

1 The effect of nutrients on carbon and nitrogen fixation by the UCYN-A-haptophyte
2 symbiosis

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21 Key words: Diazotrophs / double CARD–FISH / N₂ fixation / nanoSIMS / Saharan
22 dust / single–cell

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24 *Running title:* Nutrient effects on UCYN-A-haptophyte symbiosis

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26 *Subject category:* Microbe–microbe and microbe–host interactions

1 **Abstract**

2 Symbiotic relationships between phytoplankton and N₂-fixing microorganisms
3 play a crucial role in marine ecosystems. The abundant and widespread unicellular
4 cyanobacteria group A (UCYN-A) has recently been found to live symbiotically with
5 a haptophyte. Here, we investigated the effect of nitrogen (N), phosphorus (P), iron
6 (Fe) and Saharan dust additions on nitrogen (N₂) fixation and primary production by
7 the UCYN-A-haptophyte association in the subtropical eastern North Atlantic Ocean
8 using *nifH* expression analysis and stable isotope incubations combined with single-
9 cell measurements. N₂ fixation by UCYN-A was stimulated by the addition of Fe and
10 Saharan dust although this was not reflected in the *nifH* expression. CO₂ fixation by
11 the haptophyte was stimulated by the addition of ammonium nitrate as well as Fe and
12 Saharan dust. Intriguingly, the single-cell analysis using nanoSIMS indicates that the
13 increased CO₂ fixation by the haptophyte in treatments without added fixed N is likely
14 an indirect result of the positive effect of Fe and/or P on UCYN-A N₂ fixation and the
15 transfer of N₂-derived N to the haptophyte. Our results reveal a direct linkage between
16 the marine carbon and nitrogen cycles that is fuelled by the atmospheric deposition of
17 dust. The comparison of single-cell rates suggests a tight coupling of nitrogen and
18 carbon transfer that stays balanced even under changing nutrient regimes. However, it
19 appears that the transfer of carbon from the haptophyte to UCYN-A requires a transfer
20 of nitrogen from UCYN-A. This tight coupling indicates an obligate symbiosis of this
21 globally important diazotrophic association.

22 **Introduction**

23 Open ocean environments are generally characterized by the scarcity of
24 nutrients, particularly bioavailable (fixed) nitrogen (N), which limits primary
25 productivity (Karl *et al.* 2002). In such oligotrophic environments, the activity of
26 diazotrophs, prokaryotic microorganisms that mediate the fixation of atmospheric N₂
27 (Zehr *et al.* 1998, LaRoche and Breitbarth 2005), is favored since they can overcome
28 N limitation as long as other nutrients are not limiting. Since the 1960's, the most
29 studied diazotroph has been the non-heterocystous, filamentous cyanobacterium
30 *Trichodesmium* spp., which contributes significantly to N₂ fixation in tropical and
31 subtropical oceans (Capone *et al.* 2005). Additionally, symbiotic relationships
32 between diazotrophs and photosynthetic eukaryotes play a critical role in N₂ fixation
33 and carbon (C) sequestration in the ocean (Carpenter and Foster 2003, Karl *et al.*
34 2012). Foster and colleagues (2011) investigated various marine diazotroph-diatom
35 symbiotic associations (DDAs) and showed that substantial amounts of fixed N are
36 transferred from the diazotroph to the host, resulting into enhanced diatom growth
37 rates.

38 More recently, the importance of N₂ fixing unicellular cyanobacteria
39 populations (UCYN-A, UCYN-B, UCYN-C) in the global N-cycle has been
40 recognized (Zehr *et al.* 2001, Montoya *et al.* 2004). Surveys based on quantitative
41 PCR (qPCR) assays that target diazotroph abundance using the N₂ fixation marker
42 gene *nifH* (which encodes the monomer of the Fe-subunit protein of the nitrogenase,
43 the key enzyme for N₂ fixation) have revealed widespread distribution of the UCYN
44 groups throughout the oceans (Church *et al.* 2005a, Langlois *et al.* 2008). The *nifH*
45 gene phylogroup abundances of UCYN-A (*Candidatus* Atelocyanobacterium thalassa;
46 Thompson *et al.* 2012) can dominate diazotrophic communities (Luo *et al.* 2012) and

47 can be found in more diverse environments than other diazotrophs (Short and Zehr
48 2007, Rees *et al.* 2009, Moisander *et al.* 2010). Recently, UCYN-A has been found
49 living in association with unicellular photosynthetic eukaryotes belonging to the
50 *Haptophyta*, and more specifically, a prymnesiophyte (Thompson *et al.* 2012, Hagino
51 *et al.* 2013, Krupke *et al.* 2013, Krupke *et al.* 2014). It has been hypothesized that the
52 eukaryotic photosynthetic partner provides C compounds for UCYN-A and in return,
53 obtains N compounds from UCYN-A (Thompson *et al.* 2012). Such an association
54 seems mutually beneficial as the eukaryote cannot use N₂ as an N source and, from
55 what is known so far, UCYN-A lacks the capability to use CO₂ as their C source
56 (Zehr *et al.* 2008, Tripp *et al.* 2010, Thompson *et al.* 2014).

57 Unfortunately, cultures of UCYN-A do not exist, and field experiments are
58 necessary to understand nutrient requirements and environmental parameters that
59 regulate the physiological interactions between UCYN-A and their eukaryotic partner.
60 For *Trichodesmium* and complex marine microbial communities it has been shown that
61 diazotrophic activity can be limited by phosphorus (P) or iron (Fe), or be co-limited
62 by both (Sañudo-Wilhelmy *et al.* 2001, Mills *et al.* 2004, Shi *et al.* 2007), whereas
63 eukaryotic phytoplankton are most often N-limited. Currently, little is known about
64 how UCYN-A responds to nutrient limitation. A recent study investigating the
65 response of *nifH* expression of different diazotrophs to nutrient additions indicated
66 that UCYN-A might be P-limited in the tropical North Atlantic (Turk-Kubo *et al.*
67 2012). To date there are no reports on the effect of nutrient limitation on the
68 photosynthetic partner of UCYN-A and/or this symbiosis.

69 Here, we conducted a wide range of nutrient amendment experiments near the
70 Cape Verdean Islands to investigate the effect of nutrient additions on cellular CO₂
71 and N₂ fixation rates within the UCYN-A-haptophyte symbiosis. Double CARD–

72 FISH assays targeting UCYN-A cells, as well as their eukaryotic partner cells allowed
73 us to visualize the metabolic activity of UCYN-A at the single cell level using
74 nanometer scale secondary ion mass spectrometry (nanoSIMS). Additionally, we
75 measured bulk N₂ fixation activity and used quantitative PCR to assess UCYN-A *nifH*
76 gene and *nifH* transcript abundances. The results of this study will help to understand
77 the physiology of this globally important diazotrophic symbiosis and how it might be
78 influenced by changes in the environment.

79 **Material and methods**

80 **Incubation experiments.** Surface seawater (5–10 m depth) was collected at night
81 using trace–metal clean techniques and a diaphragm pump near the Cape Verdean
82 Islands (16.79° N, 25.10° W) on board the R/V *Islandia* in May 2009. Seawater was
83 transferred into acid-cleaned 4.5 L polycarbonate bottles and kept dark until further
84 processing at the on-shore laboratory within 2–8 hours of sampling. Incubation bottles
85 were amended with nutrients, filled headspace–free, and closed with septa caps.
86 Nutrient amendments resulted in ten different treatments: (1) Ctr = control, no
87 nutrients added, (2) N = NH₄NO₃ (ammonium nitrate), (3) Fe = FeCl₃ (ferric
88 chloride), (4) P = NaH₂PO₄ (monosodium phosphate), (5) NP = NH₄NO₃ + NaH₂PO₄
89 (6) NFe = NH₄NO₃ + FeCl₃, (7) PFe = NaH₂PO₄ + FeCl₃, (8) NPFe = NH₄NO₃+
90 NaH₂PO₄ + FeCl₃, (9) DI = Saharan dust I, and (10) DII = Saharan dust II (i.e. 2X
91 Saharan dust DI). Final concentrations were: N [2 μM N], Fe [2 nM], P [0.2 μM], DI
92 [2 mg L⁻¹] and DII [4 mg L⁻¹]. The Saharan dust utilized in this study is the same as
93 in Heller and Croot (2011), where the dust has been characterized in detail (i.e. trace
94 metal composition). Each treatment was prepared in quadruplicate.

