

1 Subsurface observations of white shark predatory behaviour using an autonomous underwater  
2 vehicle

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11 Running headline: Tracking white sharks with an AUV

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24 **Abstract**

25

26 Investigations of animal habitat use and behaviour are important for understanding the ecology  
27 of animals and are vital for making informed conservation decisions. Most of what is known  
28 about shark behaviour comes from direct observations at shallow depths, captive studies, baited  
29 and chance encounters, and inferences from tracking and tagging data. Over the course of the last  
30 two decades, new technologies have been developed to track the movements of marine animals  
31 over multiple spatial and temporal scales, but they do little to reveal what these animals are  
32 actually doing. It is well established that the white shark, *Carcharodon carcharias*, is a top  
33 predator of marine mammals and fishes, but virtually all published observations of white shark  
34 predatory behaviour are based on surface interactions with pinnipeds at well-studied white shark  
35 aggregation areas. Guadalupe Island off the coast of Mexico is a seasonal aggregation site for  
36 white sharks, which are presumably drawn to the island to feed upon pinnipeds, yet predation has  
37 rarely been observed. In this study, an Autonomous Underwater Vehicle (AUV) was used to test  
38 this technology as a viable tool for directly observing the behaviour of marine animals and to  
39 investigate the behaviour, habitat use, and feeding ecology of white sharks off Guadalupe Island.  
40 During the period 31 October – 7 November 2013, six AUV missions were conducted to track  
41 one male and three female white sharks, ranging in estimated total length (TL) from 3.9-5.7 m,  
42 off the northeast coast of Guadalupe Island. In doing so, the AUV generated over 13 hours of  
43 behavioral data for white sharks at depths up to 90 m. The white sharks remained in the area for  
44 the duration of each mission and moved through broad depth and temperature ranges from the  
45 surface to 163.8 m (mean  $\pm$  SD = 112.5  $\pm$  40.3 m) and 7.9-27.1 °C (mean  $\pm$  SD = 12.7  $\pm$  2.9 °C),  
46 respectively. Video footage and AUV sensor data revealed that two of the white sharks being

47 tracked and eight other white sharks in the area approached (n=17), bumped (n=4), and bit (n=9)  
48 the AUV during these tracks. In this study, it was demonstrated that an AUV can be used to  
49 effectively track and observe the behaviour of a large pelagic animal, the white shark. In doing  
50 so, the first observations of subsurface predatory behaviour were generated for this species. At its  
51 current state of development, this technology clearly offers a new and innovative tool for  
52 tracking the fine-scale behaviour of marine animals.

53

54 **Key Words:** *Carcharodon carcharias*, behaviour, AUV, Guadalupe Island, REMUS

## 55 **Introduction**

56

57 Investigations of animal habitat use and behaviour are important for understanding the ecology  
58 of animals and are vital for making informed conservation decisions. In the marine environment,  
59 it is very difficult to directly observe the behaviour of large animals that range widely, such as  
60 marine mammals and large pelagic fishes, including sharks (Nelson, 1977). This is particularly  
61 true for feeding behaviour because predation events are rarely witnessed. Indeed, much of what  
62 is known about the foraging behaviour of sharks is derived from a limited number of direct  
63 observations in shallow water (e.g. Tricas, 1985), from submersibles (e.g., Nelson et al., 1986),  
64 and from animal-borne imaging (e.g., Marshall, 1998). Given the paucity of such observations,  
65 the feeding ecology of large oceanic animals has been inferred from tagging and tracking data  
66 (e.g., Skomal and Benz, 2004), stomach contents (Cortés, 1997), and fatty acid and stable isotope  
67 analyses (Iverson et al., 2004; Estrada et al., 2006; Hussey et al., 2012). While such information  
68 can be useful for designating critical habitat and trophic relationships, these studies reveal little  
69 about animal behaviour.

70

71 The foraging behaviour of the white shark, *Carcharodon carcharias*, is well studied because this  
72 is one of the few pelagic sharks that is predictably drawn to aggregation sites to feed. Numerous  
73 studies have documented surface attacks by this species on pinnipeds off California, South  
74 Africa, and South Australia, firmly quantifying the ethology, environmental conditions, and prey  
75 species associated with these feeding events (Ainley et al., 1981; Klimley, 1984; Tricas and  
76 McCosker, 1984; Klimley, 1985; Ainley et al, 1985; Tricas, 1985; McCosker, 1985; Klimley et  
77 al., 1992; Klimley et al., 1996; Anderson et al, 1996a; Anderson et al, 1996b; Pyle et al., 1996;

78 Strong et al., 1996; Martin et al., 2005; Martin et al., 2009; Hammerschlag et al., 2006; Laroche  
79 et al., 2008; Fallows et al., 2012; Hammerschlag et al., 2012).

80

81 It is also been well-established that white sharks exhibit deep diving behaviour associated with  
82 coastal as well as ocean basin-scale movements (Boustany et al, 2002; Bonfil et al., 2005; Bruce  
83 et al., 2006; Weng et al, 2007; Domeier and Nasby-Lucas, 2008; 2013; Nasby-Lucas et al, 2009;  
84 Jorgenson et al, 2010; Duffy et al., 2012; Francis et al., 2012). The extent to which this  
85 behaviour is associated with feeding (Domeier and Nasby-Lucas, 2008; Nasby-Lucas et al, 2009)  
86 or reproduction (Jorgenson et al, 2012) remains a topic of scientific debate simply because there  
87 are no observations of white shark behaviour at depth.

