
13 regions.

14 Pacific and European populations also contained private haplotypes (Figure 1).  
15 The presence of unique haplotypes found multiple times in a single population suggests  
16 genetic isolation (Hartl and Clark 1997). Given the geographic separation of the NW  
17 Atlantic, Pacific and European waters, observation of genetic isolation should be  
18 expected. This result is surprising, however, given that both NE Pacific and NS Europe  
19 populations are thought to have been introduced from the NW Atlantic within the last 150  
20 and 400 years, respectively. This seems a particularly short amount of time for local  
21 variation to evolve *in situ* and increase in frequency sufficiently to be detected by  
22 sampling 15-20 individuals. In contrast, no private haplotypes were detected in sampling  
23 of 177 individuals from the entire range of *Mya arenaria* in the NW Atlantic.

1           We constructed a rarefaction curve plotting number of haplotypes versus number  
2 of samples. Although the slope shallows, it did not asymptote over the range of number  
3 of individuals sampled (Figure 3) indicating that sampling 177 NW Atlantic individuals  
4 was insufficient to detect the rare haplotypes that founded NE Pacific and NS Europe  
5 populations. Paradoxically, if they are in very low frequencies in the NW Atlantic, it  
6 seems unlikely that they would be introduced to the Pacific and European waters. One  
7 interpretation of this result is that these private haplotypes may represent ancestral  
8 polymorphism from relic populations that survived the Pliocene extinction events in the  
9 Pacific and European waters. If, however, these were relic haplotypes, genetic  
10 divergence in excess of one mutational step would be expected, as a single 3<sup>rd</sup> position  
11 substitution in 661 bases over a minimum period of 2 million years would yield a  
12 substitution rate of 0.076% per million years, nearly two orders of magnitude lower than  
13 the 5% per million years reported by Marko (2002) for another bivalve. Thus, the  
14 minimal divergence of these haplotypes suggests that these are indeed introductions of  
15 rare NW Atlantic haplotypes.

#### 16 ***Management implications***

17           One of the current management strategies for NW Atlantic softshell clam  
18 populations is to increase local abundances by seeding flats with hatchery-reared juvenile  
19 clams. As has been demonstrated in fish, this approach has the potential to decrease or  
20 alter genetic variability by introducing non-native genotypes that may affect the fitness of  
21 both introduced and native stocks (Hansen 2002).

22           The low genetic diversity and minimal genetic structure observed in COI  
23 combined with previous results showing limited genetic diversity in *Mya arenaria* using

1 nuclear sequences (Caporale et al. 1997) and allozymes (Lasota *et al.* 2004; Morgan *et al.*  
2 1978) suggests that brood stock origins may not be critical to maintaining current levels  
3 of genetic diversity and patterns of genetic structure across the NW Atlantic. Results of  
4 this study suggest that brood stocks should be quite similar regardless of their locality,  
5 and their resulting juvenile seed clams are likely interchangeable across geography.

6         Although we did not detect genetic structure using the mitochondrial COI gene,  
7 there may yet be other genes that might show variability within the NW Atlantic. Given  
8 that multiple previous genetic studies of *Mya arenaria* showed low genetic variability,  
9 however, the odds of distinguishing locally adapted stocks using neutral genetic variation  
10 is remote. Local adaptation has been noted in *M. arenaria* for toxin resistance (Connell  
11 *et al.* 2007), and there may very well be important regional genetic differences among  
12 clam stocks in non-neutral genes, even though such differences may be difficult or  
13 impossible to detect using genetic methods.

14         Although genetic methods can be extremely informative when significant  
15 geographic subdivisions are detected, issues surrounding ancestral polymorphism and  
16 non-neutral processes make it nearly impossible to make strong inferences when no  
17 genetic differentiation is observed (Hedgecock *et al.* 2007). As such, the results of this  
18 study cannot be interpreted as the genetic equivalence of all *Mya arenaria*. Instead, our  
19 results indicate that because of the demographic history of this species, neutral genetic  
20 markers are likely to be uninformative in distinguishing regional stocks. Given that  
21 ecological methods have succeeded in demonstrating local adaptation (Connell *et al.*  
22 2007) where genetics has failed, managing using precautionary principles suggests that  
23 seeding from local stocks should be preferred, if possible, particularly when

1 physiological or immunological differences have been demonstrated with non-genetic  
2 methods.