95 All incubation bottles were placed in an incubator with continuously flowing
96 surface seawater (± 3 °C *in situ* temperature) and shaded to 25% surface irradiance
97 (blue lagoon, 172 Lee Filters). After 24 h of incubation, three bottles were amended
98 with 2.2 mL ¹⁵N₂ L⁻¹ (98% + ¹⁵N₂, Sigma–Aldrich, USA) and 240 μM ¹³C bicarbonate
99 solution (H¹³CO₃⁻) (98% + ¹³CO₂, Silantes, Germany) using gas tight syringes. The
100 fourth bottle was amended with unlabeled bicarbonate solution and air to determine
101 background natural abundance of ¹³C and ¹⁵N. All bottles were incubated for a second
102 24 h period.

103 ***Isotope ratio mass spectrometry.*** Experiments were stopped by filtering 2–3 L of
104 seawater from the incubations onto pre-combusted (450 °C, 6 h) 25 mm GF/F filters
105 (Whatman). Filters were acidified with fuming HCl in a desiccator overnight, oven-
106 dried for 1 h at 55 °C, and pelletized in tin cups. Particulate organic carbon and
107 nitrogen and the relative abundances of ¹³C and ¹⁵N were determined through
108 continuous flow isotope ratio mass spectrometry coupled to an elemental analyzer.
109 Bulk N₂ fixation rates were then calculated following Montoya *et al.* (1996).

110 ***Nucleic acid extraction.*** Subsamples for DNA and RNA (1.5–2 L) were taken from
111 each bottle that was amended with ¹³C bicarbonate and ¹⁵N₂ gas (i.e. triplicate
112 samples) and filtered onto 47 mm Durapore (0.2 µm pore-size; Millipore) filters and
113 stored at –80 °C. Nucleic acids were extracted with the Qiagen DNA/RNA Plant Mini
114 kit. Samples were prepared for extraction following Langlois *et al.* (2012). To
115 facilitate cell lysis, 200 µL of lysozyme solution (5 mg mL⁻¹ in TE buffer) were
116 added initially into vials, vortexed, incubated at room temperature for five minutes,
117 and then the manufacturer’s protocol was followed. DNA was eluted in 2 x 30 µL of
118 TE buffer; RNA was eluted in 1 x 50 µL of DEPC-treated water. DNA and RNA were
119 stored at -80 °C until further analysis.

120 ***Reverse transcription quantitative PCR (RT–qPCR).*** Eluted RNA was treated with
121 Ambion’s Turbo DNA–free kit to remove residual genomic DNA and reverse
122 transcribed (RT) using the Super–Script III cDNA synthesis kit (Invitrogen,
123 Germany) and the general *nifH2* (Zehr and McReynolds 1989) and *nifH3* primers
124 (Zani *et al.* 2000). No-RT controls were later used from randomly selected samples to
125 confirm the absence of genomic DNA in reverse transcription quantitative PCR (RT-
126 qPCR).

127 Quantitative PCR (qPCR and RT-qPCR) and TaqMan[®] technology (including
128 primer and probe sequences) were used according to Langlois *et al.* (2008) to
129 determine the *nifH* abundance and the *nifH* gene expression levels of UCYN-A using
130 the extracted DNA and the synthesized cDNA, respectively.

131 **Double CARD-FISH assay.** Aliquots (20 mL) of each incubation bottle were
132 preserved in 1% paraformaldehyde for 24 h at 4 °C. Aliquots were filtered onto
133 gold/palladium pre-sputtered 25 mm polycarbonate GTTP filters (0.2 µm pore size;
134 Millipore), washed with 0.2 µm filtered seawater, and stored at -20 °C.

135 Phylogenetic identification was performed through two separate rounds of
136 CARD-FISH (Catalyzed Reporter Deposition-Fluorescence *In Situ* Hybridization).
137 First, we used the 18S rRNA oligonucleotide probe PRYM02 (5'-
138 GGAATACGAGTGCCCCTGAC-3') in combination with Alexa594 tyramides
139 (Molecular Probes, Leiden, The Netherlands) targeting *Haptophyta* (Simon *et al.*
140 2000) and following standard protocols (Pernthaler and Amann 2004, Pernthaler *et al.*
141 2004). After completing the first round of CARD-FISH, filter sections were washed
142 in 1 x PBS for 10-20 min in the dark and placed in 3% H₂O₂ solution for 20 min at
143 room temperature in order to inactivate the inserted horseradish peroxidase (HRP) and
144 to prepare filter sections for the second CARD-FISH. Here, the oligonucleotide probe
145 UCYN-A732 (5'-GTTACGGTCCAGTAGCAC-3'), which targeted the 16S rRNA
146 specific for UCYN-A cells, and its corresponding helper probes Helper A-732 and
147 Helper B-732 (5'-GCCTTCGCCACCGATGTTCTT-3' and 5'-
148 AGCTTTCGTCCTGAGTGTC-3') were applied to increase the probes' access to
149 16S rRNA target regions according to Krupke *et al.* (2013). During the second
150 CARD-FISH application, fluorine (¹⁹F) labeled tyramides were used (i.e. Oregon
151 Green 488, Molecular Probes, Leiden, The Netherlands). Lastly, the cells were

152 counterstained with 1 $\mu\text{g mL}^{-1}$ 4',6-diamidino-2-phenylindol (DAPI) for 10 min at
153 room temperature in the dark.

154 HRP-labeled oligonucleotide probes were used at working solutions of 8.42
155 $\text{pmol } \mu\text{L}^{-1}$, following dilution of stock solutions in the hybridization buffer (1:300;
156 v:v). All hybridizations were performed at optimal formamide concentrations to
157 ensure maximal stringency (Krupke *et al.* 2013); the oligonucleotides EUB338
158 (Amann *et al.* 1990) or EUK516 (Amann *et al.* 1990) were used as positive controls
159 and the oligonucleotide NON338 (Wallner *et al.* 1993) was used as a negative control.

160 **Marking, microscopy and mapping for nanoSIMS.** Laser markings were made near
161 positively hybridized UCYN-A-haptophyte cells via a Laser Micro-dissection (LMD)
162 Microscope 6500 (Leica, Germany). Optical filter sets suitable for the applied
163 tyramides during the CARD-FISH assays were used. Filter pieces were examined and
164 microscopic pictures taken using a Zeiss Axioskop II fluorescence microscope (Zeiss,
165 Germany). Pictures were used for orientation during subsequent nanoSIMS analysis.
166 Filter pieces were washed and air-dried prior to nanoSIMS analysis.

167 **NanoSIMS measurements.** Single cell isotope ratios were measured and visualized
168 using a Cameca NanoSIMS 50L instrument (Cameca, France). Prior to analysis, the
169 area was pre-sputtered for 1–2 min with a defocused positively-charged Cesium (Cs^+)
170 primary ion beam to implant Cs^+ on the sample surface and get a sputter equilibrium.
171 Then, sample surfaces were rastered with a 16 keV Cs^+ beam and a current between
172 1–3 pA. Primary ions were focused into a nominal ~ 100 nm spot diameter. The
173 primary ion beam was used to raster the analyzed area with an image size of 256 x
174 256 pixels and a dwelling time of 1 or 3 ms per pixel. Raster areas were usually 10 x
175 10 μm . Negatively charged secondary ions of carbon (C), fluorine (F), nitrogen (as

176 CN) and sulfur (S) (i.e. $^{12}\text{C}^-$, $^{13}\text{C}^-$, $^{19}\text{F}^-$, $^{12}\text{C}^{14}\text{N}^-$, $^{12}\text{C}^{15}\text{N}^-$ and $^{32}\text{S}^-$) were measured
177 simultaneously in raster imaging mode by electron multiplier detectors.

178 All scans (40–50 planes) were corrected for drift of the beam and sample stage
179 after acquisition. Isotope ratio images were created as the ratio of a sum of total
180 counts for each pixel over all recorded planes with respect to the investigated isotope.
181 Regions of interest (ROIs) around cell structures were circled and calculated using the
182 automated threshold feature based on the *look@nanosims* software (Polerecky *et al.*
183 2012). Cell dimensions were determined based on ROIs.