88

89 In addition to the aggregation sites noted above, Guadalupe Island off the coast of Mexico is a  
90 seasonal host to white sharks and three species of pinnipeds, including Guadalupe fur seals  
91 (*Arctocephalus townsendi*), northern elephant seals (*Mirounga angustirostris*), and California sea  
92 lions (*Zalophus californianus*; Domeier et al., 2012). Presumably, the white sharks are drawn to  
93 the island to feed upon these animals, yet predation on these pinnipeds has rarely been observed  
94 (Domeier and Nasby-Lucas, 2007; Domeier, 2009; Hoyos-Padilla, personal communication). It  
95 has been hypothesized that white sharks prey upon pinnipeds at greater depths at Guadalupe and  
96 acoustic telemetry data from several adult white sharks has revealed deep diving behaviour  
97 (Hoyos-Padilla, 2009). These data suggest that white sharks take advantage of great underwater  
98 visibility to search for seals in deep water adjacent to seal colonies so as to ambush and disable  
99 pinnipeds (by removing the hind flippers), and following the carcass to the surface (Hoyos-  
100 Padilla, 2009). Although 10 seal predation events of this nature have been recorded at the surface

101 (shark feeding on the carcass) in the past six years, they have yet to be observed underwater  
102 (Hoyos-Padilla, personal communication).

103

104 Over the course of the last two decades, new technologies have been developed to track the  
105 movements of marine animals over multiple spatial and temporal scales. Although these  
106 technologies have shown remarkable movements (e.g., Skomal et al., 2009), they do little to  
107 reveal what these animals are actually doing. The use of Autonomous Underwater Vehicles  
108 (AUV) has led to the discovery of unique geological, geochemical and biological phenomena,  
109 and to furthering our understanding of many important natural processes. Acting as underwater  
110 drones, these vehicles can provide data that are virtually impossible to collect with conventional  
111 techniques. Conceivably, an AUV may provide the optimal, economical platform to track and  
112 image the behaviour of marine animals at depths beyond standard applications. The first efforts  
113 to use an AUV to track a marine animal were conducted by Clark et al. (2013) when they  
114 successfully followed a leopard shark off the coast of California. In doing so, these authors  
115 demonstrated that an AUV could be used to track the coarse movements of a marine animal.

116

117 The REMUS (Remote Environmental Monitoring UnitS) AUV was initially developed by the  
118 Woods Hole Oceanographic Institution for coastal mapping and monitoring. These vehicles are  
119 now used as platforms for a wide variety of oceanographic instrumentation operating at depths  
120 ranging from 0-6000 meters. They are outfitted with a Global Positioning System (GPS),  
121 wireless communication, iridium capabilities, and an inertial navigation system, which uses ring  
122 laser gyroscopes to orient the vehicle spatially and accelerometers to sense changes in speed and  
123 velocity. As a result, REMUS AUV's are now being deployed on missions ranging from

124 complex underwater mapping (Shcherbina et al., 2008) to undersea search and survey. In this  
125 study, a REMUS AUV was modified to locate, follow, and record the behaviour of white sharks  
126 off Guadalupe Island. Our objectives were not only to advance and test this technology as a  
127 viable tool for directly observing the behaviour of marine animals, but to also investigate the  
128 behaviour, habitat use, and feeding ecology of white sharks when they move vertically out of  
129 sight.

130

## 131 **Materials and Methods**

132

### 133 *Study Area*

134

135 Guadalupe Island is a volcanic island located 241 km off the west coast of Mexico's Baja  
136 California peninsula at 29° 7' N, 118° 21' W (Fig. 1). This study was conducted off the northeast  
137 coast of the island, which is characterized by an extremely narrow continental shelf with depths  
138 of 3,600 m found close to shore (Pierson, 1987) and series of deep canyons (Gallo-Reynoso and  
139 Figueroa-Carranza 2005) (Fig. 1).

140

141 This work was conducted from October 29-November 10, 2013 onboard the M/V Horizon, one  
142 of the commercial white shark diving operations working seasonally off the northeast coast of  
143 Guadalupe Island. During this time, four white sharks were tagged with an acoustic transponder  
144 (see below) while free-swimming in close proximity to the vessel and tracked with a REMUS  
145 AUV.

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147

148 *AUV Tracking*

149

150 In this study, a REMUS-100 AUV [custom built at the Woods Hole Oceanographic Institution  
151 (WHOI)] was modified to locate, follow, and videotape a tagged shark as described by Packard  
152 et al. (2013). In short, the tracking system consists of a 25 kHz transponder, which is attached to  
153 the shark, and the REMUS-100 vehicle, which is rated to a maximum depth of 100 m and  
154 equipped with an omni-directional Ultra-Short BaseLine (USBL) array and navigation  
155 algorithms to perform three-dimensional autonomous tracking, following, and filming of a  
156 randomly moving target (i.e., shark).