3

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1 **FIGURE CAPTIONS**

2 **Figure 1.** Unrooted minimum-spanning tree depicting the relationship of the 27  
3 mitochondrial COI haplotypes from 212 individuals, collected from 12 sites in the NW  
4 Atlantic (white; n = 177), one site in the North Sea, Europe (black; n = 15), and one site  
5 in the northeast Pacific (gray; n = 20). Line distance between circles corresponds to the  
6 number of nucleotide differences (1 or 2). Each circle represents a unique haplotype, and  
7 the area of each circle corresponds to the number of individuals with that haplotype (the  
8 smallest circles are singletons). Letters correspond to Table 2.

9 **Figure 2.** Distribution of mitochondrial COI haplotypes for *Mya arenaria* in the NW  
10 Atlantic. Gray shades are unique haplotypes found in only one location; patterns are  
11 haplotypes shared among two or more locations. See Table 1 for site abbreviations and  
12 sample sizes.

13 **Figure 3.** Rarefaction curve constructed using data from *Mya arenaria* populations in  
14 the NW Atlantic. Number of haplotypes = 25; number of individuals sampled = 177.

15 **Figure 4.** Bayesian skyline plot derived from *Mya arenaria* NW Atlantic sequences.  
16 The solid line is the median estimate of population size, and the shaded region shows  
17 95% highest posterior density limits (see Drummond *et al.* 2005). The dashed line  
18 indicates where in time the population expanded. Axis A is the years before present  
19 when a 1% per million years divergence rate is used; Axis B is the years before present  
20 when a 5% per million years divergence rate is used (see text for details). The gray  
21 arrows on each axis represent the approximate timing of the last glacial maximum. The y-  
22 axis is population size, with N = historical population size. ybp = years before present.

- 1 **Figure 5.** Frequency distributions of pairwise number of mutational differences between
- 2 individuals for all NW Atlantic samples combined.

**Table 1.** Sampling and genetic information

Group	Population	Abrv	Latitude/Longitude	n	<i>h</i>	$\pi$	$\theta_s$	$F_s$	P(SSD)	P(Rag)	Collected by
	Antigonish, NS	NS	45° 37' N / 61° 59' W	20	0.000	0.000	-	-			D. Garbary
North of Cape	St. John, NB	NB	45° 17' N / 66° 04' W	11	0.491 ± 0.175	0.0008 ± 0.0008	1.024 ± 0.676	-2.04 (0.008)	0.10	0.05	M.J. Maltais & H. Hunt
	Pembroke, ME	ME	44° 57' N / 67° 10' W	21	0.271 ± 0.124	0.0006 ± 0.0006	1.112 ± 0.641	-2.14 (0.006)	0.35	0.60	Gulf of Maine, Inc.
	Quincy, MA	QMA	42° 17' N / 71° 02' W	22	0.178 ± 0.106	0.0003 ± 0.0004	0.549 ± 0.408	-1.97 (0.008)	0.40	0.55	C. Strasser
Cape	Barnstable, MA	BMA	41° 42' N / 70° 20' W	19	0.205 ± 0.119	0.0003 ± 0.0005	0.572 ± 0.427	-1.80 (0.008)	0.35	0.80	C. Strasser
	Mashpee, MA	MMA	41° 36' N / 70° 27' W	20	0.447 ± 0.137	0.0009 ± 0.0008	1.691 ± 0.863	-3.95 (< 0.001)	0.50	0.60	C. Strasser
	Wareham, MA	WMA	41° 47' N / 70° 00' W	25	0.430 ± 0.124	0.0009 ± 0.0009	1.854 ± 0.888	-4.90 (< 0.001)	0.35	0.60	C. Strasser
South of Cape	Stony Brook, NY	NY	40° 54' N / 73° 07' W	15	0.476 ± 0.155	0.0008 ± 0.0008	1.230 ± 0.725	-3.23 (< 0.001)	0.50	0.80	L. Davies
	Miles River, MD	MMD	38° 47' N / 76° 08' W	15	0.371 ± 0.153	0.0006 ± 0.0007	0.923 ± 0.598	-2.37 (0.003)	0.70	0.65	M. Kramer & T. Hines
	Eastern Bay, MD	EMD	38° 51' N / 76° 15' W	9	0.583 ± 0.183	0.0014 ± 0.0012	1.470 ± 0.905	-1.28 (0.046)	0.90	0.99	M. Homer & C. Dungan
Outside NWA	Newport, OR	NEP	44° 36' N / 124° 03' W	20	0.574 ± 0.121	0.0010 ± 0.0009	1.127 ± 0.652	-4.28 (0.016)	0.95	0.90	J. Chapman & J. Chapman
	Sylt, Germany	NSE	54° 55' N / 8° 21' E	15	0.648 ± 0.088	0.0012 ± 0.0010	0.615 ± 0.462	0.365 (0.549)	0.15	0.20	S. Jacobsen