184 **Calculations.** CO_2 and N_2 fixation rates (including C and N transfer rates) for
185 individual cells were calculated using the equations listed below. The biovolume (V)
186 was calculated from individual cell diameters and assuming a spherical shape for both
187 UCYN-A and the haptophyte which had been confirmed microscopically. The C
188 content per individual UCYN-A cell was determined according to Verity *et al.*
189 (1992):

$$190 \quad \text{Log [C]} = -0.363 + (0.863 \times (\text{Log (V)})) \quad (1)$$

191 Estimates of C content per individual haptophyte cell were according to Strathmann
192 (1967):

$$193 \quad \text{Log [C]} = -0.422 + (0.758 \times (\text{Log (V)})) \quad (2)$$

194 The C content per cell (C_{con}) was converted into N content per cell (N_{con}) based on
195 conversion factors provided by Tuit *et al.* (2004) assuming a modified Redfield ratio
196 (C:N) of 8.6. The isotopic ratios ($R_C = ^{13}\text{C}/^{12}\text{C}$ and $R_N = ^{15}\text{N}/^{14}\text{N}$) based on ROI
197 selections and nanoSIMS analysis were used to calculate atom percent (AT%)
198 enrichment of ^{13}C or ^{15}N by:

$$199 \quad A_C = R_C / (R_C + 1) \quad (3)$$

$$200 \quad A_N = R_N / (R_N + 1) \quad (4)$$

201 where A_C represents AT% enrichment of ^{13}C and A_N represents the AT% enrichment
202 of ^{15}N . The cell specific C and N fixation (F_C or F_N) were calculated according to the
203 length of incubation time after stable isotope amendments (i.e. 24 h) with:

$$204 \quad F_C = ({}^{13}\text{C}_{\text{ex}} / \text{C}_{\text{SR}}) \times \text{C}_{\text{con}} \quad (5)$$

$$205 \quad F_N = ({}^{15}\text{N}_{\text{ex}} / \text{N}_{\text{SR}}) \times \text{N}_{\text{con}} \quad (6)$$

206 where ${}^{13}\text{C}_{\text{ex}}$ and ${}^{15}\text{N}_{\text{ex}}$ represent the AT% enrichment of ^{13}C and ^{15}N of the individual
207 ROIs corrected for the mean AT% ^{13}C and AT% ^{15}N at time-zero, i.e. ^{13}C and ^{15}N
208 excess. The atom percent (AT%) enrichment of ^{13}C and ^{15}N at time-zero were
209 determined on bulk samples using elemental analyzer–isotope ratio mass
210 spectrometry (EA–IRMS). The EA–IRMS was calibrated for non-enriched and
211 enriched samples with high instrument accuracy and precision (e.g. 0.3651 ± 0.0000
212 ^{15}N atom % and 1.0658 ± 0.0004 ^{13}C atom % based on the mean and standard
213 deviation of caffeine standards. The AT% labeling of C (C_{SR}) and N (N_{SR}) substrates
214 in the experimental bottle, i.e. CO_2 and N_2 , was calculated and corrected for the
215 natural abundance of ^{13}C and ^{15}N . This study presents measured AT% ^{13}C and AT%
216 ^{15}N enrichment values of individual cells and utilizes this data to calculate single cell
217 CO_2 and N_2 fixation rates.

218 **Statistical evaluations.** Bulk N_2 fixation rates, *nifH* gene and *nifH* transcript
219 abundances, and single cell CO_2 and N_2 fixation results (including AT% ^{13}C and ^{15}N
220 enrichment values) were statistically evaluated using SigmaStat 3.5 software. The
221 equal variance within each treatment was first tested to proceed with a regular t–test
222 for comparison between the control and each individual treatment (significance at $p <$
223 0.05). In instances where a non–normal distribution was detected, we applied a
224 Mann–Whitney Rank Sum Test (MW–test).

225 **Results**

226 ***Bulk N₂ fixation rates and nifH gene and nifH transcript abundance of UCYN-A.***

227 Bulk N₂ fixation activity across all nutrient treatments varied between 0.8±0.1 and
228 1.3±0.6 nmol N L⁻¹ d⁻¹ and did not differ significantly from the control (1.0±0.2 nmol
229 N L⁻¹ d⁻¹) ($p > 0.05$) (Fig. 1A). The *nifH* phylotype UCYN-A was detected by qPCR
230 in all nutrient treatment incubation experiments at moderate abundances (10³–10⁴
231 *nifH* gene copy L⁻¹) (Fig. 1B), but no significant differences between any two
232 treatments were observed ($p > 0.05$). The UCYN-A *nifH* transcript abundances were
233 approximately one order of magnitude higher than *nifH* gene copies across all
234 treatments (Fig. 1B). The ratio of *nifH* transcript to *nifH* gene abundance is within the
235 range previously reported for UCYN-A (Church *et al.* 2005b, Turk-Kubo *et al.* 2012).
236 Overall, *nifH* transcript abundances did not differ significantly between any two
237 treatments ($p > 0.05$).

238 ***Visualization of UCYN-A-haptophyte associations.*** UCYN-A cells and partner
239 haptophyte cells were simultaneously identified via the double CARD–FISH
240 approach (Fig. 2A,D,G small inserts). The application of the UCYN-A specific
241 oligonucleotide probe (Krupke *et al.* 2013) in concert with the deposition of
242 halogenated (¹⁹F) tyramides allowed us to verify the phylogenetic identification of
243 UCYN-A cells and to image single cell N₂ and CO₂ fixation within UCYN-A-
244 haptophyte associations via nanoSIMS measurements (Fig. 2A–I). Examples for
245 individual associations in the control, N, and Saharan dust DII treatments are shown
246 in Figure 2 (Fig. 2A–I).

247 NanoSIMS measurements of all examined cells across all treatments gave
248 average cell diameters and associated standard deviations of 0.83±0.15 μm for
249 UCYN-A (n=44 cells) and 1.66±0.23 μm for haptophyte cells (n=44 cells).

250 Biovolumes were calculated based on individual cell diameter following the
251 termination of each treatment (Fig. 3A,B and Supplementary Fig. 1A–D). Overall,
252 average biovolumes and associated standard deviations of UCYN-A cells across
253 treatments ($0.33 \pm 0.17 \mu\text{m}^3$; $n=36$) were not significantly different from the
254 unamended control ($0.27 \pm 0.08 \mu\text{m}^3$; $n=8$) ($p > 0.05$).

255 In contrast to the UCYN-A, nutrient additions led to increased biovolumes of
256 haptophyte cells. Except for the Fe and Saharan dust DII treatments, calculated
257 biovolumes in the nutrient treatments were significantly larger ($3.05 \pm 0.16 \mu\text{m}^3$; $n=27$)
258 than the control ($1.56 \pm 0.21 \mu\text{m}^3$; $n=8$) ($p < 0.05$) (Fig. 3B). The largest biovolume
259 was observed in the NP treatment ($3.60 \pm 0.20 \mu\text{m}^3$). Generally, estimated biovolumes
260 for associated haptophyte cells were about 3–10 times larger than values for UCYN-A
261 cells (Fig. 3A,B).

262 ***Inorganic carbon fixation in haptophyte cells and transfer to UCYN-A cells.*** All
263 investigated cells were enriched in ^{13}C , indicating inorganic C fixation by these
264 symbioses (Fig. 4A–D and Supplementary Fig. 2A,B). The actual CO_2 fixation might
265 be even higher; a recent study by Musat *et al.* (2014) revealed that the application of
266 FISH and CARD–FISH techniques prior to nanoSIMS measurements can lower
267 cellular ^{13}C and ^{15}N enrichments leading to the underestimation of rates. Since
268 UCYN-A does not have any genes for CO_2 fixation, we regard any ^{13}C enrichment as
269 originating from CO_2 -derived C transfer from the host.

270 The ^{13}C enrichments of haptophyte cells were not significantly different
271 between treatments ($p > 0.05$) (Fig. 4C, Supplementary Table 1). In comparison, the
272 ^{13}C enrichment in UCYN-A cells was significantly elevated in the following
273 treatments, (1) Fe, (2) NP, (3) NPFe and (4) Saharan dust DII ($p < 0.05$) (Table 1, Fig.
274 4A). Across treatments, ^{13}C enrichments in haptophyte cells were up to twice as high

275 as the ^{13}C enrichment of their respective UCYN-A symbiont (Fig. 4A,C and
276 Supplementary Fig. 3A–I).

277 Estimated CO_2 fixation rates in haptophyte cells increased significantly in all
278 nutrient treatments compared to the control ($p < 0.05$), except in the Saharan dust DII
279 treatment (Table 1, Fig. 4D). CO_2 fixation rates by haptophytes were up to seven
280 times greater than the transfer rates of the fixed C to UCYN-A (Table 1, Fig. 4B,D).
281 The calculated CO_2 -derived C transfer rates among UCYN-A cells were up to 3 times
282 higher after nutrient addition than in the control treatment and differed significantly
283 from the control in the Fe, NP and the NPFe treatments ($p < 0.05$) (Table 1, Fig. 4B).
284 Elevated CO_2 -derived C transfer to UCYN-A was also observed in the PFe treatment,
285 but the available dataset for this treatment is limited because only one symbiosis
286 could be found. No data is available from the P only treatment because no double-
287 hybridized UCYN-A-haptophyte associations were found in the investigated sample.
288 Overall, a strong correlation in the ^{13}C enrichment ($r = 0.79$) as well as in the CO_2
289 fixation rates ($r = 0.60$) between UCYN-A and haptophyte cells were detected across
290 all treatments (Fig. 5A,B).