157

158 Each shark was tagged at the base of the dorsal fin with the transponder, which was 7.6 cm in  
159 diameter, 38 cm long, slightly positively buoyant (Fig. 2) and tethered to an intramuscular dart;  
160 the transponder was equipped with a depth sensor rated to 100 m. For two missions, a neutrally  
161 buoyant WHOI-built camera was affixed to the transponder to record behavioral observations  
162 from the perspective of each tracked shark. After tagging, the REMUS was launched  
163 immediately and given an initial position based on the assumed shark position. The vehicle was  
164 programmed to dive, immediately orient itself in the direction of the shark, and interrogate (ping)  
165 the transponder every three seconds while listening for replies. The transponder would then  
166 respond with two replies. From the first reply, the vehicle estimated range and bearing to the  
167 shark and the second reply provided depth of the shark (Kukulya et al., 2015). The AUV was  
168 programmed to match the depth of the shark so as to maximize the probability of capturing  
169 behavioral footage on one of its six high definition video cameras (see below). The vehicle

170 combined the relative position of the target with the known position of the vehicle to provide  
171 accurate latitude, longitude, depth, and time data for the shark over the duration of each mission.  
172 Once the vehicle localized the shark's position, it estimated the animal's track, course, and  
173 speed. Using continual updates, the vehicle autonomously re-planned the mission path to  
174 approach the tagged shark from behind, and eventually pass the animal in a pre-planned, user-  
175 defined orientation. The AUV was programmed to follow the transponder, increase its speed to  
176 catch the shark when the range was long, and slow to match the speed of the animal when it was  
177 nearby. Once the vehicle had passed the shark, it would circle back and re-approach for another  
178 pass. This navigational protocol turned out to be a successful way of imaging different  
179 perspectives of the animal swimming in its natural environment.

180

181 During each mission, the vehicle telemetered information back to the shipboard tracking station  
182 via its WHOI micromodem and digital ranger, thereby allowing operators to monitor the  
183 positions of both the shark and AUV. Real-time transmissions of depth and position data  
184 allowed the operators to offset the vehicle depth above or below the depth of the shark while the  
185 mission was still underway. When the shark was working near the bottom, the vehicle's on-board  
186 altimeter was used to maintain a minimum range of 2 m above the sea floor. Real-time data  
187 packets also provided vital status updates on the vehicle's performance. This included vehicle  
188 altitude, attitude (pitch, roll, heading rate), range to ship and shark, vehicle and shark depth,  
189 velocity, voltage levels, and other system diagnostics. The age of each USBL fix also provided a  
190 baseline for how well the vehicle was tracking the shark.

191

192 To collect environmental information and imagery, the AUV also carried a variety of sensors and  
193 cameras including a 1200 kHz up/down looking Acoustic Doppler Current Profiler (ADCP)  
194 (Teledyne RDI, Poway, CA) for current data and speed over ground measurements, a  
195 conductivity-temperature (CT) probe (YSI, Yellow Springs, OH), magnetic heading sensor,  
196 pressure sensor, and six high definition video cameras (Model Hero3+, GoPro, Inc., San Mateo,  
197 CA). Five cameras were mounted in a custom camera nose section: one facing directly forward,  
198 one forward and upward 45°, one forward and downward 45°, one port, and one starboard (Fig.  
199 2). An additional camera was mounted topside or on the bottom of the main AUV pressure  
200 housing, dependent on the mission, facing aft (Fig 2).

201

202 Upon completion of each mission, the transponder was sent an acoustic command to  
203 mechanically release from the animal and float to the surface for retrieval. The digital ranger was  
204 used to locate the transponder for recovery. The transponder was also outfitted with a three-  
205 tiered release system in the event that acoustic communication was lost. Additionally, the tag  
206 would release itself if the fish were to swim below 350 meters. In the event that battery power  
207 was lost in the transponder, a corrosible link was put in place to release the tag from the animal  
208 after approximately 8 hours.

209

210 To independently track the shark from a small vessel, an acoustic transmitter [Model V16TP  
211 (depth range 0-136 m, 0.6 m resolution; temperature range -5-35°C, resolution 0.15 °C) or V16T  
212 (temperature range 10-40°C, resolution 0.12 °C, Vemco, Inc., Nova Scotia) was affixed to the  
213 transponder and detected with a directional hydrophone (Model VH110, Vemco, Inc., Nova  
214 Scotia) connected to an acoustic receiver (Model VR100, Vemco, Inc., Nova Scotia). Depth and

215 ambient temperature data were telemetered to the receiver and recorded for the duration of each  
216 track.

217

## 218 **Results**

219

220 During the period 31 October – 7 November, 2013, six AUV missions were conducted to track  
221 one male and three female white sharks, ranging in estimated (derived by comparing the size of  
222 the shark to the known length of the tagging vessel) total length (TL) from 3.9-5.7 m, off the  
223 northeast coast of Guadalupe Island (Table I). Although these sharks were tracked for up to 6 hrs  
224 using the smaller vessel, AUV mission durations ranged from 1.43-2.93 hours resulting in a total  
225 of 13.62 hrs of tracking data. Mission depth was constrained to 50 m as an initial setting for the  
226 first track (WS01), but increased to 90 m for the remaining missions because the tracked sharks  
227 were moving deeper. Due to the 100 m limit of the transponder depth sensor, the telemetered  
228 acoustic data was used to characterize the depth and ambient water temperature of each tracked  
229 shark during missions WS01, WS02, WS03, and WS04a. Since only temperature transmitters  
230 were used during missions WS04b and WS04c, the depth of the shark was calculated using the  
231 depth/temperature linear relationship resulting from the previous four tracks where:

232

233 Shark Depth =  $269.57 - 12.414(\text{temperature})$ ,  $R^2 = 0.82$ ,  $n = 9,400$ .

234

235 In general, the sharks remained in the area for the duration of each mission (Figure 3) and moved  
236 through broad depth and temperature ranges from the surface to 163.8 m (mean  $\pm$  SD =  $112.5 \pm$   
237  $40.3$  m) and 7.9-27.1 °C (mean  $\pm$  SD =  $12.7 \pm 2.9$  °C), respectively. Our most significant