Abrv = abbreviation for site used in text; n = sample size, *h* = haplotype diversity ( $\pm$  SD);  $\pi$  = nucleotide diversity ( $\pm$  SD);  $\theta_s$ , where  $\theta = 2N\mu$  estimated from the number of segregating sites,  $F_s = F_u$ 's F statistic and its associated p value. P(SSD) is probability of observing by chance a worse fit between the observed data and the mismatch distribution. P(Rag) is the probability of observing by chance a higher raggedness index than the observed index; non-significant raggedness indices indicate that the data fit a population expansion model.

**Table 2.** Haplotype distributions

Haplotype	Location												
	NS	NB	ME	QMA	BMA	MMA	WMA	NY	MMD	EMD	NEP	NSE	ALL
A	1.00 (20)	0.73 (8)	0.86 (18)	0.91 (20)	0.91 (17)	0.75 (15)	0.76 (19)	0.73 (11)	0.80 (12)	0.67 (6)	0.65 (13)	0.53 (8)	0.79 (167)
B		0.091 (1)					0.040 (1)	0.067 (1)	0.067 (1)		0.050 (1)	0.20 (3)	0.038 (8)
C			0.048 (1)							0.11 (1)	0.10 (2)		0.019 (4)
D		0.091 (1)				0.050 (1)					0.10 (2)		0.019 (4)
E												0.27 (4)	0.019 (4)
F						0.050 (1)	0.040 (1)	0.067 (1)					0.014 (3)
G											0.10 (2)		0.0094 (2)
H		0.091 (1)											0.0047 (1)
I			0.048 (1)										0.0047 (1)
J			0.048 (1)										0.0047 (1)
K				0.045 (1)									0.0047 (1)
L				0.045 (1)									0.0047 (1)
M					0.053 (1)								0.0047 (1)
N					0.053 (1)								0.0047 (1)
O						0.050 (1)							0.0047 (1)
P						0.050 (1)							0.0047 (1)
Q						0.050 (1)							0.0047 (1)
R							0.040 (1)						0.0047 (1)
S							0.040 (1)						0.0047 (1)
T							0.040 (1)						0.0047 (1)
U							0.040 (1)						0.0047 (1)
V								0.067 (1)					0.0047 (1)
W								0.067 (1)					0.0047 (1)
X									0.067 (1)				0.0047 (1)
Y									0.067 (1)				0.0047 (1)
Z										0.11 (1)			0.0047 (1)
AA										0.11 (1)			0.0047 (1)
Total individuals	20	11	21	22	19	20	25	15	15	9	20	15	212

Haplotype frequencies are given for each locality sampled, with the number of individuals per haplotype in parentheses. Site abbreviations are given in Table 1. ALL column is sum of all sites sampled.