291 ***Nitrogen fixation in UCYN-A and transfer of nitrogen to associated haptophyte***
292 ***cells.*** In contrast to UCYN-A, which lacks the ability to fix CO_2 but can fix N_2 , the
293 haptophyte cannot perform N_2 fixation; thus we regard any ^{15}N enrichment in the
294 haptophyte as N_2 -derived N transfer from UCYN-A. Within each treatment, the ^{15}N
295 enrichment between individual UCYN-A cells and their respective haptophyte host
296 showed similar patterns (Fig. 4E,G and Supplementary Fig. 3A–I). Nonetheless, the
297 ^{15}N enrichment and N_2 -derived N transfer rates amongst individual haptophyte cells,
298 as well as individual UCYN-A cells, differed significantly between treatments (Fig.
299 4E–H). In particular, UCYN-A cells were significantly enriched in ^{15}N (2–3 fold) in

300 the iron and Saharan dust (Fe, DI, DII) additions compared to the control ($p < 0.05$)
301 (Fig. 4E). This resulted in corresponding N_2 fixation rates for UCYN-A cells that
302 were significantly higher in the Fe, Saharan dust DI and DII treatments than in the
303 control ($p < 0.05$) (Table 1, Fig. 4F). N_2 fixation rates were not significantly different
304 from the control when inorganic N (i.e. NH_4NO_3) was available (Table 1, Fig. 4F), i.e.
305 N_2 fixation was not inhibited by the presence of fixed N.

306 The ^{15}N enrichment in haptophyte cells was significantly enhanced (2–3 fold)
307 following Fe and Saharan dust DI and DII additions ($p < 0.05$) (Fig. 4G). The PFe
308 treatment also yielded elevated ^{15}N , which was nearly twice as high as the control
309 values (Fig. 4G and Supplementary Table 1). In comparison, ^{15}N enrichment within
310 haptophyte cells dropped significantly in the N treatment, as well as in the NPFe
311 treatment ($p < 0.05$) (Fig. 4G, Supplementary Table 1). Corresponding N_2 -derived N
312 transfer rates to haptophyte cells increased in all nutrient additions compared to the
313 control experiment, except in treatments in which fixed N was added, where rates
314 were not significantly different from the control (Table 1, Fig. 4H). The N_2 -derived N
315 transfer rates to haptophyte cells were significantly enhanced in the Fe, Saharan dust
316 DI and DII treatments relative to the control ($p < 0.05$) (Table 1, Fig. 4H). A high rate
317 of $0.08 \text{ fmol N cell}^{-1} \text{ h}^{-1}$ was also observed in the single haptophyte cell from the PFe
318 treatment (about five times the rate of the control; Table 1, Fig. 4H). Overall, strong
319 correlations in the ^{15}N enrichment ($r = 0.98$) as well as in N_2 fixation rates or transfer
320 rates ($r = 0.81$) between UCYN-A and haptophyte cells were detected across all
321 treatments (Fig. 5C,D).

322 ***Unknown structures within the UCYN-A-haptophyte association.*** In incubations
323 with NH_4NO_3 a third structure was observed in some UCYN-A-haptophyte
324 associations ($n=6$) (Fig. 6A–F). Besides weak DAPI signals, these structures were

325 found due to their distinct C isotopic signature revealed by nanoSIMS measurements.
326 This enigmatic structure was slightly smaller than UCYN-A cells with a diameter and
327 standard deviation of $0.70 \pm 0.06 \mu\text{m}$ ($n=6$) and a volume of $0.21 \pm 0.06 \mu\text{m}^3$ ($n=6$).
328 These structures were highly enriched in ^{13}C (AT% ^{13}C 5.16 ± 0.44), but lower in ^{15}N
329 (AT% ^{15}N 0.54 ± 0.06) compared to both UCYN-A and the haptophyte. On average,
330 these structures were about two-thirds more enriched in ^{13}C than UCYN-A cells and
331 about one-third more than haptophyte cells. Further, these structures were
332 approximately 2.5 times less enriched in ^{15}N than UCYN-A cells and 3 times less
333 enriched than haptophyte cells across all treatments.

334 **DISCUSSION**

335 The N₂ fixation rates (bulk and single cell) reported here represent minimum
336 fixation rates; the common ¹⁵N₂ gas tracer addition method ('bubble method') was
337 applied to the incubation experiments, which has been shown to underestimate N₂
338 fixation (Mohr *et al.* 2010a, Großkopf *et al.* 2012, Wilson *et al.* 2012). Given that the
339 stable isotope addition experiments were initiated at the same time with the same
340 initial microbial community from a common seawater sample, and treated with the
341 same conditions except for the nutrient addition treatments, it is reasonable to assume
342 that the 'bubble method' affected N₂ fixation rates in all treatments in the same
343 manner. Hence, the relative response of N₂ fixation to the nutrient additions is
344 comparable between treatments.

345 The open surface waters in the vicinity of Cape Verde are chronically depleted
346 in macronutrients, particularly fixed N species, and are therefore thought to favor the
347 abundance and activity of N₂ fixing microorganisms (Voss *et al.* 2004). Bulk N₂
348 fixation rates were similar to rates previously reported from the subtropical North
349 Atlantic (Mills *et al.* 2004, LaRoche and Breitbarth 2005), as well as other
350 oligotrophic sites (Falcón *et al.* 2004, Sohm *et al.* 2011, Luo *et al.* 2012). Across all
351 nutrient treatments, bulk N₂ fixation rates were neither significantly stimulated nor
352 suppressed suggesting that N₂ fixation was neither limited by any of the added
353 nutrients (or their combination) at this particular time nor inhibited in the presence of
354 fixed N. However, it is also possible that the added nutrients affected bulk rates at
355 time-scales not considered here. Since the bulk N₂ fixation rates in this study
356 represent community-wide responses, these results say little about *nifH* phylotype-
357 specific responses. Turk-Kubo *et al.* (2012) recently found that changes in N₂ fixation
358 upon Fe and/or P addition were spatially heterogeneous in the tropical North Atlantic.

359 They further reported that Fe and P additions caused varying responses among
360 different phylotypes suggesting that not all diazotrophs are limited by the same
361 nutrient in time and space. For example, diazotrophs in the western tropical North
362 Atlantic appeared to be Fe-limited while being P-limited in the eastern tropical North
363 Atlantic. In particular, the uncultured cyanobacterial group A (UCYN-A; *Candidatus*
364 *Atelocyanobacterium thalassa*; Thompson *et al.* 2012) responded to additions of P
365 with increased *nifH* gene expression indicating P limitation of diazotrophs despite the
366 lack of a measurable response in bulk N₂ fixation rates (Turk-Kubo *et al.* 2012). Here,
367 we determined UCYN-A gene expression patterns upon nutrient additions including
368 N, Fe, P, and Saharan dust. In contrast to Turk-Kubo *et al.* (2012), there were no
369 significant responses in *nifH* gene expression to any of the added nutrients (alone or in
370 combination). This might be partially due to the larger variation in the transcript
371 abundance seen in this study. Although all RNA samples were taken within a 3-hour
372 time window, diel cycling of *nifH* gene expression could be responsible for this
373 variation. For example, *nifH* transcript abundance can change by more than one order
374 of magnitude within three hours in *Crocospaera watsonii* (Mohr *et al.* 2010b). For
375 UCYN-A, both diel (Church *et al.* 2005b, Zehr *et al.* 2008) and non-diel (Turk-Kubo
376 *et al.* 2012) expression of *nifH* have been found, and these findings suggest that *nifH*
377 expression in UCYN-A is not fully understood, yet.