238 observations can be characterized as interactions between the AUV and white sharks at depths in  
239 excess of 50 m. Upon review of video footage and AUV sensor data, it was found that two of the  
240 white sharks being tracked by the AUV in addition to eight other white sharks in the area  
241 exhibited the following behaviors: approach, bump, and bite. During an approach, a white shark  
242 actively moved toward the AUV and followed in close proximity. A bump was defined as brief  
243 physical contact with the AUV, typically with its snout. As implied, a bite was defined as  
244 forceful grasping of the AUV by the jaws of an approaching white shark. During the six tracks, a  
245 total of 30 interactions were observed between 10 individual white sharks and the AUV  
246 comprising 17 approaches, 4 bumps, and 9 bites (Table II). With the exception of the track of  
247 WS03, all of the interactions occurred at or near the maximum AUV depth of each mission (53-  
248 90 m; Table II). Specific information for each AUV mission is as follows.

249

250 WS01: 3.9 m TL male, 30 October 2013, duration: 2:32, distance: 18.3 km.

251

252 During this track, the AUV was constrained to a maximum depth of 53 m. After tagging, WS01  
253 moved north parallel to the shoreline for ~30 mins [Fig. 3(a)]. During this time, the shark  
254 remained largely associated with the surface and swam directly past a vessel belonging to  
255 another commercial white shark dive operator. WS01 then moved offshore and dove to the  
256 maximum depth of the acoustic transmitter [154 m; Fig. 4(a)]. For the balance of the track (~2  
257 hrs), the shark remained below the depth of the AUV, although it made periodic excursions to  
258 depths as shallow as 86 m. The extent to which these were vertical movements or simply  
259 following the bottom was unknown. While WS01 remained deep and the AUV was, on average,  
260 0.54 km offshore at a depth of 52 m, 13 behavioral interactions between other white sharks and

261 the AUV were recorded by the video cameras [Table II, Fig. 3(a), 4(a)] and the on board  
262 instrumentation [Fig. 4(b)]. During the approaches and bumps, the sharks were recorded by  
263 cameras facing down, aft, and left. In cases when the AUV was bitten, all of the sharks were  
264 recorded on the camera facing down. Based on the video, it was determined that these  
265 interactions involved no less than four individual sharks, including one female and three males  
266 (one was later identified as a locally known shark named Bubba).

267

268 Direct physical contact by the attacking shark caused the attitude and depth of the AUV to  
269 change dramatically. For example, the first bite resulted in disruptions in pitch, roll, and heading  
270 rate to the extent that these sensors hit their maximum values and the vehicle was driven 2.5 m  
271 upward in the water column [Fig. 4(b)]; bite durations spanned 2-7 secs.

272

273 WS02: 4.8 m TL female, 31 October 2013, duration: 2:21, distance: 15.5 km.

274

275 After tagging, WS02 moved directly offshore to the east for the duration of the track [Fig. 3(b)].  
276 The shark swam at the surface for the initial 25 mins, and then dove to  $\geq 154$  m where it remained  
277 for most of the track; the AUV was constrained to a depth of 90m [Fig. 5(a)]. WS02 ascended  
278 four times, three of which involved rapid approaches toward the AUV (Fig. 5). During each  
279 ascent (maximum rate =  $0.92 \text{ ms}^{-1}$ ), the shark approached from below and was vertically oriented  
280 [Fig. 5(b)]; the camera mounted on the transponder recorded the shark moving vertically toward  
281 the AUV silhouetted against the surface [Fig. 5(c)]. After each approach, the shark was recorded  
282 following the AUV by the aft-facing camera [Fig. 5(d)] before actively descending rapidly

283 (maximum rate =  $2.6 \text{ ms}^{-1}$ ) in a vertical orientation [Fig. 5(e)]. The approaches occurred 4.8-5.9  
284 km from shore (mean =  $5.3 \pm 0.6$  km).

285

286 WS03: 4.5 m TL female, 2 November 2013, duration: 1:55, distance: 9.3 km.

287

288 Over the duration of the track, WS03 moved over a very small area south and north along the  
289 coastline at a distance ranging from 0.35-0.68 km from shore [Fig. 3(b)]. The shark moved  
290 repeatedly through a depth range of 68-155 m [Fig. 6(a)]. The AUV, which was constrained to a  
291 depth of 90 m, was able to track the shark closely when it moved within its depth range and the  
292 shark was observed frequently swimming along the bottom [Fig. 6(b)]. One hour into the track,  
293 WS03 was at a depth of 147m and the AUV was at 90 m when a male shark bit the AUV,  
294 striking it from below; the bite was recorded by the aft-facing video camera [Fig. 6(c)]. The  
295 duration of the bite was 11 secs, after which the shark, later identified as a previously locally  
296 known shark (ID#153), followed and approached the AUV four times over the next 8 mins. The  
297 AUV was bitten a second time 30 minutes later by a female shark at the same depth (90 m). This  
298 bite lasted 15 secs, during which the shark struck the aft section of the AUV from below and  
299 moved progressively forward, adjusting its bite and rolling its eyes backward [Fig. 6(d)]. The  
300 shark approached the AUV after releasing it and exhibited mouth gaping. This bite caused water  
301 intrusion into the hull of the REMUS and the mission was aborted. The two bites observed  
302 during the track of WS03 occurred at an average distance of  $0.57 (\pm 0.05 \text{ SD})$  km from shore  
303 [Table II and Fig. 3(b)].