**Table 3.** Results of AMOVAs

	Among regions					Among populations within regions					Within populations				
	df	Var.	% Var.	$\Phi_{CT}$	p value	df	Var.	% Var.	$\Phi_{SC}$	p value	df	Var.	% Var.	$\Phi_{ST}$	p value
<i>All Locations</i>															
1 region						11	0.0062	2.7			200	0.23	97	0.027	0.0013
2 regions A	1	0.043	16	0.16	0.084	10	0.00003	0.01	0.0001	0.27	200	0.23	84	0.16	0.0011
2 regions B	1	0.0032	1.4	0.14	0.17	10	0.0056	2.4	0.024	0.23	200	0.23	96	0.34	0.0014
3 regions	2	0.024	9.6	0.960	0.045	9	-0.0014	-0.54	-0.0060	0.43	200	0.23	91	0.090	0.0011
<i>NWA Only</i>															
1 region						9	0.00008	0.04			167	0.20	100	0.00040	0.43
3 regions	2	0.00043	0.21	0.0021	0.19	7	-0.0002	-0.12	-0.0012	0.43	167	0.20	100	0.0010	0.43

Results of AMOVAs testing for geographic structure across all locations and in the NWA only. Regions were as follows for *All Locations* analyses. 2 regions A = North American (NWA+NEP) and European (NSE) populations; 2 regions B = Atlantic (NWA+NSE) and Pacific (NEP); 3 regions = NWA, NEP, and NSE. For NWA only, 3 regions = North of Cape, Cape Cod, and South of Cape. p-values are associated with  $\Phi$  values.

**Table 4.** Pairwise population comparisons,  $F_{ST}$ 

	NSE	NS	NB	ME	QMA	BMA	MMA	WMA	NY	MMD	EMD	NEP
NSE	-	<b>0.00066</b>	0.096	<b>0.0</b>	<b>0.00030</b>	<i>0.0013</i>	<b>0.00030</b>	<i>0.0093</i>	0.11	0.11	0.10	<i>0.0079</i>
NS	0.22*	-	0.036	1.0	1.0	0.23	1.0	0.50	0.026	0.62	0.023	0.11
NB	0.065	0.058*	-	0.45	0.22	0.41	0.86	0.67	0.85	0.77	0.69	0.74
ME	0.14*	-0.0024	0.0084	-	0.34	0.83	0.37	0.93	0.45	0.60	0.37	0.20
QMA	0.18*	-0.0045	0.028	0.00079	-	0.65	0.13	0.47	0.16	0.32	0.083	<i>0.0063</i>
BMA	0.170	0.003	0.0056	-0.0015	0.00054	-	0.99	0.47	0.23	0.40	0.12	0.22
MMA	0.12*	0.000	-0.018	0.00055	0.0026	-0.0078	-	0.56	0.88	0.81	0.39	0.42
WMA	0.099	0.000	-0.010	-0.010	0.0049	0.0013	-0.0014	-	0.83	0.75	0.80	0.48
NY	0.084	0.020*	-0.023	0.0034	0.012	0.0066	-0.013	-0.015	-	1.0	0.69	0.31
MMD	0.092	0.020	-0.023	0.000	0.0088	0.0047	-0.0033	-0.010	-0.019	-	0.64	0.30
EMD	0.052	0.097*	-0.024	0.012	0.060	0.047	0.013	-0.020	-0.010	-0.0060	-	0.60
NEP	0.100	0.045	-0.023	0.013	0.039	0.022	0.0091	-0.0011	0.013	0.012	-0.015	-

Below diagonal: population pairwise  $F_{ST}$  values. \* indicates that the value is significant at the  $p = 0.05$  level. Above diagonal: p value for  $F_{ST}$  values. Bold values remained significant after Bonferroni correction; italicized values became insignificant after correction.