378 The UCYN-A have been found to live in association with a phototrophic
379 eukaryote, more specifically a haptophyte (Thompson *et al.* 2012, Hagino *et al.* 2013,
380 Krupke *et al.* 2013, Krupke *et al.* 2014). A symbiotic lifestyle originally had been
381 suggested due to the reduced genome size and in particular the absence of several
382 genes required for carbon fixation in UCYN-A (Zehr *et al.* 2008, Tripp *et al.* 2010). It
383 was shown that the two partners in this symbiotic association, i.e. the haptophyte and

384 UCYN-A, exchange C and N compounds; the haptophyte supplies CO₂-derived C and
385 UCYN-A supplies N₂-derived N (Thompson *et al.* 2012, Krupke *et al.* 2013). This
386 symbiotic association raises questions about its' nutrient requirements and the
387 interdependence of the two partner organisms, especially given that phytoplankton are
388 usually affected by N-limitation whereas diazotrophs are considered limited by either
389 P, Fe or both in the eastern tropical Atlantic. In order to address the question of
390 nutrient limitation, we evaluated single-cell N₂ and CO₂ fixation activities by the
391 UCYN-A-haptophyte association under different nutrient additions. The response of
392 UCYN-A to the different nutrient treatments was unexpected given the fact that bulk
393 N₂ fixation rates showed no clear response and that there were no significant changes
394 in the *nifH* expression. We found that N₂ fixation by UCYN-A was clearly stimulated
395 by the addition of Saharan dust. In addition, UCYN-A activity was also stimulated by
396 the addition of Fe suggesting that the Saharan dust served as a source of Fe. An
397 increase in UCYN-A abundance in response to dust has been observed in a
398 comparable experiment in the Atlantic although the authors did not see a change in
399 abundance in their Fe treatment (Langlois *et al.* 2012). The deposition of dust in the
400 marine environment may also release P in addition to Fe (Ridame and Guieu 2002,
401 Bonnet and Guieu 2004). We could not determine the effect of P alone on the N₂
402 fixation rates by UCYN-A due to the lack of associations in that treatment, but our
403 results from the PFe treatment indicated elevated N₂ fixation by UCYN-A relative to
404 the control. These results have to be interpreted with caution, though, because only
405 one symbiosis was detected in that treatment. The combined results, however, suggest
406 that UCYN-A were Fe-limited with a possible Fe and P co-limitation, and that
407 UCYN-A had the capability to utilize Saharan dust as a significant source of Fe and
408 possibly other elements, too.

409 The haptophyte partner responded to all nutrient additions with increased CO₂
410 fixation, including treatments that contained no added N. This finding contradicts
411 classical observations of N limitation in phytoplankton; however, in all treatments
412 without added fixed N, the haptophyte received significant amounts of N from their
413 UCYN-A partner cell indicating that N₂ fixation in UCYN-A and the subsequent
414 transfer of N₂-derived N is an important source for the haptophyte. It further implies
415 that the increase in CO₂ fixation by the haptophyte in treatments without added N is
416 likely an indirect result of the positive effect of Fe and/or P on UCYN-A N₂ fixation
417 and suggests that the haptophytes were N-limited at the time of our study. In
418 treatments where fixed N was added in combination with Fe or P (or both), the
419 haptophyte could have either switched between the added N and the N₂-derived N
420 from UCYN-A or used both sources simultaneously. Under typical oligotrophic
421 conditions, however, N₂ fixation by UCYN-A is likely to be the main source of fixed
422 N for the haptophyte, fuelled by the atmospheric deposition of Fe through dust at this
423 study site.

424 The strong correlation between the ¹⁵N isotope enrichment in the haptophyte
425 and the ¹⁵N isotope enrichment in UCYN-A (and the similarly strong correlation
426 between the ¹³C isotope enrichments in both organisms) indicates a mutually
427 beneficial relationship and a significant degree of dependence of both organisms on
428 each other. On average, UCYN-A cells assimilated 16.4±7.1% of all C fixed by the
429 haptophyte and the haptophyte cells assimilated 85.4±5.1% of N fixed by UCYN-A.
430 These values are similar to recent findings that show UCYN-A transferring up to 95%
431 of its fixed N to the host, and in turn receiving approximately 17% of the C fixed from
432 the host (Thompson *et al.* 2012). A similar transfer of C and N has also been reported
433 for symbiotic relationships between diatoms and N₂ fixing cyanobacteria (DDAs)

434 (Foster *et al.* 2011). Similar to our study, other field studies have shown that fixed N
435 is rapidly transferred (i.e. ≤ 24 h), for example, from heterocysts to vegetative cells in
436 *Aphanizomenon* sp. populations (Ploug *et al.* 2010), as well as within DDAs (Foster *et*
437 *al.* 2011). These results indicate an efficient nutrient transfer between the two partner
438 cells. However, the exact mechanisms that allow for rapid nutrient exchange and the
439 type of compounds that are exchanged remain unknown. Interestingly, the increase in
440 CO₂ fixation triggered by fixed inorganic N addition alone did not result in an
441 increased transfer of CO₂-derived C to UCYN-A nor to an increased transfer of N₂-
442 derived N from UCYN-A to the haptophyte. Combined, these results suggest that a
443 simple increase in primary productivity (possibly triggered by an external source of
444 fixed N) does not automatically result in elevated C transfer to the symbiont, but that
445 any C transfer is coupled to transfer of N from UCYN-A to the haptophyte. The
446 strong correlations of C and N enrichments between both organisms under changing
447 nutrient regimes imply that the transfer of C and/or N between host and symbiont is
448 concomitant and highly regulated. This tight coupling in C and N metabolism stays
449 balanced even under changing nutrient regimes and indicates that the association
450 between UCYN-A and the haptophyte is an “obligate” symbiosis (Krupke *et al.* 2014,
451 Thompson *et al.* 2014).

452 Interestingly, we did not observe an inhibition of UCYN-A *nifH* expression
453 and N₂ fixation when fixed N was added as ammonium nitrate. However, other
454 organisms in the incubations (e.g. other phytoplankton) might have rapidly taken up
455 the added fixed N, preventing an inhibition of *nifH* expression or resulting in
456 temporary inhibition of *nifH* expression only. Thus, inhibitory effects in *nifH* gene
457 expression may have been missed at the end of the incubation time. Other cultivated
458 members of the UCYN groups have also been shown to be non-responsive to

459 additions of nitrate (Dekaezemacker and Bonnet 2011, Großkopf and LaRoche 2012),
460 and as the understanding of the UCYN-A physiology is in its early stages, it is
461 possible that N₂ fixation in UCYN-A is not inhibited in the presence of fixed N.

462 We observed an unknown structure within the UCYN-A-haptophyte
463 association, which occurred in treatments that had ammonium nitrate additions.
464 Presently, it is unclear what this structure might represent: it could be a C-storage
465 compartment, for example carbohydrates. This structure may also be an attached
466 unidentified microorganism since it contains C, N, and sulfur (S), and a weak DAPI
467 signal was observed. Diffuse or very weak DAPI signals are common for small cells.
468 Because this structure is more enriched in ¹³C than the photosynthetic partner algae of
469 UCYN-A, it may be an unidentified photosynthetic or chemolithoautotrophic
470 organism. Symbiotic relationships between marine diatoms and multiple unicellular
471 N₂ fixing cyanobacterial endosymbionts have been reported previously (Villareal
472 1991, Carpenter and Janson 2000). Here, photosynthetic activity can be restricted to
473 the algal partner cell that supplies the other partners with fixed C compounds. Similar
474 observations have been made in tripartite symbiotic relationships between fungi, algae
475 and cyanobacteria (Honegger 2001). Flexibility of the epibiont load (potential
476 tripartite symbiosis) might offer competitive growth advantages for the haptophyte
477 and/or UCYN-A under varying nutrient environments. The identification of a possible
478 third partner cell will be an important step in understanding the complex interactions
479 in this symbiosis.

480 **Concluding remarks.** This study provides the first insight into the physiological
481 responses of field populations of the UCYN-A-haptophyte symbiosis to nutrient
482 addition. Our results suggest that the presence of fixed N does not necessarily inhibit
483 N₂ fixation and highlight that Fe (including Fe from Saharan dust deposition) and P

484 inputs are major factors influencing N₂ fixation activity by UCYN-A. The subsequent
485 transfer of fixed N to the photosynthetic partner haptophyte indirectly fuels primary
486 productivity. The discovery of a third microstructure within the UCYN-A-haptophyte
487 association, possibly an unknown cell, emphasizes the complexity of interactions
488 among microorganisms in oligotrophic surface waters. Future efforts to gather genetic
489 information on the metabolic repertoire of the associated haptophyte will help to
490 unravel mechanisms and potential pathways regulating the C and N exchange within
491 this association and may provide critical information for future isolation attempts of
492 both partner cells. Here, we provide evidence for a tight coupling of C and N
493 exchange within this symbiosis in the Atlantic Ocean that may represent a different
494 ecotype (Thompson *et al.* 2014) than previously reported (Thompson *et al.* 2012). The
495 determined metabolic activities, cell diameters, and biovolumes of the two partner
496 cells will be helpful to deepen our understanding of their roles in the global C and N
497 cycles.

498

499 **Acknowledgements**

500 The authors thank the captain and crew of the R/V *Islandia* and the staff of the
501 Instituto Nacional de Desenvolvimento das Pescas (INDP), Cape Verde, for their
502 hospitality and assistance. We also thank Timothy G. Ferdelman and Sara J. Bender
503 for manuscript feedback. We thank Surface Ocean Processes in the Anthropocene
504 (Sopran) and the Sonderforschungsbereich SFB754 for financial support. This study
505 was funded by the Max Planck Society. AK was a member of the International Max
506 Planck Research School of Marine Microbiology (MarMic).