304

305 WS04a: 5.7 m TL female, 6 November 2013, duration: 1:26, distance: 6.1 km.

306

307 This large female was tracked three times over the course of two days. During this first mission,  
308 the shark moved about 1 km north, but gradually returned to the general vicinity of where it was  
309 tagged [Fig. 3(b)]. With the exception of the last 10 mins of the track, WS04 remained within  
310 the depth range of the AUV [ $<90$  m; Fig. 7(a)] and the AUV was able to follow within several  
311 meters of the shark as it moved along the bottom [Fig. 7(b)]. In doing so, the AUV was able to  
312 confirm the sex of the shark while video documenting the coloration, scarring patterns, and fin  
313 shapes [Fig. 7(b)]. During the track, WS04 reacted to the presence of the AUV by approaching it  
314 twice and bumping it twice [Fig. 7(c)]. The shark was accompanied by several yellowtail  
315 amberjack (*Seriola lalandi*) [Fig. 7(d)] during this period. The interactions with the AUV  
316 occurred at a mean depth of  $36.4 \pm 16.7$  m and mean distance of  $0.19 \pm 0.08$  km from the  
317 shoreline.

318

319 WS04b, c: 5.7 m TL female, 7 November 2013, total duration: 5:23, total distance: 33.1 km.

320

321 WS04 was re-tagged and tracked again the following day. Although the smaller tracking vessel  
322 remained with the shark for the entire duration of the track, the AUV was retrieved midway  
323 through the track to offload and recharge video cameras. During the first half of the track, WS04  
324 remained in the general vicinity of the vessel, moving south, then north at a distance of 0.3-1.4  
325 km from the shoreline [Fig. 3(c)]. During the second half of the track, the shark moved offshore  
326 to the east reaching a maximum distance of about 5 km from the shoreline; the shark then looped  
327 south and inshore [Fig. 3(c)]. Shortly after tagging, the shark descended to and remained at a  
328 depth of  $\geq 145$  m for the total duration of the track [Fig. 8(a)]. Due to depth of the shark relative

329 to the AUV (90m), WS04 was not observed by the AUV during most of the track. However,  
330 three interactions with other white sharks were recorded comprising a single approach and two  
331 bites [Fig. 8(a)]. During the first two interactions, which lasted 16 secs, a male white shark later  
332 identified as a locally known shark (Tairua; ~4.7 m TL) approached and passed under the AUV,  
333 circled around to the rear, approached again, and bit the AUV at the location of the aft-facing  
334 camera. About 30 mins later, a female white shark, later identified as Lucy, approached from  
335 behind and below the AUV and bit its aft section [Fig. 8(b, c)]. The shark released the AUV,  
336 circled to the right side, bumped the nose [Fig. 8(d)], circled around to the rear, and followed the  
337 AUV for another 30 secs before diving out of sight. These interactions with the AUV occurred at  
338 a mean depth of 90 m and mean distance of 0.89 ( $\pm$  0.42) km from shore; bite durations were 6  
339 secs (Tairua) and 15 secs (Lucy).

340

## 341 **Discussion**

342

### 343 AUV technology

344

345 In this study, an AUV was used to generate over 13 hours of observations of white sharks at  
346 depths up to 90 m off the coast of Guadalupe Island. This ground-breaking work represents the  
347 first successful efforts to autonomously track and image any animal in the marine environment.  
348 While the imaging of subsurface animal behaviour has been achieved with animal-borne imaging  
349 systems (e.g., “Cittercam”, Heithaus et al., 2001), this technology has many limitations. First  
350 and foremost, these systems are not currently capable of horizontal tracking and the animal must  
351 be followed simultaneously using traditional vessel-based tracking methods. Second, these

352 systems typically comprise a single camera that is fixed to the animal facing forward, thereby  
353 limiting the extent to which the animal can be observed. Moreover, sharks and other animals  
354 must be captured and handled for camera attachment, which can result in acute and chronic stress  
355 and aberrations in post-release behaviour (Skomal et al., 2007). Lastly, animal-borne systems  
356 cannot accommodate a vast array of scientific instrumentation. In contrast, the REMUS-100  
357 AUV provided high resolution three-dimensional position information of the animal under  
358 observation, approached the tagged animal to provide visual data about its behaviour and habitat  
359 from multiple angles and perspectives, does not require that shark be captured and handled, and  
360 can be modified to carry a vast array of instrumentation. Hence, this approach resulted in the  
361 direct measurement of the shark's location and depth yielding far greater positional accuracy  
362 than traditional vessel-based tracking methods (reviewed by Sundstrom et al., 2001) and thereby  
363 allowing for the moving shark to be filmed at close range.

364  
365 The idea of tracking an animal with an AUV is not unique. Clark et al. (2013) used an AUV to  
366 follow a leopard shark off the coast of California for up to 1.67 hours. In that approach, they  
367 used a particle filter to produce a state estimate of the tag location. During those efforts, the  
368 AUV was constrained to the surface, lacked the capacity to monitor animal depth, and resulted in  
369 a coarse estimate of the shark's horizontal movements. In contrast, the REMUS AUV has the  
370 capability to track the three-dimensional movements of marine animals with great geospatial  
371 accuracy while collecting video imagery and ambient environmental data. This information not  
372 only allows researchers to track the horizontal and vertical movements of marine animals, but  
373 also collect direct observations of animal behaviour and environmental data sufficient for fine-  
374 scale habitat modeling.

375

376 Although the unit (REMUS-100) was depth-limited, other REMUS units are rated to depths well  
377 in excess of 1000 m, which allows for tighter, close-range tracking at greater depths. In addition,  
378 the limitation of our transponder depth sensor (100 m) did not allow the AUV to record the exact  
379 depth of the shark, but this can be easily corrected for future work. In this study, the AUV was  
380 programmed to approach the shark and maintain close range tracking to within one meter.

381 However, the unit can be programmed to maintain any distance from the animal, the bottom, and  
382 the surface. Hence, each mission can be readily customized to meet study objectives.

383

384 The greatest limitation of our autonomous tracking technique hinges on deployment duration.

385 The AUV has the capacity to operate for periods up to 12 hours, which may be adequate for  
386 some studies but insufficient for broad-scale tracking. In addition, the portable video cameras  
387 deployed during the current study severely curtailed our track durations to less than three hours  
388 due to battery and storage capacity. Future work will center on increasing track durations and  
389 behavioral observations by deploying larger batteries and/or directly coupling camera systems  
390 with the AUV electronics.

391

392 White Shark Predatory Behaviour

393

394 It is well established that white sharks are top predators of marine mammals and fishes (reviewed  
395 by Campagno, 2001), but virtually all of the published observations of white shark predatory  
396 behaviour are based on surface interactions with pinnipeds at well-studied white shark  
397 aggregation areas, including the Southeast Farallon Islands (Ainley et al., 1981; Ainley et al,

398 1985; Bruce, 1992; McCosker, 1985; Klimley et al., 1992; Klimley et al., 1996; Anderson et al,  
399 1996a; Anderson et al, 1996b; Pyle et al., 1996; Klimley et al, 2001; Anderson et al, 2008), Seal  
400 Island, South Africa (Martin et al., 2005; Martin et al., 2009; Hammerschlag et al., 2006;  
401 Laroche et al., 2008; Fallows et al., 2012; Hammerschlag et al., 2012), and South Australia  
402 (Tricas and McCosker, 1984; Strong et al., 1996). In this study, white sharks were observed to  
403 approach, bump, and bite the AUV at depths of 36-90 m, constituting the first observations of  
404 such behaviour well below the surface.

405

406 Admittedly, when the AUV was deployed to track and image the behaviour of white sharks off  
407 the coast of Guadalupe, the observed interactions were not anticipated. During the 13.5 hours of  
408 tracking, 30 interactions were documented by no less than 10 individual white sharks (5 males, 5  
409 females), most (80%) of which were not the shark being tracked. These observations collectively  
410 provide novel evidence of subsurface predatory behaviour by white sharks in general and,  
411 specifically, at the island of Guadalupe.

412

413 It has been suggested that white sharks are drawn to Guadalupe Island to prey upon the seasonal  
414 presence of pinnipeds, but this behaviour has rarely been observed (Domeier and Nasby-Lucas,  
415 2007; Domeier, 2009; Hoyos-Padilla, 2009). Although seal carcasses have been observed  
416 floating at the surface, the predation event has not been witnessed (Hoyos-Padilla, personal  
417 communication). In addition, satellite (Domeier et al. 2012) and acoustic tracking (Hoyos-  
418 Padilla, unpublished data) data indicate that white sharks routinely make daily dives to depths in  
419 excess of 100 m when around Guadalupe. The four white sharks tracked during the current  
420 study spent, on average, 80% of the time at depths > 100 m and only 5% of their time at depths

421 <25m. Collectively, these observations suggest that predation events occur below the surface. In  
422 other areas, it has been established white sharks avoid the surface and remain at depths up to 50  
423 m depth while near pinniped rookeries in autumn and winter; this is consistent with a silhouette-  
424 based hunting strategy (Weng et al., 2007). In this study, white sharks were observed  
425 approaching, bumping, and biting the AUV at depths ranging from 53-90m, thereby providing  
426 direct evidence of white shark predatory behaviour at depth. These data suggest that white sharks  
427 take advantage of great underwater visibility to search for seals in deep water adjacent to seal  
428 colonies so as to ambush and disable pinnipeds and, perhaps, follow the carcass to the surface  
429 (Hoyos-Padilla, 2009). Of course, the AUV spent the bulk of the tracking periods at these depths  
430 and there is a possibility that attacks also occur at shallower depths, but there is no evidence of  
431 this to date.

432

433 Surface observations provide substantial evidence that white sharks are highly visual predators  
434 that typically ambush their prey vertically from below and behind (Tricas and McCosker, 1984;  
435 Anderson et al, 1996b; Strong 1996; Goldman and Anderson, 1999; Martin et al., 2005; Martin  
436 et al., 2009; Hammerschlag et al., 2012). Similar behaviour was observed in this study as almost  
437 all of the interactions between white sharks and the AUV were recorded by the backward and/or  
438 downward facing cameras, indicating that white sharks initiate predation from below. When the  
439 sharks physically attacked and bit the AUV, the force was so great so as to displace the AUV as  
440 much as 2.5 m vertically in the water column, leave tooth rake marks on the aft section of the  
441 AUV (Fig. 9), and, in one case, compromise the hull of the AUV. The vertical approach was  
442 rapid and from depths well below the AUV. For example, during the track of WS02, this shark  
443 moved vertically from a minimum depth of 154 m to approach the AUV at a maximum rate of

444 0.92 ms<sup>-1</sup>. The camera mounted on the transponder (i.e., shark) clearly shows the shark moving  
445 vertically toward the back-lit silhouette of the AUV [Fig. 5(c)]. After a brief period of following  
446 the AUV, the shark actively swam downward at a maximum rate of 2.6 ms<sup>-1</sup>. This rapid dive  
447 may be indicative of an effort to remain concealed at depth. These observations constitute the  
448 initial stages of the predation cycle during which a predator detects, identifies, and approaches a  
449 prey item (Endler, 1986).