507

508 **Conflict of Interest Statement**

509 The authors declare no conflict of interest.

510

511

512 Supplementary information is available at ISMEJ's website

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677 **List of Figures and Tables**

678 Fig. 1: Mean bulk N₂ fixation rates (A), as well as mean UCYN-A *nifH* gene and *nifH*
679 transcript abundance (B) with standard error from incubation experiments across
680 nutrient treatments as described in the Material and Methods.

681

682 Fig. 2: Visualization of the UCYN-A-haptophyte association according to the probe-
683 conferred ¹⁹F signal (left panels A, D, G) and single cell activities based on isotope
684 ratios of C (¹³C/¹²C = middle panels B,E,H) and N (¹⁵N/¹⁴N = right panels C,F,I)
685 within different nutrient amendment incubation experiments. Inset panels on the left
686 side show the corresponding epifluorescence images of the UCYN-A cells (green
687 signal) and its associated haptophyte cell (red signal), as well as DAPI staining (blue
688 signal) based on double CARD-FISH approach taken prior to nanoSIMS analysis.
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690 C) Control = no nutrient added, (D-F) N = NH₄NO₃ addition, (G-I) DII = 4 mg/L
691 Saharan dust. Warmer colors represent higher abundance of the heavier isotopes.

692

693 Fig. 3: Mean biovolumes with standard error for (A) UCYN-A and (B) the associated
694 haptophyte cells in the different treatments as described in the Material and Methods.
695 The asterisks indicate a statistically significant difference compared to the control. No
696 statistics were performed on results from the PFe treatment because only one UCYN-
697 A-haptophyte association was found. Dashed lines indicate mean values of control
698 measurements.

699

700 Fig. 4: NanoSIMS measurements for the association between UCYN-A and their
701 associated haptophytes from the nutrient amendment experiments. The panels on the

702 left side represent the isotope enrichment in AT% for individual cells for ^{13}C (A =
703 UCYN-A; C = haptophyte) and ^{15}N (E = UCYN-A; G = haptophyte). The panels on
704 the right side (B,D,F,H) show the corresponding single cell activity in $\text{fmol cell}^{-1} \text{h}^{-1}$
705 for CO_2 and N_2 fixation, as well as C and N transfer rates for individual UCYN-A and
706 partner haptophyte cells, calculated based on obtained nanoSIMS values (AT%) and
707 cell dimension analysis. Treatments are as described in Material and Methods. The
708 asterisk symbol indicates means that are significantly different from the control at a p
709 < 0.05 significance level. No statistics were performed on the PFe treatment. Dashed
710 lines indicate mean values of control measurements.

711

712 Fig. 5: Single cell enrichments and rates for (A,B) carbon in AT% ^{13}C and fmol C
713 $\text{cell}^{-1} \text{h}^{-1}$, respectively, and for (C,D) nitrogen in AT% ^{15}N and $\text{fmol N cell}^{-1} \text{h}^{-1}$,
714 respectively, within individual associations between UCYN-A and its corresponding
715 haptophyte partner cell across all treatments. Dashed lines represent regression lines
716 and their corresponding r -values are depicted within each panel. Treatments are as
717 described in Material and Methods.

718

719 Fig. 6: NanoSIMS measurements visualizing the "unknown structure" found attached
720 to a UCYN-A-haptophyte association within the NP treatment. Panel (A) shows
721 probe-conferred ^{19}F signal and the corresponding epifluorescence images of the
722 UCYN-A cells (green signal) and its associated haptophyte cell (red signal), as well as
723 DAPI staining (blue signal) based on double CARD-FISH approach taken prior to
724 nanoSIMS analysis (small inset panel). The next panels show (B) carbon enrichment
725 as $^{13}\text{C}/^{12}\text{C}$, (C) nitrogen enrichment as $^{15}\text{N}/^{14}\text{N}$, (D) black and white image of the
726 DAPI signals, (E) carbon and nitrogen distribution as $^{12}\text{C}/^{14}\text{N} * 1000$ and (F) sulfur as

727 ³²S. An unknown structure attached to the UCYN-A association was observed which
728 is highly enriched in C, but lower in N and had a weak DAPI signal (B,C,D). In
729 addition to carbon and nitrogen (B,C,E) the structure contained sulfur (F). Such a
730 structure was only found when inorganic nitrogen was added. Warmer colors
731 represent higher abundance of the heavier isotopes. Brighter white DAPI signals
732 indicate stronger staining due to more DNA.

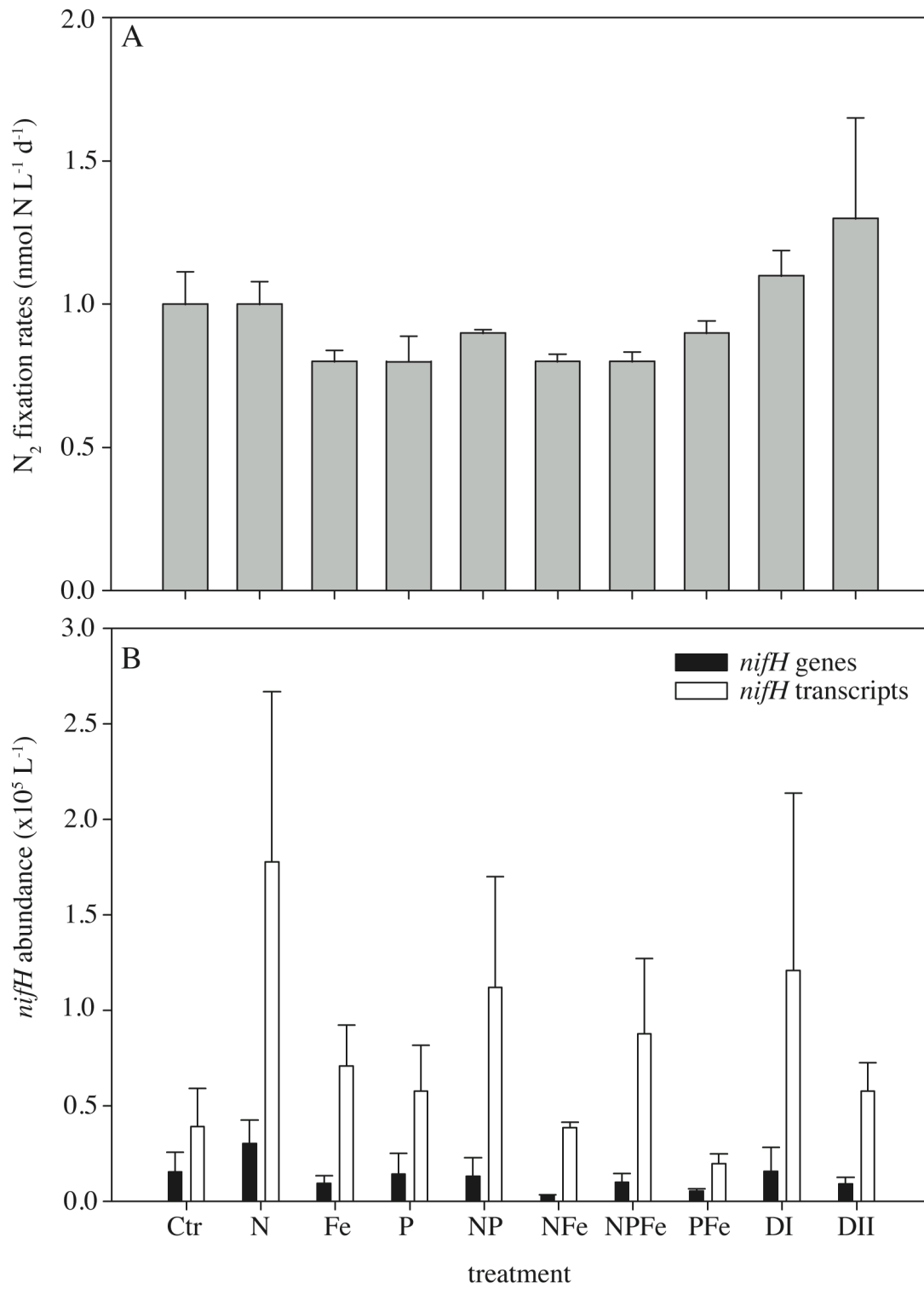
733

734 Table 1: Summary of nanoSIMS analysis for the UCYN-A-haptophyte association
735 from nutrient incubation experiments conducted on surface seawater samples
736 collected from Cape Verde in May 2009. The mean and standard deviation (SD) are
737 listed for CO₂ and N₂ fixation and transfer rates for individual UCYN-A and partner
738 haptophyte cells.