450

451 Numerous studies indicate that white sharks strike a fine balance between visibility and  
452 detectability when feeding on pinnipeds (Strong, 1996; Goldman and Anderson, 1999; Martin et  
453 al., 2009; Hammerschlag et al., 2006; Laroche et al., 2008; Martin and Hammerschlag, 2012;  
454 Huveneers et al., 2015). Strong (1996) described white sharks as speculative hunters relying  
455 heavily on visual cues to initiate a predation event, approach the potential prey, and ultimately  
456 bite, bump, or abort. As a result, the predatory behaviour of white sharks is tightly linked to site-  
457 specific environmental conditions (Pyle et al., 1996; Fallows et al, 2012), such as water clarity,  
458 which is thought to play a critical role (Strong, 1996b; Martin et al., 2009; Martin and  
459 Hammerschlag, 2012). Hence, it has been suggested that white sharks utilize the optimal depth  
460 so as remain undetected while maximizing the probability of prey detection and capture (Strong  
461 1996, Goldman and Anderson, 1999). Off the coast of Guadalupe Island, water clarity is often  
462 25-30 m (Gallo-Reynoso et al., 2005b), thereby increasing the detectability of a white shark by  
463 its prey in shallow water. However, water depth drops dramatically to >1000 m within 5 km of  
464 the shoreline at Guadalupe island (Fig. 1; Domeier et al., 2012) and white sharks may be  
465 utilizing these greater depths to remain undetected while stalking prey.

466

467 Based on satellite-tagging data, Domeier et al. (2012) found that the seasonal distribution of  
468 white sharks around Guadalupe coincides with the seasonal presence of pinnipeds. During this  
469 study, which occurred in early November, all of the white sharks were tagged and remained off  
470 the northeast coast of Guadalupe Island (Fig. 3), which provides important habitat for three  
471 pinnipeds species (Domeier et al., 2012). During this time of year, northern elephant seals are  
472 returning to this region of the island to breed and it is possible that white sharks are patrolling the  
473 shoreline to intercept the movements of these animals. With the exception of three approaches  
474 exhibited about 5 km from shore by WS02 [Fig. 3(a)], all of the observed interactions occurred at  
475 a distance of 0.1-1.2 km from the shoreline. This distance could represent a feeding zone for  
476 white sharks off Guadalupe [Fig. 39d)]. At the Farallon Islands and Seal Island, where white  
477 sharks are frequently observed preying upon pinnipeds, researchers have described similar 'high  
478 risk' zones in which the frequency of predation events is highest <450m from shore (Klimley et  
479 al., 1992; Goldman et al., 1996; Martin et al., 2005; Martin et al., 2009; Fallows et al., 2012).

480

481 It is well documented that white sharks approach and bite inanimate objects and decoys  
482 (Anderson et al., 1996; Collier et al., 1996; Strong, 1996; Martin et al., 2005; Hammerschlag et  
483 al., 2012). In these studies, it has been presumed that these predatory events are indicative of  
484 predatory tactics used to prey upon pinnipeds. Similarly, our observations of subsurface  
485 interactions between white sharks and the AUV likely constitute predatory behaviour and not  
486 social (Klimley et al., 1996) or reproductive behaviours (Domeier et al., 2012). Although the  
487 participation of both males and females in these interactions rules out the latter, some of these  
488 interactions may be indicative of agonistic behaviour. White sharks are thought to exhibit a  
489 variety of agonistic behaviours, including jaw gaping, bumping, and biting (reviewed by Martin,

490 2007), which were observed in the current study. For example, during the track of WS04a, this  
491 shark approached and bumped the AUV twice when the vehicle approached [Fig. 7(c)].  
492 Agonistic bites are typically less forceful than predatory bites, are of short duration, and tend to  
493 be concentrated on the forward section of the body, head, and fins. In contrast, the bites observed  
494 in this study were rendered with great force from behind and below (typical of a predatory  
495 attack), lasted up to 15 secs, and were largely to the aft section of the AUV (Fig. 9). Therefore, it  
496 is more likely that the biting behavior observed in this study was associated with predation  
497 attempts and not agonistic behaviour.

498

499 In this study, we were not able to identify the intended prey species. Based on the  
500 aforementioned information, pinnipeds are a likely prey of the white shark in Guadalupe, but  
501 numerous species of fishes, including yellowtail amberjack (*Seriola lalandi*) and yellowfin tuna  
502 (*Thunnus albacares*), are also present. Based on simple feeding experiments in Guadalupe,  
503 Domeier (2009) concluded that white sharks show a preference for yellowfin tuna when  
504 compared to California sea lions. In the current study, yellowtail amberjack were observed  
505 following the shark during the track of WS04 [Fig. 7(d)], but it is not unusual for prey species to  
506 be in close proximity to the predator. Clearly, additional studies are needed to identify the prey  
507 species targeted by white sharks at depth in Guadalupe.

508

509 In conclusion, the REMUS-100 tracking vehicle demonstrated a remarkable ability to  
510 autonomously monitor, follow, approach, and image a randomly moving tagged target. The  
511 vehicle, which can easily be deployed in waters inaccessible to or unsafe for divers, is capable of  
512 producing high precision tracks while collecting environmental data and behavioral imagery over

513 periods of several hours. Moreover, the vehicle is versatile and can take on different payloads to  
514 meet science goals. In the current study, it was demonstrated that an AUV can be used to  
515 effectively track and observe the behaviour of a large pelagic animal, the white shark. In doing  
516 so, the first observations of subsurface predatory behaviour were observed of this species. At its  
517 current state of development, this technology clearly offers a new and innovative tool for  
518 tracking the fine-scale behaviour of marine animals. It is anticipated that new advances in this  
519 field will ultimately be used to collect observations over broader temporal and spatial scales.