739

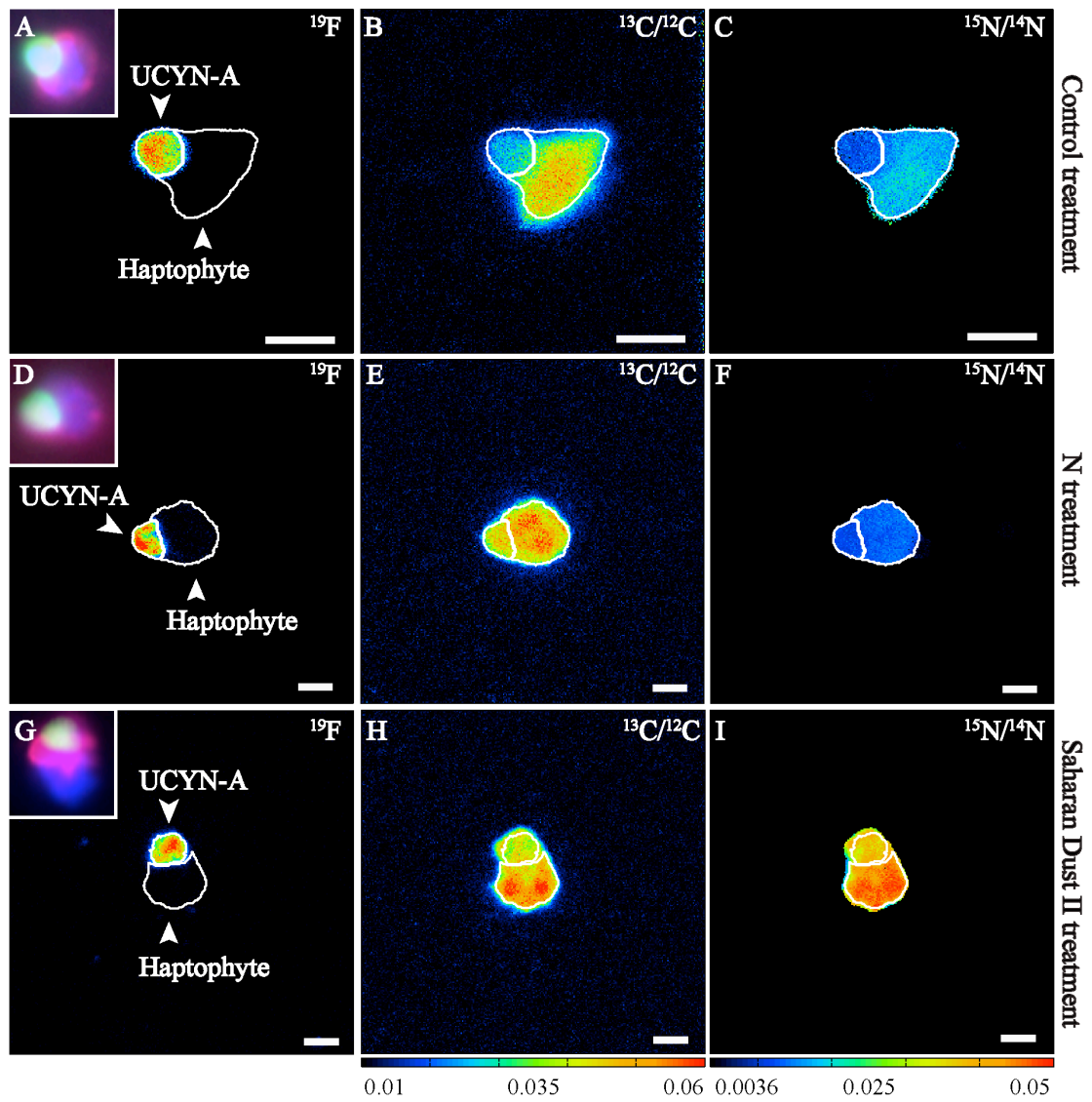
740

741 Fig. 1

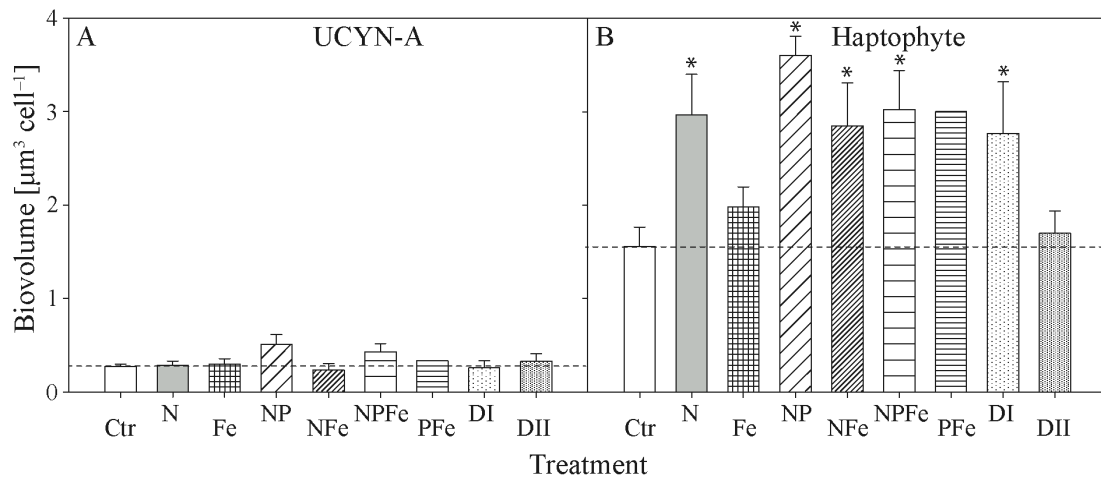


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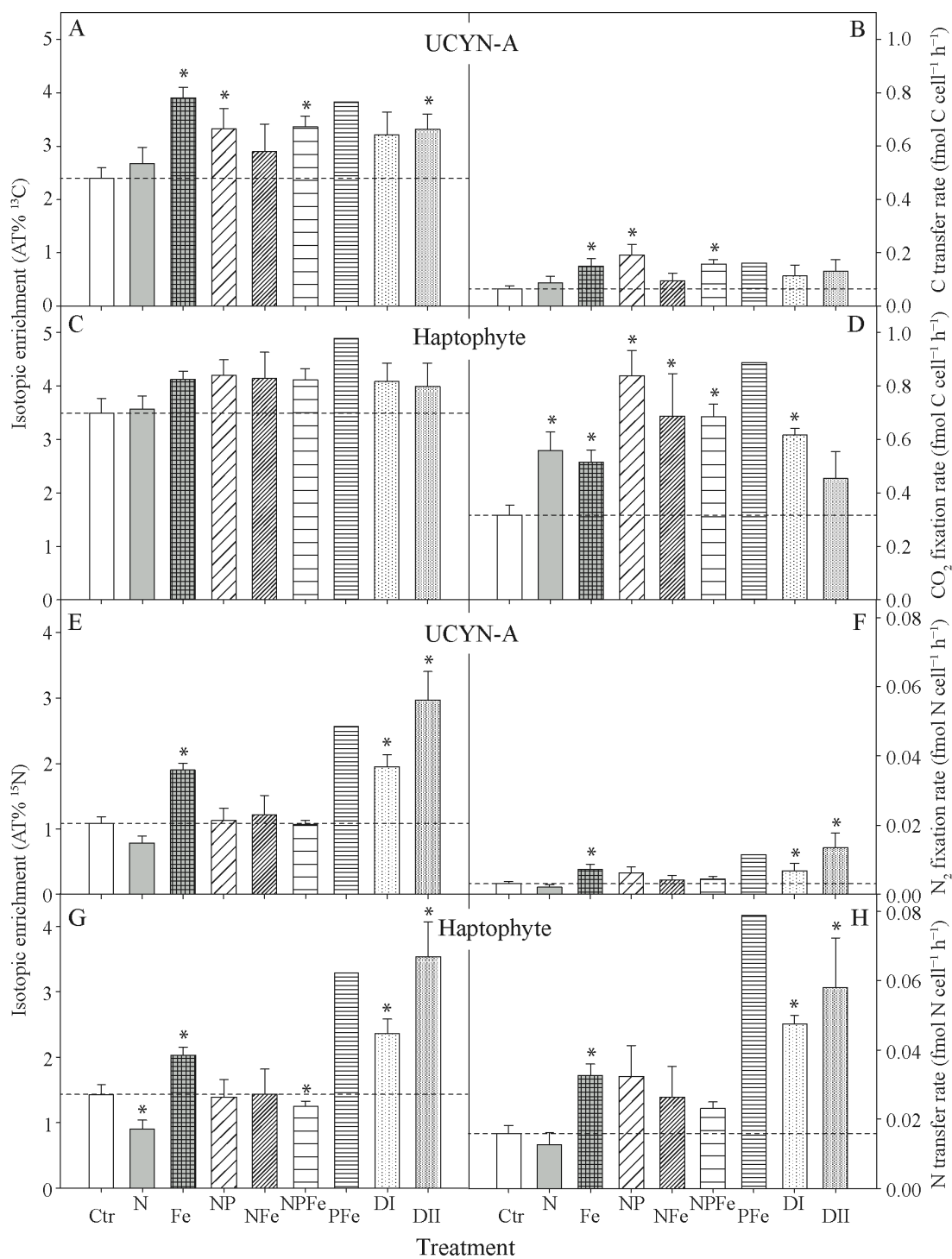
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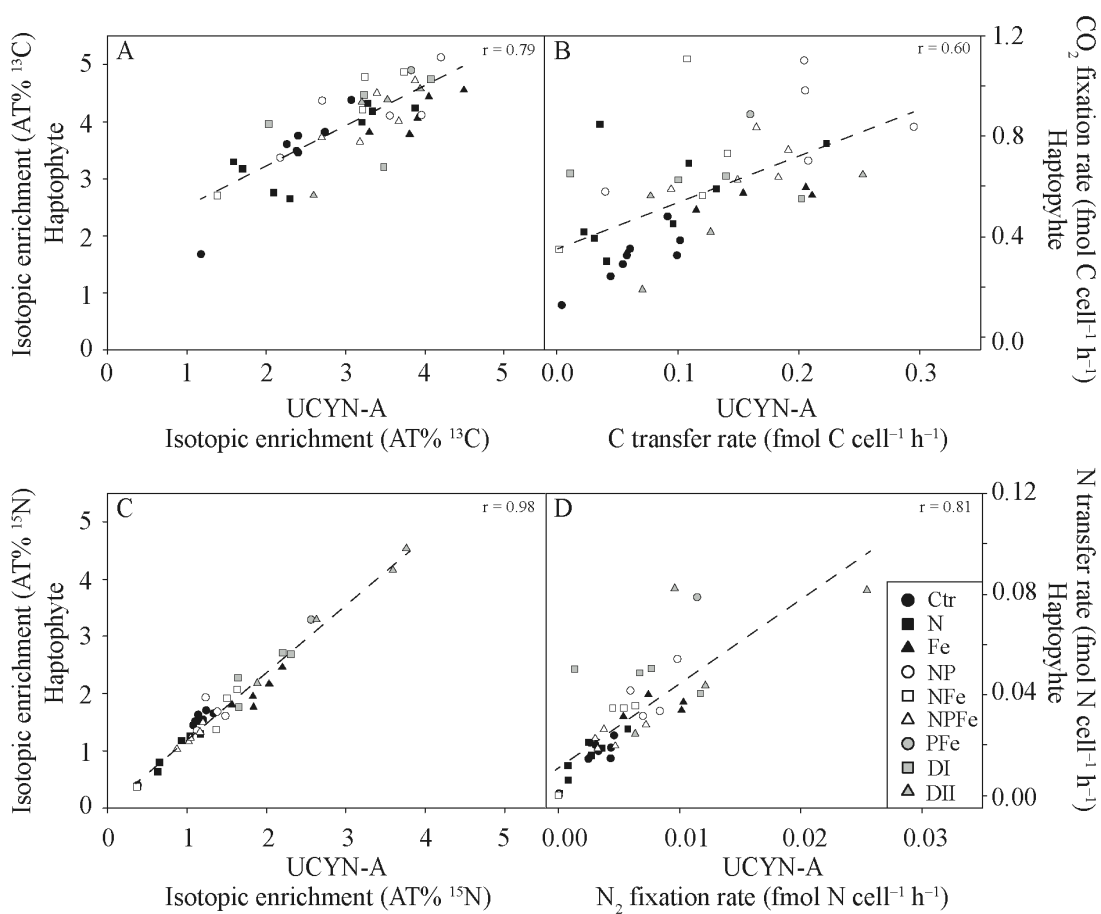


746 Fig. 3

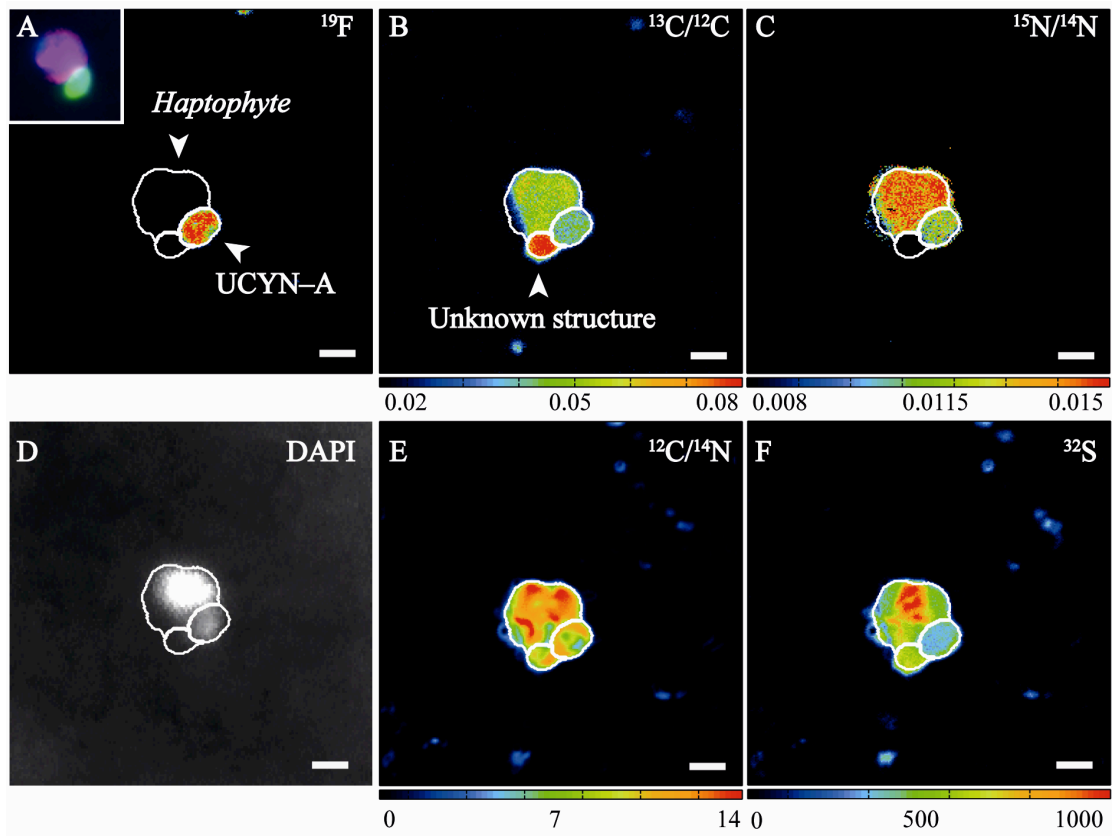


747





752 Fig. 6



753

754 Table 1

Experiment (treatment)	n ^a	CO ₂ fixation or C transfer rate (fmol C cell ⁻¹ h ⁻¹)		N ₂ fixation or N transfer rate (fmol N cell ⁻¹ h ⁻¹)	
		mean±SD		mean±SD	
		UCYN-A	Haptophyte	UCYN-A	Haptophyte
Ctr	8	0.06±0.01	0.32±0.04*	0.0031±0.0005	0.0158±0.0024
N	8	0.09±0.02	0.56±0.07*	0.0020±0.0007	0.0127±0.0034
Fe	5	0.15±0.03*	0.51±0.05*	0.0072±0.0014*	0.0326±0.0034*
NP	5	0.19±0.04*	0.84±0.09*	0.0062±0.0017	0.0323±0.0089
NFe	4	0.09±0.03	0.69±0.16*	0.0041±0.0014	0.0264±0.0088
NPFe	5	0.16±0.02*	0.68±0.05*	0.0044±0.0008	0.0231±0.0018
Pfe	1	0.16**	0.89na**	0.0115**	0.0789**
DI	4	0.11±0.04	0.62±0.02*	0.0069±0.0021*	0.0475±0.0023*
DII	4	0.13±0.04	0.45±0.10	0.0134±0.0042*	0.0580±0.0144*

*significantly different from control measurements

**based on one UCYN-A-haptophyte symbiosis

^a Number of cells measured in each treatment

755

756

757