520

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522

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1

Table I. White sharks tracked by the AUV.

Shark	Sex	TL (m)	Date	Time		Duration (H:M)	Distance (km)
				Start	End		
WS01	Male	3.9	30-Oct-13	14:34	17:06	2:32	18.3
WS02	Female	4.8	31-Oct-13	11:42	14:03	2:21	15.5
WS03	Female	4.5	2-Nov-13	10:45	12:40	1:55	9.3
WS04a	Female	5.7	6-Nov-13	17:11	18:37	1:26	6.1
WS04b			7-Nov-13	12:45	15:12	2:27	15.5
WS04c			7-Nov-13	15:36	18:32	2:56	17.6
Total						13:37	82.3

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Table II. Behavioral interactions recorded by the AUV during white shark tracks;  
Distance = straight-line distance from shore.

Track	Approach	Bump	Bite	Total	No. Sharks	AUV Depth (m)		Distance (km)
						Mean (SD)	Max	Mean (SD)
WS01	6	2	5	13	4	52.8 (0.50)	55.3	0.54 (0.06)
WS02	3	0	0	3	1	90.0 (0.24)	91.3	5.35 (0.55)
WS03	5	0	2	7	2	89.6 (0.46)	92.6	0.57 (0.05)
WS04a	2	2	0	4	1	36.4 (16.7)	91.6	0.19 (0.08)
WS04b	0	0	0	0	0		91.4	
WS04c	1	0	2	3	2	90.1 (0.34)	91.4	0.89 (0.42)
Total	17	4	9	30	10	71.8 (25.5)		1.50 (2.20)

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8

1 Figure Captions

2

3 Figure 1. Location of Guadalupe Island showing bathymetry (soundings in meters) and  
4 study area (box).

5

6 Figure 2. Autonomous Underwater Vehicle and transponder (inset) used to track white  
7 sharks off the coast of Guadalupe Island, Mexico. Video cameras mounted in nose were  
8 oriented directly forward (F), upward (U), downward (D), right (R), and left (L, not  
9 shown). The backward facing camera (B) was mounted topside for tracks WS01, WS02,  
10 and WS03, and on the underside for the three tracks of WS04.

11

12 Figure 3. Tracks of white sharks off the coast of Guadalupe Island as determined by an  
13 AUV: (a) WS01 (white) and WS02 (pink); (b) WS03 (white) and WS04a (pink); (c)  
14 WS04b (white) and WS04c (pink); and (d) locations of all interactions between white  
15 sharks and AUV. Circles represent start (green) and end (yellow); scale = 1 km except B =  
16 0.3 km. Squares in each panel indicate locations of interactions between white sharks and  
17 AUV: approaches (green), bumps (yellow), and bites (red).

18

19 Figure 4. (a) Depth and water temperature of WS01, depth of AUV, and behavioral  
20 interactions between white sharks and AUV: approach (green box), bump (yellow box), and  
21 bite (red box). (b) Detail showing attitude and depth of the AUV before, during (red box),  
22 and after an interaction with a white shark during the track of WS01. Note disruption of  
23 pitch, roll, and heading rate during the attack (red box) as shark pushes the AUV upward  
24 2.5 m.

25 Figure 5. (a) Depth and water temperature of WS02, depth of AUV, and behavioral  
26 interactions between white sharks and AUV: approach (green box), bump (yellow box), and  
27 bite (red box). (b-e) Images captured from AUV video cameras (b, d, e) and transponder  
28 camera (c) of WS02 approaching the AUV by ascending vertically in the water column (b,  
29 c), following AUV (d), and rapidly descending vertically (e); upper case letters refer to  
30 camera positions noted in Fig. 2.

31

32 Figure 6. (a) Depth and water temperature of WS03, depth of AUV, and behavioral  
33 interactions between white sharks and AUV: approach (green box) and bite (red box). (b-d)  
34 Images captured from AUV video cameras of WS03 swimming along bottom (b), the AUV  
35 being bitten by different male (c) and female (d) sharks; note eye of shark rolling back  
36 during the bite (d). Upper case letters refer to camera positions noted in Fig. 2.

37

38 Figure 7. (a) Depth and water temperature of WS04a, depth of AUV, and behavioral  
39 interactions between white sharks and AUV: approach (green box) and bump (yellow box).  
40 (b) Image showing WS04 swimming along bottom as observed by all video cameras. (c)  
41 Image from video camera showing WS04 bumping AUV from below and behind and  
42 accompanied by a yellowtail amberjack (*Seriola lalandi*). Upper case letters refer to camera  
43 positions noted in Fig. 2.

44

45 Figure 8. (a) Depth and water temperature during the tracks of WS04b and WS04c, depth of  
46 AUV, and behavioral interactions between white sharks and AUV: approach (green box)  
47 and bite (red box). (b, c) Images showing white shark Lucy approaching from below

48 immediately prior to biting (b), biting (c), and bumping (d) the AUV; note deformed caudal  
49 fin used to later identify Lucy. Upper case letters refer to camera positions noted in Fig. 2.  
50  
51 Figure 9. AUV with tooth rakes resulting from nine bites from white sharks; note that all of  
52 the marks are located on the lower aft section of the vehicle.

















